



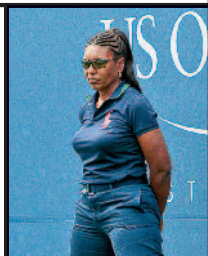
2013



FRIEND



AT



COURT



## A FRIEND AT COURT

- Q. What is a tennis official?
- A. A person who helps ensure that any given tennis match is conducted under the fairest possible conditions. So, ideally, the official is “a friend at court,” helpful to the players and the spectators.
- Q. Why should I be a tennis official?
- A. Because you: 1) love the game; 2) therefore have a keen interest in seeing that it is played under the best conditions; 3) enjoy having first-hand contact with it whereby you can make a useful contribution to the game, beyond what you may do or have done as a player.

NOTE: If you are now, or have any idea you would like to be, a tennis official, and your first three reasons do **not** include at least two of the above—forget it!

—Jack Stahr

# FRIEND AT COURT

## THE USTA HANDBOOK *of* TENNIS RULES *and* REGULATIONS

2013 EDITION



UNITED STATES TENNIS ASSOCIATION

We welcome your comments and suggestions and especially solicit your help in identifying errors in the index. Please feel free to write us c/o USTA Officials Department, 70 West Red Oak Lane, White Plains, NY 10604-3602.

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## Foreword

The reader will find few major changes in this year's edition of *Friend at Court*. The most noteworthy change is expansion and improvement of the USTA's system of play to help players who are just starting to learn the game of tennis. Previously known as QuickStart Tennis, the system is now called Red, Orange, and Green Ball Tennis. It allows for smaller courts and rackets and slower tennis balls to make it easier for new players to learn to correctly play the game. The formats are targeted not only to players in the 8 and 10 Divisions, but also to older players who are new to the game.

Also noteworthy for 2013 is the ITF's addition of a process for sanctioning bodies such as the USTA, WTA, and ATP to apply for permission to permit coaching in situations in addition to those specifically permitted under the ITF Rules of Tennis.

The editors would like to recognize the many years of service that Bobbie Farley contributed as an editor of *Friend at Court*. The 2012 edition was her last year as an editor.

Where substantive changes or changes that the editors want to call to your attention have been made since the last publication of *Friend at Court*, there is a vertical line in the margin.

For amendments that become effective after press time, please refer to the USTA website, [USTA.com](http://USTA.com).

*Friend at Court* (FAC) is not a training manual. The gray highlighted areas are a blend of commonly accepted answers to questions about the rules, officiating techniques, and officiating procedures derived from several sources, including the ATP, ITA, ITF, and WTA tour. If you wish to learn more about accepted procedures, you should attend a USTA-approved training school.

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## Introduction

*Friend at Court* is the book of rules and regulations under which tennis is played in the United States. It is recommended reading for players, parents, coaches, teachers, tournament directors, league officials, and anyone who wants a finer understanding of the game. This book contains the:

- ITF Rules of Tennis;
- USTA Regulations;
- *The Code*;
- ITA rules and regulations; and
- Glossary of terms commonly used in tennis.

A working knowledge of the ITF Rules of Tennis, *The Code*, and USTA Regulations is essential to playing the game the way it should be played.

The Rules of Tennis are determined by the International Tennis Federation, of which the USTA is a member. The USTA may submit proposals to amend the Rules, but it has no authority to change them. USTA Comments on the ITF Rules of Tennis clarify certain Rules and provide examples. USTA Comments are not part of the ITF Rules of Tennis, but they are considered binding authority and, therefore, enforceable in USTA sanctioned tournaments.

USTA Regulations control all play in all USTA sanctioned tournaments and sanctioned matches and include sections on

- ◆ Setting up and conducting a tournament;
- ◆ Player responsibilities and conduct;
- ◆ Officials and officiating;
- ◆ Ranking regulations.

FAC Comments are interspersed throughout the Regulations to promote knowledge and understanding. Essentially, there is no difference between USTA Comments on the ITF Rules of Tennis and FAC Comments on regulations other than the nature of the material covered.

The Regulations specifically state that *The Code* shall apply to all matches except to the extent to which an official assumes some of the players' responsibilities. *The Code* contains the "unwritten" rules under which tennis is played.

The rules and regulations for intercollegiate play are also included as a courtesy to the Intercollegiate Tennis Association and for the officials who officiate ITA matches. The ITA is solely responsible for the content of this section. The ITF Rules and USTA Regulations are in effect in collegiate tennis except where explicitly superseded by ITA, NCAA, or conference rules.

# Summary of Important Changes for 2013 Edition of *Friend at Court*

## ITF Rules of Tennis

[p. 6] **USTA Comment 4.2** was revised to clarify that a player who breaks a string or racket may continue to play with the racket unless prohibited by a tournament rule or may ask someone to retrieve a replacement. A player who **leaves the court to get a replacement racket is subject to code violations** for delay under the Point Penalty System.

[p. 6] **USTA Comment 4.3** was added to refer the reader to **USTA Regulation VI. Red, Orange, and Green Ball Tennis** for the **size of rackets** permitted in Red, Orange, and Green Ball Tennis.

[p. 26] The ITF added a new **Case 3 to Rule 30** explaining when it will allow **on-court coaching** upon application by a sanctioning body.

[p. 30] **USTA Comment II.1** was added to refer the reader to **USTA Regulation VI. Red, Orange, and Green Ball Tennis** for the size of rackets permitted in Red, Orange, and Green Ball Tennis.

[p. 30] The ITF added a new paragraph to **Appendix III, Advertising Section 1** to specifically permit the **name or logo of the sanctioning body** to be placed on the lower part of the net so long as it does not interfere with the vision of the players or the playing conditions.

## USTA Regulations

[p. 61] New **USTA Regulation I.G.3.e. Coed tournaments** specifies that a player is eligible to participate in coed tournaments regardless of gender.

[p. 95] **FAC Comment II.D-2** was relocated and expanded to provide additional guidance to Referees on scheduling matches so as to provide **comparable rest** to winners of matches who will play each other in the next round.

[p. 104] The **Recovery Rule** was added to **Table 11**. The rule requires Referees to offer at least two hours of rest between singles matches when a match format of two out of three standard tiebreak sets or more is used in the first singles match. The rule does not apply to short sets, matches that play a tiebreak in lieu of the third set, and indoor matches that last less than two hours.

[p. 105] **FAC Comments III.C-1 through III.C-7** were added to provide examples of how to apply the **Recovery Rule**.

[p. 116] **USTA Regulation IV.C.5. Leaving court** now specifies situations in which a player may leave the court during a match without penalty. It also provides that a player who leaves the court to get a replacement racket is subject to code violations for delay under the Point Penalty System.

[p. 132] **USTA Regulation VI. Red, Orange, and Green Ball Tennis**, which previously was entitled **QuickStart Tennis**, was substantially rewritten.

- **Red Ball Tennis** is played on a 36-foot court with a stage 3 (red) ball and a racket that is no longer than 23 inches. It is required for tournaments in the 8 Divisions and recommended for other events in the divisions.
- **Orange Ball Tennis** is played on a 60-foot court with a stage 2 (orange) ball and a racket that is no longer than 25 inches.
- **Green Ball Tennis** is played on a 78-foot court with a state 1 (green) ball and a racket that is no longer than 29 inches.
- Either **Orange Ball Tennis or Green Ball Tennis** is required for sanctioned tournaments in the 10 Divisions and recommended for other competitions in the divisions.

[p. 169] **USTA Regulation IX.A.1.a.ii. USTA National Doubles Championships** adds the USTA National Doubles Championships in the 14s and 16s divisions. **USTA Regulation IX.A.11.** authorizes the USTA Junior Competition and Sportsmanship Committee to prepare and publish tournament regulations for these championships.

[p. 169] **USTA Regulation IX.A.1.a.iii. USTA National Masters** adds the USTA National Masters events in the 14s, 16s, and 18s divisions. **USTA Regulation IX.A.11.** authorizes the USTA Junior Competition and Sportsmanship Committee to prepare and publish tournament regulations for the USTA National Masters.

[p. 178] **USTA Regulations IX.A.9.e.ix. Replacement of player after draw made but before start of player's first match** now cross references **USTA Regulation II.B.5.d.** and **USTA Regulation IV.E.6.**, which refer to situations in which alternates are not placed into the draw.

[p. 181] **Table 19 Match Formats for 2013 Tournaments on the National Junior Tournament Schedule** lists the permitted match formats for tournaments on the National Junior Tournament Schedule for 2013.

[p. 192] **USTA Regulation IX.G. Eligibility for ITF International Team Competitions** was revised to conform to the ITF Junior Circuit Regulation. **FAC Comment IX.G-1** lists documents that the ITF accepts as proof of U.S. citizenship, which is required to participate in ITF junior international team competition for the United States.

[p. 202] New **FAC Comment X.I-1** explains **how the USTA chooses and endorses players to play in ITF adult and senior international team competition** for the United States. The comment lists documents that the ITF accepts as proof of U.S. citizenship, which generally is required to participate in ITF senior international team competition for the United States. The comment also explains the actions that a player must take and their timeline if the player is eligible to play for more than one nation and wants to play for the United States.

[p. 213] **USTA Regulation XIV.A. 1.** was amended to provide that **gold, silver, and bronze balls** will be awarded in **USTA Junior National Doubles Championships**.

# 1—ITF RULES OF TENNIS

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References to the International Tennis Federation or ITF shall hereinafter mean ITF Limited.



## FOREWORD

The International Tennis Federation (ITF) is the governing body of the game of tennis and its duties and responsibilities include determination of the Rules of Tennis.

To assist the ITF in carrying out this responsibility, the ITF has appointed a Rules of Tennis Committee which continually monitors the game and its rules, and when considered necessary makes recommendations for changes to the Board of Directors of the ITF who in turn make recommendations to the Annual General Meeting of the ITF which is the ultimate authority for making any changes to the Rules of Tennis.

**Appendix IV** lists all known and approved alternative procedures and scoring methods. In addition, on its own behalf or on application by interested parties, certain variations to the rules may be approved by the ITF for trial purposes only at a limited number of tournaments or events and/or for a limited time period. Such variations are not included in the published rules and require a report to the ITF on the conclusion of the approved trial.

**Note:** *Except where otherwise stated, every reference in these Rules of Tennis to the masculine includes the feminine gender.*

## 1. THE COURT

The court shall be a rectangle, 78 feet (23.77 m) long and, for singles matches, 27 feet (8.23 m) wide. For doubles matches, the court shall be 36 feet (10.97 m) wide.

The court shall be divided across the middle by a net suspended by a cord or metal cable which shall pass over or be attached to two net posts at a height of  $3\frac{1}{2}$  feet (1.07 m). The net shall be fully extended so that it completely fills the space between the two net posts and it must be of sufficiently small mesh to ensure that a ball cannot pass through it. The height of the net shall be 3 feet (0.914 m) at the centre, where it shall be held down tightly by a strap. A band shall cover the cord or metal cable and the top of the net. The strap and band shall be completely white.

- The maximum diameter of the cord or metal cable shall be  $\frac{1}{8}$  inch (0.8 cm).
- The maximum width of the strap shall be 2 inches (5 cm).
- The band shall be between 2 inches (5 cm) and  $2\frac{1}{2}$  inches (6.35 cm) deep on each side.

For doubles matches, the centres of the net posts shall be 3 feet (0.914 m) outside the doubles court on each side.

For singles matches, if a singles net is used, the centres of the net posts shall be 3 feet (0.914 m) outside the singles court on each side. If a doubles net is used, then the net shall be supported, at a height of  $3\frac{1}{2}$  feet (1.07 m), by two singles sticks, the centres of which shall be 3 feet (0.914 m) outside the singles court on each side.

- The net posts shall not be more than 6 inches (15 cm) square or 6 inches (15 cm) in diameter.
- The singles sticks shall not be more than 3 inches (7.5 cm) square or 3 inches (7.5 cm) in diameter.
- The net posts and singles sticks shall not be more than 1 inch (2.5 cm) above the top of the net cord.

The lines at the ends of the court are called baselines and the lines at the sides of the court are called sidelines.

Two lines shall be drawn between the singles sidelines, 21 feet (6.40 m) from each side of the net, parallel with the net. These lines are called the servicelines. On each side of the net, the area between the serviceline and the net shall be divided into two equal parts, the service courts, by the centre serviceline. The centre serviceline shall be drawn parallel with the singles sidelines and half way between them.

Each baseline shall be divided in half by a centre mark, 4 inches (10 cm) in length, which shall be drawn inside the court and parallel with the singles sidelines.

- The centre service line and centre mark shall be 2 inches (5 cm) wide.
- The other lines of the court shall be between 1 inch (2.5 cm) and 2 inches (5 cm) wide, except that the baselines may be up to 4 inches (10 cm) wide.

All court measurements shall be made to the outside of the lines and all lines of the court shall be of the same colour clearly contrasting with the colour of the surface.

No advertising is allowed on the court, net, strap, band, net posts or singles sticks except as provided in **Appendix III**.

*In addition to the court described above, the court designated as "red" and the court designated as "orange" in **Appendix VI** can be used for 10 and under tennis competition.*

**Note:** *Guidelines for minimum distances between the baseline and backstops and between the sidelines and sidestops can be found in **Appendix VIII**.*

**USTA Comment 1.1:** *How do you tighten the net to the proper tension?*

One method is to loosen the center strap and tighten the net cord until the center of the net is approximately 40 inches above the ground. Finally, tighten the center strap until the center of the net is 36 inches above the ground. These measurements should always be made before the day's first match and when possible before each match.

**USTA Comment 1.2:** *What happens if a singles stick falls to the court during a point?* The point stops immediately and is replayed.

**USTA Comment 1.3:** A regular 78-foot court with permanent blended lines is suitable for sanctioned play in divisions that require a 78-foot court.

**USTA Comment 1.4:** See **USTA Regulation VI**, which describes 36-foot courts with nets 33 inches high at the center and 60-foot courts with nets 36 inches high at the center.

## 2. PERMANENT FIXTURES

The permanent fixtures of the court include the backstops and sidestops, the spectators, the stands and seats for spectators, all other fixtures around and above the court, the Chair Umpire, Line Umpires, Net Umpire and ball persons when in their recognised positions.

In a singles match played with a doubles net and singles sticks, the net posts and the part of the net outside the singles sticks are permanent fixtures and are not considered as net posts or part of the net.

## 3. THE BALL

Balls, which are approved for play under the Rules of Tennis, must comply with the specifications in **Appendix I**.

For 10 and under tennis competition the balls described in **Appendix I** cannot be used. Instead one of the stage 3 (red), stage 2 (orange), or stage 1 (green) balls described in **Appendix VI** must be used.

The International Tennis Federation shall rule on the question of whether any ball or prototype complies with **Appendix I** or is otherwise approved, or not approved, for play. Such ruling may be taken on its own initiative, or upon application by any party with a bona fide interest therein, including any player, equipment manufacturer or National Association or members thereof. Such rulings and applications shall be made in accordance with the applicable Review and Hearing Procedures of the International Tennis Federation (see **Appendix VII**).

The event organisers must announce in advance of the event:

- a. The number of balls for play (2, 3, 4 or 6).
- b. The ball change policy, if any.

Ball changes, if any, can be made either:

- i. After an agreed odd number of games, in which case, the first ball change in the match shall take place two games earlier than for the rest of the match, to make allowance for the warm-up. A tie-break game counts as one game for the ball change. A ball change shall not take place at the beginning of a tie-break game. In this case, the ball change shall be delayed until the beginning of the second game of the next set; or
- ii. At the beginning of a set

If a ball gets broken during play, the point shall be replayed.

*Case 1:* If a ball is soft at the end of a point, should the point be replayed?

*Decision:* If the ball is soft, not broken, the point shall not be replayed.

**Note:** Any ball to be used in a tournament which is played under the Rules of Tennis, must be named on the official ITF list of approved balls issued by the International Tennis Federation.

**USTA Comment 3.1:** *What is the difference between a broken ball and a soft ball?* A broken ball has no compression; a soft ball has some compression. Both broken and soft balls should be removed from play.

**USTA Comment 3.2:** *May a player cause a ball to become wet by using the ball to wipe perspiration from the player's body?* No. A player may not take any action that materially changes the condition of the ball; therefore, a player may not use a ball to wipe off perspiration.

**USTA Comment 3.3:** A current list of USTA approved balls is available on the USTA website, [www.usta.com](http://www.usta.com).

#### 4. THE RACKET

Rackets, which are approved for play under the Rules of Tennis, must comply with the specifications in **Appendix II**.

The International Tennis Federation shall rule on the question of whether any racket or prototype complies with **Appendix II** or is otherwise approved, or not approved, for play. Such ruling may be undertaken on its own initiative, or upon application by any party with a bona fide interest therein, including any player, equipment manufacturer or

National Association or members thereof. Such rulings and applications shall be made in accordance with the applicable Review and Hearing Procedures of the International Tennis Federation (see **Appendix VII**).

*Case 1:* Is more than one set of strings allowed on the hitting surface of a racket?

*Decision:* No. The rule mentions a pattern (not patterns) of crossed strings. (See **Appendix II**.)

*Case 2:* Is the stringing pattern of a racket considered to be generally uniform and flat if the strings are on more than one plane?

*Decision:* No.

*Case 3:* Can vibration damping devices be placed on the strings of a racket? If so, where can they be placed?

*Decision:* Yes, but these devices may only be placed outside the pattern of the crossed strings.

*Case 4:* During a point, a player accidentally breaks the strings. Can the player continue to play another point with this racket?

*Decision:* Yes, except where specifically prohibited by event organisers.

*Case 5:* Is a player allowed to use more than one racket at any time during play?

*Decision:* No.

*Case 6:* Can a battery that affects playing characteristics be incorporated into a racket?

*Decision:* No. A battery is prohibited because it is an energy source, as are solar cells and other similar devices.

**USTA Comment 4.1:** *What happens if it is discovered after play has begun that a player has been using an illegal racket or an illegally strung racket?* All points played stand. Continued play must be with a legal racket. A player is subject to code violations for delay under the Point Penalty System. If the discovery occurs after the match is over, the match counts.

**USTA Comment 4.2:** *What may a player who has broken a string or racket do if the player does not have a replacement racket?* Unless prohibited by a published rule adopted by the tournament, the player may play with the broken racket. The player may leave the court to get a replacement racket. The player may ask someone else to get a replacement racket. The player is subject to code violations for delays under the Point Penalty System. **Rule 29b** permits a player “reasonable extra time” to leave the court only in those cases where “clothing, footwear, or necessary equipment (excluding racket) is broken or needs to be replaced.”

**USTA Comment 4.3:** See **USTA Regulation VI**, which describes tennis formats in which the maximum size of the rackets permitted is shorter than the size authorized by **Appendix II**.

**5. SCORE IN A GAME**

**a. Standard game**

A standard game is scored as follows with the server’s score being called first:

- No point - “Love”
- First point - “15”

- Second point - “30”
- Third point - “40”
- Fourth point - “Game”

except that if each player/team has won three points, the score is “Deuce”. After “Deuce”, the score is “Advantage” for the player/team who wins the next point. If that same player/team also wins the next point, that player/team wins the “Game”; if the opposing player/team wins the next point, the score is again “Deuce”. A player/team needs to win two consecutive points immediately after “Deuce” to win the “Game”.

**b. Tie-break game**

During a tie-break game, points are scored “Zero”, “1”, “2”, “3”, etc. The first player/team to win seven points wins the “Game” and “Set”, provided there is a margin of two points over the opponent(s). If necessary, the tie-break game shall continue until this margin is achieved.

The player whose turn it is to serve shall serve the first point of the tie-break game. The following two points shall be served by the opponent(s) (in doubles, the player of the opposing team due to serve next). After this, each player/team shall serve alternately for two consecutive points until the end of the tie-break game (in doubles, the rotation of service within each team shall continue in the same order as during that set).

The player/team whose turn it was to serve first in the tie-break game shall be the receiver in the first game of the following set.

Additional approved alternative scoring methods can be found in **Appendix IV**.

**USTA Comment 5.1:** “Zero,” “one,” “two,” and “three,” may be substituted for “Love,” “15,” “30,” and “40.” This is particularly appropriate for matches with an inexperienced player or in which one player does not understand English. Hand signals may be used to show the score. This is a common practice with players who are hearing impaired.

**USTA Comment 5.2:** The tiebreak game should not be confused with the 10-Point Match Tiebreak, which under certain circumstances may be played in lieu of the deciding final set. The 10-Point Match Tiebreak is described in **Appendix IV**.

**USTA Comment 5.3:** *In matches without officials, is the server required to call the score at the beginning of each game and the point scores as the games go on?* Yes. This is required by **The Code § 31**. After an official has cautioned a player to call out the score, the official may in a particularly egregious case treat subsequent violations as code violations for unsportsmanlike conduct.

**USTA Comment 5.4:** *The server states that the score is 40-15; the receiver states that the score is 30-30. The players agree on who won every point except for the second point. What should they do?* They should replay the second point from the advantage court. If the server wins the point, the score becomes 40-15; if the receiver wins the point, the score becomes 30-30. The next point is played from the deuce court.

**USTA Comment 5.5:** *What happens in the same situation as USTA Comment 5.4 except that the disputed point is the third point?* Everything is done the same except that the disputed point is played from the deuce court.

**USTA Comment 5.6:** *The players agree that they have played six points in the game but disagree over the score because they do not agree on who won the second point. The receiver acknowledges that the server called the score after each point and that the receiver did not express disagreement with the score until now. What should happen?* The score as announced by the server should prevail because the receiver did not object. Note, however, if the receiver denied hearing the score, then an official would have to be called to go through the normal 3-step process to settle the dispute.

**USTA Comment 5.7:** *When do the players change ends during a tiebreak?* Players change ends after every six points and at the end of the tiebreak. (See **Rule 10.**) They shall change ends during the tiebreak without a rest.

## 6. SCORE IN A SET

There are different methods of scoring in a set. The two main methods are the “advantage set” and the “tie-break set”. Either method may be used provided that the one to be used is announced in advance of the event. If the “tie-break set” method is to be used, it must also be announced whether the final set will be played as a “tie-break set” or an “advantage set”.

**USTA Comment 6.1: USTA Regulation I.E.1.a.** prohibits the playing of advantage sets in sanctioned tournaments and sanctioned matches.

### a. “Advantage Set”

The first player/team to win six games wins that “set”, provided there is a margin of two games over the opponent(s). If necessary, the set shall continue until this margin is achieved.

### b. “Tie-break Set”

The first player/team to win six games wins that “set”, provided there is a margin of two games over the opponent(s). If the score reaches six games all, a tie-break game shall be played.

Additional approved alternative scoring methods can be found in **Appendix IV.**

## 7. SCORE IN A MATCH

A match can be played to the best of 3 sets (a player/team needs to win 2 sets to win the match) or to the best of 5 sets (a player/team needs to win 3 sets to win the match).

Additional approved alternative scoring methods can be found in **Appendix IV.**

## 8. SERVER & RECEIVER

The players/teams shall stand on opposite sides of the net. The server is the player who puts the ball into play for the first point. The receiver is the player who is ready

to return the ball served by the server.

*Case 1:* Is the receiver allowed to stand outside the lines of the court?

*Decision:* Yes. The receiver may take any position inside or outside the lines on the receiver's side of the net.

## 9. CHOICE OF ENDS & SERVICE

The choice of ends and the choice to be server or receiver in the first game shall be decided by toss before the warm-up starts. The player/team who wins the toss may choose:

- a. To be server or receiver in the first game of the match, in which case the opponent(s) shall choose the end of the court for the first game of the match; or
- b. The end of the court for the first game of the match, in which case the opponent(s) shall choose to be server or receiver for the first game of the match; or
- c. To require the opponent(s) to make one of the above choices.

*Case 1:* Do both players/teams have the right to new choices if the warm-up is stopped and the players leave the court?

*Decision:* Yes. The result of the original toss stands, but new choices may be made by both players/teams.

**USTA Comment 9.1:** *When should the toss be made?* The toss should be made before the warm-up so that the players can warm-up on the same end from which they play their first game.

## 10. CHANGE OF ENDS

The players shall change ends at the end of the first, third and every subsequent odd game of each set. The players shall also change ends at the end of each set unless the total number of games in that set is even, in which case the players change ends at the end of the first game of the next set.

During a tie-break game, players shall change ends after every six points. Additional approved alternative procedures can be found in **Appendix IV**.

**USTA Comment 10.1:** *Do the players change ends after a 7-Point Tiebreak is played to decide a set?* Yes.

**USTA Comment 10.2:** *When do the players change ends during a tiebreak that uses the Coman Tiebreak Procedure?* The Coman Tiebreak Procedure is identical to the regular procedure except that the players change ends after the first point, then after every four points, and at the conclusion of the tiebreak.

## 11. BALL IN PLAY

Unless a fault or a let is called, the ball is in play from the moment the server hits the ball, and remains in play until the point is decided.

**USTA Comment 11.1:** *Is a point decided when a good shot has clearly passed a player, or when an apparently bad shot passes over the baseline*

*or sideline?* No. A ball is in play until it bounces twice or lands outside the court, hits a permanent fixture, or hits a player. A ball that becomes embedded in the net is out of play.

**USTA Comment 11.2:** *Must an out call on a player's shot to the opponent's court be made before the opponent's return has either gone out of play or been hit?* Yes.

## 12. BALL TOUCHES A LINE

If a ball touches a line, it is regarded as touching the court bounded by that line.

**USTA Comment 12.1:** *If a player cannot call a ball out with certainty, should the player regard the ball as good?* Yes. **The Code § 6** and **§ 8** require a player to give the opponent the benefit of any doubt.

## 13. BALL TOUCHES A PERMANENT FIXTURE

If the ball in play touches a permanent fixture after it has hit the correct court, the player who hit the ball wins the point. If the ball in play touches a permanent fixture before it hits the ground, the player who hit the ball loses the point.

**USTA Comment 13.1:** *What happens if a ball hits the top of the net outside the singles stick and then lands in the court?* The player who hit the ball loses the point because the ball hit a permanent fixture. In singles the area outside the singles stick is a permanent fixture.

**USTA Comment 13.2:** *Who wins the point if a player hits a ball that hits an object attached to the net or post (such as the scoring device) and then lands in the proper court?* The player who hit the ball loses the point because it hit a permanent fixture before landing in the court.

**USTA Comment 13.3:** *During a rally in a singles match played on a doubles court without singles sticks, a player hits a shot that was going out until it hits the doubles post and ricochets into the proper court. Who wins the point?* Every effort should be made to provide singles sticks for a match that is played on a doubles court so that this situation does not occur. If it does occur, the player who hit the shot wins the point because the entire doubles net and doubles posts are respectively the net and the net posts for this match.

## 14. ORDER OF SERVICE

At the end of each standard game, the receiver shall become the server and the server shall become the receiver for the next game.

In doubles, the team due to serve in the first game of each set shall decide which player shall serve for that game. Similarly, before the second game starts, their opponents shall decide which player shall serve for that game. The partner of the player who served in the first game shall serve in the third game and the partner of the player who served in the second game shall serve in the fourth game. This rotation shall continue until the end of the set.



**USTA Comment 14.1:** *May a doubles team switch its serving order at the beginning of any set or the 10-Point Match Tiebreak?* Yes. There is no requirement that any doubles team retain the same serving order for a new set or 10-Point Match Tiebreak.

## 15. ORDER OF RECEIVING IN DOUBLES

The team which is due to receive in the first game of a set shall decide which player shall receive the first point in the game. Similarly, before the second game starts, their opponents shall decide which player shall receive the first point of that game. The player who was the receiver's partner for the first point of the game shall receive the second point and this rotation shall continue until the end of the game and the set.

After the receiver has returned the ball, either player in a team can hit the ball.

*Case 1:* Is one member of a doubles team allowed to play alone against the opponents?

*Decision:* No.

**USTA Comment 15.1:** *On the first point of a set, may a doubles team position both players on the deuce side of the court?* No. The spirit of the rule requires that the server know which member of the receiving team is going to receive the first point of a set. The same principle applies to the first point of a 10-Point Match Tiebreak.

**USTA Comment 15.2:** *May a doubles team switch its receiving order at the beginning of any set or match tiebreak?* Yes. There is no requirement that any doubles team retain the same receiving order for a new set or match tiebreak.

**USTA Comment 15.3:** *Do the partners have to alternate making shots during a rally?* No. In the course of making a shot, only one partner may hit the ball. If both of them hit the ball, either simultaneously or consecutively, it is an illegal return. Mere clashing of rackets does not make a return illegal unless it is clear that more than one racket touched the ball.

## 16. THE SERVICE

Immediately before starting the service motion, the server shall stand at rest with both feet behind (i.e. further from the net than) the baseline and within the imaginary extensions of the centre mark and the sideline.

The server shall then release the ball by hand in any direction and hit the ball with the racket before the ball hits the ground. The service motion is completed at the moment that the player's racket hits or misses the ball. A player who is able to use only one arm may use the racket for the release of the ball.

**USTA Comment 16.1:** *May a player serve underhanded?* Yes. There is no restriction in the rules on the kind of service motion that a server may use.

## 17. SERVING

When serving in a standard game, the server shall stand behind alternate halves of the court, starting from the right half of the court in every game.

In a tie-break game, the service shall be served from behind alternate halves of the court, with the first served from the right half of the court.

The service shall pass over the net and hit the service court diagonally opposite, before the receiver returns it.

**USTA Comment 17.1:** *What happens if the receiver volleys the serve?* The server wins the point unless the serve hit the net, in which case it is a let.

## 18. FOOT FAULT

During the service motion, the server shall not:

- a. Change position by walking or running, although slight movements of the feet are permitted; or
- b. Touch the baseline or the court with either foot; or
- c. Touch the area outside the imaginary extension of the sideline with either foot; or
- d. Touch the imaginary extension of the centre mark with either foot.

If the server breaks this rule it is a “Foot Fault”.

*Case 1:* In a singles match, is the server allowed to serve standing behind the part of the baseline between the singles sideline and the doubles sideline?

*Decision:* No.

*Case 2:* Is the server allowed to have one or both feet off the ground?

*Decision:* Yes.

**USTA Comment 18.1:** *Where may the server stand?* In singles, the server may stand anywhere behind the baseline between the imaginary extensions of the inside edge of the center mark and the outside edge of the singles sideline. In doubles, the server may stand anywhere behind the baseline between the imaginary extensions of the inside edge of the center mark and the outside edge of the doubles sideline.

**USTA Comment 18.2:** *What does the rule mean when it says that the server may “not change position by walking or running”?* One key to understanding this rule is to realize that the server’s feet must be at rest immediately before beginning to serve. The delivery of the service then begins with any arm or racket motion and ends when the racket contacts the ball (or misses the ball in attempt to strike it).

To define walking or running with precision is difficult. This rule is intended to prevent the server from taking advantage of the receiver by serving while “on the move” and requiring the receiver to guess the position from which the serve will be launched, and the rule should be enforced with that intent in mind.

- A server who takes more than one step with either foot after the “feet at rest” position described above is at risk for being called for a foot fault. The serve becomes a foot fault when, in the judgment of an experienced official, the server has materially changed

position before or during any racket or arm motion.

- A server whose footwork changes significantly from one serve to the next is at risk for being called for a foot fault.
- Serves that look like the running volleyball serve violate the rule. Serves in which the server runs or walks from a point well behind the baseline to the baseline are also illegal, as are serves in which the server walks or runs along the baseline before choosing a spot from which to deliver the serve.

**USTA Comment 18.3:** *When does a foot fault occur?* A player commits a foot fault if after the player's feet are at rest but before the player strikes the ball, either foot touches:

- the court, including the baseline;
- any part of the imaginary extension of the center mark; or
- beyond the imaginary extension of the outside of the singles sideline in singles or the doubles sideline in doubles.

**USTA Comment 18.4:** *Is it a foot fault if the server's foot touches the baseline and then the server catches the tossed ball instead of attempting to strike it?* This is not a foot fault as long as the server makes no attempt to strike the ball.

**USTA Comment 18.5:** *May a player ask an official how he foot faulted?* Yes. The official should then give a brief answer.

**USTA Comment 18.6:** *When may the receiver or the receiver's partner call foot faults?* The receiver or the receiver's partner may call foot faults only after all reasonable efforts such as warning the server and attempting to get an official to the court have failed and the foot faulting is so flagrant as to be clearly perceptible from the receiver's side.

## 19. SERVICE FAULT

The service is a fault if:

- a. The server breaks **Rules 16, 17, or 18**; or
- b. The server misses the ball when trying to hit it; or
- c. The ball served touches a permanent fixture, singles stick or net post before it hits the ground; or
- d. The ball served touches the server or server's partner, or anything the server or server's partner is wearing or carrying.

*Case 1:* After tossing a ball to serve, the server decides not to hit it and catches it instead. Is this a fault?

*Decision:* No. A player, who tosses the ball and then decides not to hit it, is allowed to catch the ball with the hand or the racket, or to let the ball bounce.

*Case 2:* During a singles match played on a court with net posts and singles sticks, the ball served hits a singles stick and then hits the correct service court. Is this a fault?

*Decision:* Yes.

## 20. SECOND SERVICE

If the first service is a fault, the server shall serve again without delay from behind the same half of the court from which that fault was served, unless the service was from the wrong half.

**USTA Comment 20.1:** *Before returning the second serve, may the receiver clear a ball from a first service fault that has rebounded onto the playing area?* Yes.

## 21. WHEN TO SERVE & RECEIVE

The server shall not serve until the receiver is ready. However, the receiver shall play to the reasonable pace of the server and shall be ready to receive within a reasonable time of the server being ready.

A receiver who attempts to return the service shall be considered as being ready. If it is demonstrated that the receiver is not ready, the service cannot be called a fault.

**USTA Comment 21.1:** *Does this rule apply to the first and second serve?* Yes. This rule applies separately to both the first and second serve.

**USTA Comment 21.2:** *Once ready, can the receiver become unready?* The receiver cannot become unready unless outside interference occurs.

**USTA Comment 21.3:** *May the server hit the serve just as the receiver looks up after getting into the ready position?* No. The receiver is not ready until the receiver is in the ready position and has a second or two to make eye contact with the server.

**USTA Comment 21.4:** *How much time may elapse from the moment the ball goes out of play at the end of the point until the serve is struck to start the next point?* When practical this time should not exceed 20 seconds. This limit does not apply if a player has to chase a stray ball.

**USTA Comment 21.5:** *Does the 20-second provision of **Rule 29** apply to the second serve?* No. The server must strike the second serve without delay.

**USTA Comment 21.6:** *May the server suddenly increase the pace?* No. The server may speed up the pace only so long as the new pace is reasonable and only so long as the change does not occur suddenly.

**USTA Comment 21.7:** *What happens when the server observes that the receiver appears to be ready and hits the second serve in, but the receiver makes no attempt to return it?* The server wins the point if the receiver had no reason for not being ready; if the receiver was not ready because of something within the receiver's control (broken string or contact lens problem), then the server gets two serves; and if the receiver was not ready because of some reasonable factor such as clearing the errant first serve or a ball from an adjacent court, then the server gets one serve. If the

time to clear the ball from the adjacent court is so prolonged as to constitute an interruption, the receiver should offer the server two serves.

## 22. THE LET DURING A SERVE

The service is a let if:

- a. The ball served touches the net, strap or band, and is otherwise good; or, after touching the net, strap or band, touches the receiver or the receiver's partner or anything they wear or carry before hitting the ground; or
- b. The ball is served when the receiver is not ready.

In the case of a service let, that particular service shall not count, and the server shall serve again, but a service let does not cancel a previous fault.

Additional approved alternative procedures can be found in **Appendix IV**.

**USTA Comment 22.1:** *May the receiver claim a let if the server loses control and grip of the racket and it lands during the service in the server's court?* No. Such an occurrence is not sufficiently unusual to justify a let.

## 23. THE LET

In all cases when a let is called, except when a service let is called on a second service, the whole point shall be replayed.

*Case 1:* When the ball is in play, another ball rolls onto court. A let is called. The server had previously served a fault. Is the server now entitled to a first service or second service?

*Decision:* First service. The whole point must be replayed.

**USTA Comment 23.1:** *What happens when the server is interrupted during delivery of the second service?* The server is entitled to two serves.

**USTA Comment 23.2:** *What happens when there is a delay between the first and second serves?* If the delay is caused by the receiver (such as a broken string or contact lens problem), an official, or outside interference, the whole point is replayed. If the server caused the delay, such as when the server breaks a string, the server gets one serve. Note that a spectator's call ("out," "fault," or other), a spectator's ringing cell phone, or grunting on an adjacent court is not basis for replaying the point. Action should be taken to prevent further spectator interference.

**USTA Comment 23.3:** *What happens when a ball from an adjacent court rolls on the court between the first and second serves?* The time it takes to clear an intruding ball between the first and second serves is not considered sufficient time to warrant the server receiving two serves unless this time is so prolonged as to constitute an interruption. The receiver is the judge of whether the delay is sufficiently prolonged to justify giving the server two serves.

**USTA Comment 23.4:** *Who may call a let?* Only an official or player may call a let. A player may call a let only on the player's court.

## 24. PLAYER LOSES POINT

The point is lost if:

- a. The player serves two consecutive faults; or
- b. The player does not return the ball in play before it bounces twice consecutively; or
- c. The player returns the ball in play so that it hits the ground, or before it bounces, an object, outside the correct court; or
- d. The player returns the ball in play so that, before it bounces, it hits a permanent fixture; or
- e. The receiver returns the service before it bounces; or
- f. The player deliberately carries or catches the ball in play on the racket or deliberately touches it with the racket more than once; or
- g. The player or the racket, whether in the player's hand or not, or anything which the player is wearing or carrying touches the net, net posts/singles sticks, cord or metal cable, strap or band, or the opponent's court at any time while the ball is in play; or
- h. The player hits the ball before it has passed the net; or
- i. The ball in play touches the player or anything that the player is wearing or carrying, except the racket; or
- j. The ball in play touches the racket when the player is not holding it; or
- k. The player deliberately and materially changes the shape of the racket when the ball is in play; or
- l. In doubles, both players touch the ball when returning it.

*Case 1:* After the server has served a first service, the racket falls out of the server's hand and touches the net before the ball has bounced. Is this a service fault, or does the server lose the point?

*Decision:* The server loses the point because the racket touches the net while the ball is in play.

*Case 2:* After the server has served a first service, the racket falls out of the server's hand and touches the net after the ball has bounced outside the correct service court. Is this a service fault, or does the server lose the point?

*Decision.* This is a service fault because when the racket touched the net the ball was no longer in play.

*Case 3.* In a doubles match, the receiver's partner touches the net before the ball that has been served touches the ground outside the correct service court. What is the correct decision?

*Decision.* The receiving team loses the point because the receiver's partner touched the net while the ball was in play.

*Case 4:* Does a player lose the point if an imaginary line in the extension of the net is crossed before or after hitting the ball?

*Decision:* The player does not lose the point in either case provided the player does not touch the opponent's court.

*Case 5.* Is a player allowed to jump over the net into the opponent's court while the ball is in play?

*Decision.* No. The player loses the point.

*Case 6.* A player throws the racket at the ball in play. Both the racket and the ball land in the court on the opponent's side of the net and the opponent(s) is unable to reach the ball. Which player wins the point?

*Decision.* The player who threw the racket at the ball loses the point.

*Case 7.* A ball that has just been served hits the receiver or in doubles the receiver's partner before it touches the ground. Which player wins the point?

*Decision.* The server wins the point, unless it is a service let.

*Case 8.* A player standing outside the court hits the ball or catches it before it bounces and claims the point because the ball was definitely going out of the correct court.

*Decision.* The player loses the point, unless it is a good return, in which case the point continues.

**USTA Comment 24.1:** *Does a player lose the point if the player's hat hits the net?* Yes. A player loses the point when any part of the player's body, equipment, or apparel touches the net.

**USTA Comment 24.2:** *What happens if the ball hits a player's hat that landed on the court earlier in the point?* The ball remains in play because the opponent did not ask for a let. When play continued after the hat landed on the court, the hat became a part of the court. Therefore when a ball hits the hat, it is treated in the same manner as if the ball had hit the court.

**USTA Comment 24.3:** *If a player's hat falls off during a point, may the opponent stop play and claim the point?* No, but the opponent's immediate request for a let should be granted. A let should not be granted after the point nor should a request from the player who lost the hat.

**USTA Comment 24.4:** *What happens if a player's damping device comes out and hits the net or the opponent's court?* The player loses the point unless the ball went out of play before the device hit the net or court. If the device is not discovered until after the point is over, the point stands as played.

**USTA Comment 24.5:** *Does a player lose a point if the ball hits his racket twice during one swing?* No. Only when there is a definite and deliberate "second push" by the player does the shot become illegal. "Deliberately" is the key word in this rule. Two hits occurring during a single continuous swing are not deemed a double hit.

**USTA Comment 24.6:** *Does the clashing of rackets make the return illegal?* No. Unless it is clear that more than one racket touched the ball.

**USTA Comment 24.7:** *Does a player who touches a pipe support that runs across the court at the bottom of the net lose the point?* Yes. The pipe support is considered a part of the net *except* when a ball hits it, in which case the pipe support is considered part of the court.

**USTA Comment 24.8:** *What happens if a player stretches to hit a ball, the racket falls to the ground, and the ball then goes into the court for a winner?* The player wins the point unless the racket was not in the player's hand at the instant the ball was struck.

## 25. A GOOD RETURN

It is a good return if:

- a. The ball touches the net, net posts/singles sticks, cord or metal cable, strap or band, provided that it passes over any of them and hits the ground within the correct court; except as provided in **Rule 2** and **24 (d)**; or
- b. After the ball in play has hit the ground within the correct court and has spun or been blown back over the net, the player reaches over the net and plays the ball into the correct court, provided that the player does not break **Rule 24**; or
- c. The ball is returned outside the net posts, either above or below the level of the top of the net, even though it touches the net posts, provided that it hits the ground in the correct court; except as provided in **Rules 2** and **24 (d)**; or
- d. The ball passes under the net cord between the singles stick and the adjacent net post without touching either net, net cord or net post and hits the ground in the correct court; or
- e. The player's racket passes over the net after hitting the ball on the player's own side of the net and the ball hits the ground in the correct court; or
- f. The player hits the ball in play, which hits another ball lying in the correct court.

*Case 1:* A player returns a ball which then hits a singles stick and hits the ground in the correct court. Is this a good return?

*Decision:* Yes. However, if the ball is served and hits the singles stick, it is a service fault.

*Case 2:* A ball in play hits another ball which is lying in the correct court. What is the correct decision?

*Decision:* Play continues. However, if it is not clear that the actual ball in play has been returned, a let should be called.

**USTA Comment 25.1:** *What happens if the ball in play strikes a ball that came from another court after the start of the point?* Replay the point.

**USTA Comment 25.2:** *Must a request to remove a ball that is lying in the opponent's court be honored?* Yes, but not while the ball is in play. Additionally, a request to remove a ball that is outside the court but reasonably close to the lines also must be honored.

**USTA Comment 25.3:** *In doubles is it a good return if a ball passes under the net cord and inside the post without touching either in a doubles match?* No. This is a "through."

**USTA Comment 25.4:** *Does a player lose the point if the opponent's ball touches a pipe support that runs across the court at the bottom of the net?* No. The pipe support is considered a part of the court *except that* it is considered part of the net when a player, or anything the player wears or carries, touches the pipe support.

## 26. HINDRANCE

If a player is hindered in playing the point by a deliberate act of the opponent(s), the player shall win the point.



However, the point shall be replayed if a player is hindered in playing the point by either an unintentional act of the opponent(s), or something outside the player's own control (not including a permanent fixture).

*Case 1:* Is an unintentional double hit a hindrance?

*Decision:* No. See also **Rule 24 (f)**.

*Case 2:* A player claims to have stopped play because the player thought that the opponent(s) was being hindered. Is this a hindrance?

*Decision:* No, the player loses the point.

*Case 3:* A ball in play hits a bird flying over the court. Is this a hindrance?

*Decision:* Yes, the point shall be replayed.

*Case 4:* During a point, a ball or other object that was lying on the player's side of the net when the point started hinders the player. Is this a hindrance?

*Decision:* No.

*Case 5:* In doubles, where are the server's partner and receiver's partner allowed to stand?

*Decision:* The server's partner and the receiver's partner may take any position on their own side of the net, inside or outside the court. However, if a player is creating a hindrance to the opponent(s), the hindrance rule should be used.

**USTA Comment 26.1:** *What is the difference between a deliberate and an unintentional act?* Deliberate means a player did what the player intended to do, even if the result was unintended. An example is a player who hits a short lob in doubles and loudly shouts "back" just before an opponent hits the overhead. (See **The Code § 34**.) Unintentional refers to an act over which a player has no control, such as a hat blowing off or a scream after a wasp sting.

**USTA Comment 26.2:** *Can a player's own action be the basis for that player claiming a let or a hindrance?* No. Nothing a player does entitles that player to call a let. For example, a player is not entitled to a let because the player breaks a string, the player's hat falls off, or a ball in the player's pocket falls out.

**USTA Comment 26.3:** *What happens if a player's cell phone rings?* If the phone rings during the point, the opponent may stop the point and claim the point based on deliberate hindrance. If the cell phone rings between points, the interruption is treated as a Time Violation warning or point penalty depending on whether a previous Time Violation has been issued. In both cases the player shall turn off the cell phone. There is no penalty for a vibrating phone, but the player should immediately turn off the phone unless the Referee has specifically authorized the player to wear a cell phone in vibrate mode.

**USTA Comment 26.4:** *May the Referee authorize a player to wear a cell phone in vibrate mode?* Yes. Unless the Referee specifically authorizes a player to wear a cell phone in vibrate mode, any cell phone that is brought to the court must be turned off and placed so that it can be neither seen nor heard. A Referee might authorize a doctor or emergency medical responder who is on call to wear a cell phone in vibrate mode. The Referee should advise the opponent that the player is authorized to wear the cell phone. If the authorized cell phone vibrates during a point, play continues unless the

opponent claims a let based on an unintentional hindrance. If a player's cell phone rings (not just vibrates), the opponent may stop the point and claim the point on the grounds of a deliberate hindrance.

**USTA Comment 26.5:** *Can the server's discarding of a second ball constitute a hindrance?* Yes. If the receiver or an official asks the server to stop discarding the ball, then the server shall stop. Any continued discarding of the ball constitutes a deliberate hindrance, and the server loses the point.

**USTA Comment 26.6:** *Is an out call or other noise from a spectator a hindrance that allows a point to be replayed?* No. The actions of a spectator in an area designated for spectators is not the basis for replaying a point.

## 27. CORRECTING ERRORS

As a principle, when an error in respect of the Rules of Tennis is discovered, all points previously played shall stand. Errors so discovered shall be corrected as follows:

- a. During a standard game or a tie-break game, if a player serves from the wrong half of the court, this should be corrected as soon as the error is discovered and the server shall serve from the correct half of the court according to the score. A fault that was served before the error was discovered shall stand.
- b. During a standard game or a tie-break game, if the players are at the wrong ends of the court, the error should be corrected as soon as it is discovered and the server shall serve from the correct end of the court according to the score.
- c. If a player serves out of turn during a standard game, the player who was originally due to serve shall serve as soon as the error is discovered. However, if a game is completed before the error is discovered the order of service shall remain as altered. In this case, any ball change to be made after an agreed number of games should be made one game later than originally scheduled.

A fault that was served by the opponents(s) before the error was discovered shall not stand.

In doubles, if the partners of one team serve out of turn, a fault that was served before the error was discovered shall stand.

- d. If a player serves out of turn during a tie-break game and the error is discovered after an even number of points have been played, the error is corrected immediately. If the error is discovered after an odd number of points have been played, the order of service shall remain as altered.

A fault that was served by the opponent(s) before the error was discovered shall not stand.

In doubles, if the partners of one team serve out of turn, a fault that was served before the error was discovered shall stand.

- e. During a standard game or a tie-break game in doubles, if there is an error in the order of receiving, this shall remain as altered until the end of the game in which the error is discovered. For the next game in which they are the receivers in that set, the partners shall then resume the original order of receiving.
- f. If in error a tie-break game is started at 6 games all, when it was previously agreed that the set would be an "advantage set", the error shall be corrected

- immediately if only one point has been played. If the error is discovered after the second point is in play, the set will continue as a “tie-break set”.
- g. If in error a standard game is started at 6 games all, when it was previously agreed that the set would be a “tie-break set”, the error shall be corrected immediately if only one point has been played. If the error is discovered after the second point is in play, the set will continue as an “advantage set” until the score reaches 8 games all (or a higher even number), when a tie-break game shall be played.
  - h. If in error an “advantage set” or “tie-break set” is started, when it was previously agreed that the final set would be a match tie-break, the error shall be corrected immediately if only one point has been played. If the error is discovered after the second point is in play, the set will continue either until a player or team wins three games (and therefore the set) or until the score reaches 2 games all, when a match tie-break shall be played. However, if the error is discovered after the second point of the fifth game has started, the set will continue as a “tie-break set”. (See **Appendix IV.**)
  - i. If the balls are not changed in the correct sequence, the error shall be corrected when the player/team who should have served with new balls is next due to serve a new game. Thereafter the balls shall be changed so that the number of games between ball changes shall be that originally agreed. Balls should not be changed during a game.

### USTA Comments on Correcting Errors

**USTA Comment 27.1: Errors as to Ends, Sides, Rotation, Service Order, Etc.** The general guiding philosophy regarding any mistakes made by players in failing to change ends, serving from wrong ends, serving to the wrong court, receiving from the wrong court, etc., is this: Any such error shall be rectified as soon as discovered but not while the ball is in play, and any points completed under the erroneous condition shall be counted.

There are only three exceptions to the “rectify immediately” requirement. One is in the case of a doubles match where the players of one team happened to reverse their left court/right court receiving lineup in the middle of a set, and the switch is discovered in the middle of a game. In this case the players finish that game in the “new” positions, but resume their original lineup in all receiving games thereafter in that set.

The second is where a ball change has not taken place in proper sequence. **Rule 27i** says that this mistake shall be corrected when the player, or pair in case of doubles, who should have served with the new balls is next due to serve. Do not change in mid-game.

The third occurs in a tiebreak, either singles or doubles, in various situations.

**USTA Comment 27.2:** *The tournament announced on its entry form that a 10-Point Match Tiebreak would be used in lieu of the third set. The players inadvertently play a regular set until they realize the mistake at 3-0. What should happen?* Since the mistake was discovered before the start of the fifth game, pursuant to **Rule 27h** the player who is ahead 3-0 has won the set and the final set score should be shown as 3-0.

**USTA Comment 27.3:** *The tournament announced on its entry form that a 10-Point Match Tiebreak would be used in lieu of the third set. The players inadvertently play a regular set until they realize the mistake at 2-1 and 30-all. What should happen?* Since the mistake was discovered before the start of the fifth game, the players must continue playing until the score reaches 3-1 or 2-2. If the score reaches 3-1, the player who is ahead wins the set and the final set score is recorded as 3-1. If the score reaches 2-2, a 10-Point Match Tiebreak is played. The score is recorded as 3-2(x) with the score in the 10-Point Match Tiebreak placed inside the parentheses.

**USTA Comment 27.4:** *The tournament announced on its entry form that a 10-Point Match Tiebreak would be used in lieu of the third set. The players inadvertently play a regular set until they realize the mistake after the server has served a first service fault at the beginning of the second point of the fifth game of the final set. What should happen?* Regardless of whether the score is 2-2, 3-1, or 4-0, the players must continue playing a full set because they have started the second point of the fifth game. If the score reaches 6-all the players would play a 7-Point Set Tiebreak. The score is recorded the same as any other tiebreak set.

**USTA Comment 27.5:** *Player A should have served the first point of the second set tiebreak, but instead Player B served the first point. Pursuant to Rule 27d, the order of service remained as altered. Who serves the first game of the final set?* Player B serves the first game. **Rule 5b** states that the player whose turn it was to serve first in the set tiebreak shall be the receiver in the first game of the following set.

**USTA Comment 27.6:** *Same situation as in USTA Comment 27.5 except that a 10-Point Match Tiebreak is to be played in lieu of the third set. Who serves first in the 10-Point Match Tiebreak that is to be played in lieu of the final set?* Player B.

**USTA Comment 27.7:** *The tournament announced that a 10-Point Match Tiebreak would be played in lieu of the third set. The players split sets. With Player A ahead 7-5, Player A comes to the net to shake hands with Player B. Player B refuses to shake hands because Player B contends that the match tiebreak is not over. What should happen?* The players should keep on playing because the 10-Point Match Tiebreak is not yet over.

**USTA Comment 27.8:** *Same situation as in USTA Comment 27.7 except that Player B shakes hands. The players report to the Referee that Player A won the match tiebreak 7-5. Does Player A win the match?* Yes. By shaking hands the players have acknowledged that they agreed the match was over. Even though the USTA mandates the use of the 10-Point Match Tiebreak, the 7-Point Tiebreak was played in good faith, so Player A wins the match, and the final set score should be recorded 1-0(5). (See **The Code § 2**.)

**USTA Comment 27.9.** *Matches in a tournament are supposed to be the best of three tiebreak sets. The players mistakenly start playing a 10-Point Match Tiebreak in lieu of the final set. The error is discovered after Player A has served a first service fault at the beginning of the second point of the 10-Point Match Tiebreak. Should the players continue playing a match tiebreak?* Yes. Since the players have started the second point, they must finish the 10-Point Match Tiebreak.

**USTA Comment 27.10.** *What happens if a match is supposed to be played with No-Ad scoring but the players mistakenly use conventional scoring?* All games played count. All points count. If the score is deuce when the mistake is discovered, immediately convert to No-Ad scoring. Otherwise continue using conventional scoring until a player wins the game or the score reaches deuce. If the score reaches deuce, the winner of the next point wins the game. (The receiver has the choice of sides except in mixed doubles.)

**USTA Comment 27.11.** *What happens if a match is supposed to be played with short sets but the players mistakenly play a conventional tiebreak set?* (First note that a mistake has not occurred unless one player has won five games or unless the score is four-all and the players have started to play the second point of the ninth game.) What should happen depends on the score.

- If the error is discovered when one player leads by two games or more, stop play and the player in the lead wins the set.
- If the error is discovered when one player leads by one game, play one more game or complete the game in progress. If the player now leads by two games, then that player wins the set. If, on the other hand, the set is now tied, play a 7-Point Set Tiebreak to determine the winner of the set.
- If the error is discovered when the game score is tied and at least one point has been played in the next game, complete the game in progress and one additional game. If one player wins both games, then that player wins the set. If, on the other hand, the score is now tied, play a 7-Point Set Tiebreak to determine the winner of the set.
- If the error is discovered when the score is tied, play a 7-Point Set Tiebreak to determine the winner of the set.

**USTA Comment 27.12.** *The tournament announced on its entry form that the Coman Tiebreak Procedure would be used. The players inadvertently use the conventional procedure. The mistake is discovered at 1-all in the tiebreak. What should happen?* Because the mistake was discovered after the players had started playing the second point of the tiebreak, the players finish the set using the conventional tiebreak procedure.

## 28. ROLE OF COURT OFFICIALS

For matches where officials are appointed, their roles and responsibilities can be found in **Appendix V**.

## 29. CONTINUOUS PLAY

As a principle, play should be continuous, from the time the match starts (when the first service of the match is put in play) until the match finishes.

- a. Between points, a maximum of twenty (20) seconds is allowed. When the players change ends at the end of a game, a maximum of ninety (90) seconds are allowed. However, after the first game of each set and during a tie-break game, play shall be continuous and the players shall change ends without a rest.

**USTA Comment 29.1:** The 20-second time limit does not apply if a player has to chase a stray ball. See **Rule 21** and **USTA Comments 21.1-7** for more information about when the server and receiver must be ready.

At the end of each set there shall be a set break of a maximum of one hundred and twenty (120) seconds.

The maximum time starts from the moment that one point finishes until the first service is struck for the next point.

Event organisers may apply for ITF approval to extend the ninety (90) seconds allowed when the players change ends at the end of a game and the one hundred and twenty (120) seconds allowed at a set break.

- b. If, for reasons outside the player's control, clothing, footwear or necessary equipment (excluding the racket) is broken or needs to be replaced, the player may be allowed reasonable extra time to rectify the problem.

**USTA Comment 29.2:** Reasonable extra time is determined by the official based on a number of variables such as fairness to the opponent and the distance between the court and a source for replacement clothing, footwear, or equipment. Rarely would more than 15 minutes be considered reasonable.

- c. No extra time shall be given to allow a player to recover condition. However, a player suffering from a treatable medical condition may be allowed one medical timeout of three minutes for the treatment of that medical condition. A limited number of toilet/change of attire breaks may also be allowed, if this is announced in advance of the event.

**USTA Comment 29.3:** Change of attire breaks are limited to set breaks unless there is a clothing malfunction. Toilet breaks are allowed when an official determines that the need is genuine. They should be taken at set breaks unless there is a true emergency, in which case the break preferably is taken during an odd game changeover, but may be taken immediately. (See **Table 13** and **USTA Regulation III.F.**) Breaks taken at other times should be limited to true emergencies. Gastrointestinal problems are medical conditions that are governed by medical timeout provisions and not by the toilet break provision.

- d. Event organisers may allow a rest period of a maximum of ten (10) minutes if this is announced in advance of the event. This rest period can be taken after the 3rd set in a best of 5 sets match, or after the 2nd set in a best of 3 sets match.

**USTA Comment 29.4: Table 12 in USTA Regulation III.C.** sets forth provisions for rest between sets in different divisions.

- e. The warm-up time shall be a maximum of five (5) minutes, unless otherwise decided by the event organisers.

**USTA Comment 29.5:** *If there are no ball persons, may the warm-up be extended beyond five minutes?* Yes. The warm-up may be extended to 10 minutes.

**USTA Comment 29.6:** *May play be suspended to replace a lost contact lens?* Yes. Whenever possible the player should replace the lens on the court. If the weather, court surface, or other conditions make it impossible to insert the lens on the court, the player should insert the lens in the area closest to the court where this task is possible.

**USTA Comment 29.7:** *When the weather is misty, may play be suspended to allow a player who wears glasses to insert contact lenses?* No. The player may change into contacts only on the court and only during a changeover. This case is different from a lost contact lens because the player chose to begin playing the match with glasses.

**USTA Comment 29.8:** See **USTA Comment 4.2** for what happens when a player asks to leave the court to get a replacement racket.

**USTA Comment 29.9:** *When are the players entitled to another warm-up after their match has been suspended?* The players are entitled to a re-warm-up of the same duration as the original warm-up if a match has been suspended for more than 15 minutes. They are not entitled to a re-warm-up after an authorized intermission. When possible, used balls other than the match balls should be used for the re-warm-up, and the match balls should be used only once play resumes. If match balls are used for the re-warm-up and balls are being changed after a designated number of games, the next ball change occurs two games sooner.

**USTA Comment 29.10:** *A best-of-five sets match with an authorized rest period after the third set is suspended because of darkness at one set all. The match is resumed the next day. When Player A wins a long set, Player B claims entitlement to a rest period. Is Player B entitled to a rest period?* No. Although this was the third set of the match, it was only the first set on that day. If there is a prolonged interruption, such as one caused by rain, and play is resumed on the same day, the players should be informed when a rest period may be taken, if one is authorized.

## 30. COACHING

Coaching is considered to be communication, advice or instruction of any kind, audible or visible, to a player.

In team events where there is a team captain sitting on-court, the team captain may

coach the player(s) during a set break and when the players change ends at the end of a game, but not when the players change ends after the first game of each set and not during a tie-break game.

In all other matches, coaching is not allowed.

*Case 1:* Is a player allowed to be coached, if the coaching is given by signals in a discreet way?

*Decision:* No.

*Case 2:* Is a player allowed to receive coaching when play is suspended?

*Decision:* Yes.

*Case 3:* Is a player allowed to receive on-court coaching during a match?

*Decision:* Sanctioning bodies may apply to the ITF to have an on-court coach allowed. In events where on-court coaching is allowed, designated coaches enter the court and coach their players under procedures decided by the sanctioning body.

**USTA Comment 30.1:** A player may bring to the court written notes that were prepared before the start of the match and may read these notes during the match. A player may not use electronic devices such as cell phones, digital messaging systems, radios, mp3 players, cd and dvd players, cassette players, and any device capable of receiving communication. Hearing aids and watches not capable of receiving messages are permitted. A player desiring to use any other electronic device should first ask the Referee whether the device may be used.

**USTA Comment 30.2:** *Is coaching permitted during authorized rest periods?* Yes. Coaching is permitted during an authorized 3-minute or 10-minute rest period. However, an authorized rest period does not include:

- A toilet/change of attire break;
- A 2-minute set break;
- Medical timeout;
- Bleeding timeout;
- When players remain on court for a short stoppage of play such as during slight rain or repair of the net or net strap;
- When a player leaves the court seeking the assistance of the Referee; or
- When equipment or clothing is being adjusted.

**USTA Comment 30.3:** *Is coaching permitted in the USTA League programs?* No. Even though the USTA League programs are team competitions for adults and seniors, coaching is not permitted under league rules, except during authorized rest periods or as otherwise permitted.

## RULES OF WHEELCHAIR TENNIS

The game of wheelchair tennis follows the ITF Rules of Tennis with the following exceptions.

**a. The Two Bounce Rule**

The wheelchair tennis player is allowed two bounces of the ball. The player must return the ball before it bounces a third time. The second bounce can be either in or out of the court boundaries.



**b. The Wheelchair**

The wheelchair is considered part of the body and all applicable rules, which apply to a player's body, shall apply to the wheelchair.

**c. The Service**

The service shall be delivered in the following manner:

- i. Immediately before commencing the service, the server shall be in a stationary position. The server shall then be allowed one push before striking the ball.
- ii. The server shall throughout the delivery of the service not touch with any wheel, any area other than that behind the baseline within the imaginary extension of the centre mark and sideline.
- iii. If conventional methods for the service are physically impossible for a quad player, then the player or another individual may drop the ball for such a player and allow it to bounce before it is struck. If this is the case, the same method of serving must be used for the entire match.

**d. Player Loses Point**

A player loses a point if:

- i. The player fails to return the ball before it has bounced three times; or
- ii. Subject to rule e) below the player uses any part of his feet or lower extremities against the ground or against any wheel while delivering service, stroking a ball, turning or stopping against the ground or against any wheel while the ball is in play; or
- iii. The player fails to keep one buttock in contact with his wheelchair seat when contacting the ball.

**e. Propelling the Chair with the Foot**

- i. If due to lack of capacity a player is unable to propel the wheelchair via the wheel then he may propel the wheelchair using one foot.
- ii. Even if in accordance with rule e) i. above a player is permitted to propel the chair using one foot, no part of the player's foot may be in contact with the ground:
  - a) during the forward motion of the swing, including when the racket strikes the ball;
  - b) from the initiation of the service motion until the racket strikes the ball.
- iii. A player in breach of this rule shall lose the point.

**f. Wheelchair/Able-bodied Tennis**

Where a wheelchair tennis player is playing with or against an able-bodied person in singles or doubles, the Rules of Wheelchair Tennis shall apply for the wheelchair player while the Rules of Tennis for able-bodied tennis shall apply for the able-bodied player. In this instance, the wheelchair player is allowed two bounces while the able-bodied player is allowed only one bounce.

**Note:** The definition of lower extremities is: the lower limbs, including the buttocks, hips, thighs, legs, ankles and feet.

## **AMENDMENT TO THE RULES OF TENNIS**

The official and decisive text to the Rules of Tennis shall be for ever in the English language and no alteration or interpretation of such Rules shall be made except at an Annual General Meeting of the Council, nor unless notice of the resolution embodying such alteration shall have been received by the Federation in accordance with Article 17 of the Constitution of ITF Ltd (Notice of Resolutions) and such resolution or one

having the like effect shall be carried by a majority of two-thirds of the votes recorded in respect of the same.

Any alteration so made shall take effect as from the first day of January following unless the Meeting shall by the like majority decide otherwise.

The Board of Directors shall have power, however, to settle all urgent questions of interpretation subject to confirmation at the General Meeting next following.

This Rule shall not be altered at any time without the unanimous consent of a General Meeting of the Council.

**USTA Comment:** The ITF, not the USTA, is responsible for the Rules of Tennis. Amendments to the Rules of Tennis are made through the procedures of the ITF. Rule 69 of the ITF controls the manner in which amendments may be made to the Rules of Tennis. Amendments to USTA Comments are made by the process described in **USTA Regulation XIX.H.**

APPENDIX I

THE BALL

For all measurements in Appendix I, SI units shall take precedence.

- a. The ball shall have a uniform outer surface consisting of a fabric cover and shall be white or yellow in colour. If there are any seams they shall be stitchless.
- b. More than one type of ball is specified. The ball shall conform to the requirements shown in Table 1.

TABLE 1. TENNIS BALL SPECIFICATION

	TYPE 1 (FAST)	TYPE 2 (MEDIUM) <sup>1</sup>	TYPE 3 (SLOW) <sup>2</sup>	HIGH ALTITUDE <sup>3</sup>
MASS (WEIGHT)	56.0-59.4 g. (1.975-2.095 oz.)	56.0-59.4 g. (1.975-2.095 oz.)	56.0-59.4 g. (1.975-2.095 oz.)	56.0-59.4 g. (1.975-2.095 oz.)
SIZE	6.54-6.86 cm (2.57-2.70 in.)	6.54-6.86 cm (2.57-2.70 in.)	7.00-7.30 cm (2.76-2.87 in.)	6.54-6.86 cm (2.57-2.70 in.)
REBOUND	135-147 cm (53-58 in.)	135-147 cm (53-58 in.)	135-147 cm (53-58 in.)	122-135 cm (48-53 in.)
FORWARD DEFORMATION <sup>4</sup>	0.50-0.60 cm (0.197-0.236 in.)	0.56-0.74 cm (0.220-0.291 in.)	0.56-0.74 cm (0.220-0.291 in.)	0.56-0.74 cm (0.220-0.291 in.)
RETURN DEFORMATION <sup>4</sup>	0.67-0.91 cm (0.264-0.358 in.)	0.80-1.08 cm (0.315-0.425 in.)	0.80-1.08 cm (0.315-0.425 in.)	0.80-1.08 cm (0.315-0.425 in.)

Notes:

- <sup>1</sup> This ball may be pressurised or pressureless. The pressureless ball shall have an internal pressure that is no greater than 7 kPa (1 psi) and may be used for high altitude play above 1,219 m (4,000 feet) above sea level and shall have been acclimatised for 60 days or more at the altitude of the specific tournament.
- <sup>2</sup> This ball is also recommended for high altitude play on any court surface type above 1,219 m (4,000 feet) above sea level.
- <sup>3</sup> This ball is pressurised and is an additional ball specified for high altitude play above 1,219 m (4,000 feet) above sea level only.
- <sup>4</sup> The deformation shall be the average of a single reading along each of three perpendicular axes. No two individual readings shall differ by more than .08 cm (.031 inches).

- c. In addition the ball shall conform to the requirements for durability as shown in the following table.

	MASS (WEIGHT)	REBOUND	FORWARD DEFORMATION	RETURN DEFORMATION
<b>MAXIMUM CHANGE<sup>1</sup></b>	0.4 grams (0.014 oz.)	4.0 cm (1.6 inches)	0.08 cm (0.031 inches)	0.10 cm (0.039 inches)

**Notes:**

<sup>1</sup> The largest permissible change in the specified properties resulting from the durability test described in the current edition of *ITF Approved Tennis Balls & Classified Court Surfaces*. The durability test uses laboratory equipment to simulate the effects of nine games of play.

- d. All tests for rebound, mass, size, deformation and durability shall be made in accordance with the Regulations described in the current edition of *ITF Approved Tennis Balls & Classified Court Surfaces*.

### CLASSIFICATION OF COURT PACE

The ITF test method used for determining the pace of a court surface is ITF CS 01/02 (ITF Court Pace Rating) as described in the ITF publication entitled “ITF guide to test methods for tennis court surfaces”.

Court surfaces which have an ITF Court Pace Rating of 0 to 29 shall be classified as being Category 1 (slow pace). Examples of court surface types which conform to this classification will include most clay courts and other types of unbound mineral surface.

Court surfaces which have an ITF Court Pace Rating of 30 to 34 shall be classified as being Category 2 (medium-slow pace), while court surfaces with an ITF Court Pace Rating of 35 to 39 shall be classified as being Category 3 (medium pace). Examples of court surface types which conform to this classification will include most acrylic coated surfaces plus some carpet surfaces.

Court surfaces with an ITF Court Pace Rating of 40 to 44 shall be classified as being Category 4 (medium-fast pace), while court surfaces which have an ITF Court Pace Rating of 45 or more shall be classified as being Category 5 (fast pace). Examples of court surface types which conform to this classification will include most natural grass, artificial grass and some carpet surfaces.

*Case 1:* Which ball type should be used on which court surface?

*Decision:* 3 different types of balls are approved for play under the Rules of Tennis, however:

- Ball Type 1 (fast speed) is intended for play on slow pace court surfaces.
- Ball Type 2 (medium speed) is intended for play on medium-slow, medium, and medium-fast pace court surfaces.
- Ball Type 3 (slow speed) is intended for play on fast pace court surfaces.

**Note:** For a two-year trial (2012- 2013), in addition to the balls defined above, the stage 1 (green) ball defined in Appendix VI may be used for all levels of competitive play except for world ranking professional tennis events, Davis Cup and Fed Cup, Junior Tournaments and Team events sanctioned by the ITF and affiliated regional associations, ITF Senior circuit and team events and ITF Wheelchair Circuit and Team events.

During this trial period each National Association shall have the right to decide which national competitive events should use the stage 1 (green) ball.

## APPENDIX II

### THE RACKET

For all measurements in Appendix II, SI units shall take precedence.

- a. The hitting surface, defined as the main area of the stringing pattern bordered by the points of entry of the strings into the frame or points of contact of the strings with the frame, whichever is the smaller, shall be flat and consist of a pattern of crossed strings connected to a frame and alternately interlaced or bonded where they cross. The stringing pattern must be generally uniform and, in particular, not less dense in the centre than in any other area.

The racket shall be designed and strung such that the playing characteristics are identical on both faces. The racket shall be free of attached objects, protrusions and devices other than those utilised solely and specifically to limit or prevent wear and tear or vibration or, for the frame only, to distribute weight. These objects, protrusions and devices must be reasonable in size and placement for such purposes.

- b. The frame of the racket shall not exceed 73.7 cm (29.0 inches) in overall length, including the handle. The frame of the racket shall not exceed 31.7 cm (12.5 inches) in overall width. The hitting surface shall not exceed 39.4 cm (15.5 inches) in overall length, and 29.2 cm (11.5 inches) in overall width.
- c. The frame, including the handle, and the strings, shall be free of any device which makes it possible to change materially the shape of the racket, or to change materially the weight distribution in the direction of the longitudinal axis of the racket which would alter the swing moment of inertia, or to change deliberately any physical property which may affect the performance of the racket during the playing of a point. No energy source that in any way changes or affects the playing characteristics of a racket may be built into or attached to a racket.
- d. The racket must be free of any device that may provide communication, advice or instruction of any kind, audible or visible, to a player during a match.

**USTA Comment II.1:** See **USTA Regulation VI.** which describes tennis formats in which the maximum size of the rackets permitted is shorter than the size authorized by **Appendix II.**

## APPENDIX III

### ADVERTISING

1. Advertising is permitted on the net as long as it is placed on the part of the net that is within 3 feet (0.914 m) from the centre of the net posts and is produced in such a way that it does not interfere with the vision of the players or the playing conditions.

A mark (non-commercial) of the sanctioning body is permitted on the lower part of the net, minimum 20 inches (0.51 m) from the top of the net, as long as it is produced in such a way that it does not interfere with the vision of the players or the playing conditions.

2. Advertising and other marks or material placed at the back and sides of the court shall be permitted unless it interferes with the vision of the players or the playing conditions.
3. Advertising and other marks or material placed on the court surface outside the lines is permitted unless it interferes with the vision of the players or the playing conditions.

4. Notwithstanding paragraphs (1), (2) and (3) above, any advertising, marks or material placed on the net or placed at the back and sides of the court, or on the court surface outside the lines may not contain white or yellow or other light colours that may interfere with the vision of the players or the playing conditions.
5. Advertising and other marks or material are not permitted on the court surface inside the lines of the court.

## **APPENDIX IV**

### **ALTERNATIVE PROCEDURES AND SCORING METHODS**

#### **SCORE IN A GAME (Rule 5):**

##### **“No-Ad” SCORING METHOD**

This alternative scoring method may be used.

A No-Ad game is scored as follows with the server’s score being called first:

No point	-	“Love”
First point	-	“15”
Second point	-	“30”
Third point	-	“40”
Fourth point	-	“Game”

If both players/teams have won three points each, the score is “deuce” and a deciding point shall be played. The receiver(s) shall choose whether to receive the service from the right half or the left half of the court. In doubles, the players of the receiving team cannot change positions to receive this deciding point. The player/team who wins the deciding point wins the “game”.

In mixed doubles, the player of the same gender as the server shall receive the deciding point. The players of the receiving team cannot change positions to receive the deciding point.

**USTA Comment IV.1: USTA Regulation III.G.1.** authorizes the Referee to switch to No-Ad scoring before the start of any round without prior notice in all tournaments other than USTA Junior National Championships and USTA Regional Tournaments after inclement weather or other factors cause the tournament to fall behind its published schedule.

**USTA Comment IV.2:** Traditional scoring or numeric scoring may be used with the No-Ad scoring method.

#### **SCORE IN A SET (Rules 6 and 7):**

##### **1. “SHORT” SETS**

The first player/team who wins four games wins that set, provided there is a margin of two games over the opponent(s). If the score reaches four games all, a tie-break game shall be played.

##### **2. MATCH TIE-BREAK (7 POINTS)**

When the score in a match is one set all, or two sets all in best of five sets matches, one tie-break game shall be played to decide the match. This tie-break game replaces the deciding final set.

The player/team who first wins seven points shall win this match tie-break and the match provided there is a margin of two points over the opponent(s).

**USTA Comment IV.3: USTA Regulation I.E.1.b.** prohibits the playing of a 7-Point Tiebreak as the match tiebreak.

### 3. MATCH TIE-BREAK (10 POINTS)

When the score in a match is one set all, or two sets all in best of five sets matches, one tie-break game shall be played to decide the match. This tie-break game replaces the deciding final set.

The player/team who first wins ten points shall win this match tie-break and the match provided there is a margin of two points over the opponent(s).

**Note:** *When using the match tie-break to replace the final set:*

- *the original order of service continues. (Rules 5 and 14)*
- *in doubles, the order of serving and receiving within the team may be altered, as in the beginning of each set. (Rules 14 and 15)*
- *before the start of the match tie-break there shall be a 120 seconds set break.*
- *balls should not be changed before the start of the match tie-break even if a ball change is due.*

**USTA Comment IV.4:** If the previous set did not go to a tiebreak, under **Rule 14** the order of service continues. If the previous set went to a tiebreak, under **Rule 5** the player or team that was scheduled to serve first in the tiebreak receives first in the 10-Point Match Tiebreak.

**USTA Comment IV.5: USTA Regulation I.E.1.b.** authorizes the 10-Point Match Tiebreak in lieu of a deciding final set. **USTA Regulation III.G.2.** explains when the Referee may switch the match format to a 10-Point Match Tiebreak in lieu of a deciding final set.

**Table 19** lists the tournaments on the National Junior Tournament Schedule in which the 10-Point Match Tiebreak is played in lieu of a deciding final set. If there is inclement weather, health concerns, or safety concerns, the Director of Junior Competition or the Director's Designee may authorize the Referee to play a 10-Point Match Tiebreak in lieu of a deciding final set at USTA Junior National Championships and USTA Regional Tournaments. (See **USTA Regulation IX.A.7.**)

**USTA Regulation X.A.3.g.** explains when 10-Point Match Tiebreaks may be played in lieu of a deciding final set at Category I USTA Adult, Senior, and Family National Championships. **USTA Regulation XIII.A.3.d.** and **h.** explains when 10-Point Match Tiebreaks may be played in lieu of a deciding final set at Category I USTA Wheelchair National Championships.

### CHANGE OF ENDS (Rule 10) (Coman Tiebreak Procedure):

This alternative to the change of ends sequence in a tie-break game may be used.

During a tie-break game, players shall change ends after the first point and thereafter after every four points.

### THE LET DURING A SERVICE (Rule 22):

This alternative is play without the service let in Rule 22a.

It means that a serve that touches the net, strap or band, is in play.

(This alternative is commonly known as the “no let rule.”)

## APPENDIX V

### ROLE OF COURT OFFICIALS

The Referee is the final authority on all questions of tennis law and the Referee's decision is final.

In matches where a Chair Umpire is assigned, the Chair Umpire is the final authority on all questions of fact during the match.

The players have the right to call the Referee to court if they disagree with a Chair Umpire's interpretation of tennis law.

In matches where Line Umpires and Net Umpires are assigned, they make all calls (including foot-fault calls) relating to that line or net. The Chair Umpire has the right to overrule a Line Umpire or a Net Umpire if the Chair Umpire is sure that a clear mistake has been made. The Chair Umpire is responsible for calling any line (including foot-faults) or net where no Line Umpire or Net Umpire is assigned.

A Line Umpire who cannot make a call shall signal this immediately to the Chair Umpire who shall make a decision. If the Line Umpire can not make a call, or if there is no Line Umpire, and the Chair Umpire can not make a decision on a question of fact, the point shall be replayed.

In team events where the Referee is sitting on-court, the Referee is also the final authority on questions of fact.

Play may be stopped or suspended at any time the Chair Umpire decides it is necessary or appropriate.

The Referee may also stop or suspend play in the case of darkness, weather or adverse court conditions. When play is suspended for darkness, this should be done at the end of a set, or after an even number of games have been played in the set in progress. After a suspension in play, the score and position of players on-court in the match shall stand when the match resumes.

The Chair Umpire or Referee shall make decisions regarding continuous play and coaching in respect of any Code of Conduct that is approved and in operation.

*Case 1:* The Chair Umpire awards the server a first service after an overrule, but the receiver argues that it should be a second service, since the server had already served a fault. Should the Referee be called to court to give a decision?

*Decision:* Yes. The Chair Umpire makes the first decision about questions of tennis law (issues relating to the application of specific facts). However, if a player appeals the Chair Umpire's decision, then the Referee shall be called to make the final decision.

*Case 2:* A ball is called out, but a player claims that the ball was good. May the Referee be called to court to make a decision?

*Decision:* No. The Chair Umpire makes the final decision on questions of fact (issues relating to what actually happened during a specific incident).

*Case 3:* Is a Chair Umpire allowed to overrule a Line Umpire at the end of a point if, in the Chair Umpire's opinion, a clear mistake was made earlier in the point?

*Decision:* No. A Chair Umpire may only overrule a Line Umpire immediately after the clear mistake has been made.

*Case 4:* A Line Umpire calls a ball "Out" and then the player argues that the ball was good. Is the Chair Umpire allowed to overrule the Line Umpire?

*Decision:* No. A Chair Umpire must never overrule as the result of the protest or appeal by a player.

*Case 5:* A Line Umpire calls a ball "Out". The Chair Umpire was unable to see clearly, but thought the ball was in. May the Chair Umpire overrule the Line Umpire?

*Decision:* No. The Chair Umpire may only overrule when sure that the Line Umpire made a clear mistake.

**Case 6:** Is a Line Umpire allowed to change the call after the Chair Umpire has announced the score?

**Decision:** Yes. If a Line Umpire realises a mistake, a correction should be made as soon as possible provided it is not as the result of a protest or appeal of a player.

**Case 7:** If a Chair Umpire or Line Umpire calls “out” and then corrects the call to good, what is the correct decision?

**Decision:** The Chair Umpire must decide if the original “out” call was a hindrance to either player. If it was a hindrance, the point shall be replayed. If it was not a hindrance, the player who hit the ball wins the point.

**Case 8:** A ball is blown back over the net and the player correctly reaches over the net to try to play the ball. The opponent(s) hinders the player from doing this. What is the correct decision?

**Decision:** The Chair Umpire must decide if the hindrance was deliberate or unintentional and either awards the point to the hindered player or order the point to be replayed.

**USTA Comment V.1:** *What is the difference between a “question of fact” and a “question of law”?* “Questions of fact” involve whether a specific event happened. Examples include whether a:

- Ball is in;
- Ball touched a player;
- Ball bounced twice; and
- Server's foot touched the baseline before the serve was struck.

“Questions of law” involve the application of the rules or regulations to facts that have already been determined. Examples include:

- Whether an act was a hindrance;
- Whether a player should have been assessed a code violation; and
- The procedure for correcting errors in serving order, serving and receiving positions, and ends.

## **BALL MARK INSPECTION PROCEDURES**

1. Ball mark inspections can only be made on clay courts.
2. A ball mark inspection requested by a player (team) shall be allowed only if the Chair Umpire cannot determine the call with certainty from his/her chair on either a point-ending shot or when a player (team) stops playing the point during a rally (returns are permitted but then the player must immediately stop).
3. When the Chair Umpire has decided to make a ball mark inspection, he/she should go down from the chair and make the inspection himself. If he/she does not know where the mark is, he/she can ask the Line Umpire for help in locating the mark, but then the Chair Umpire shall inspect it.
4. The original call or overrule will always stand if the Line Umpire and Chair Umpire cannot determine the location of the mark or if the mark is unreadable.
5. Once the Chair Umpire has identified and ruled on a ball mark, this decision is final and not appealable.
6. In clay court tennis the Chair Umpire should not be too quick to announce the score unless absolutely certain of the call. If in doubt, wait before calling the score to determine whether a ball mark inspection is necessary.



7. In doubles the appealing player must make his/her appeal in such a way that either play stops or the Chair Umpire stops play. If an appeal is made to the Chair Umpire then he/she must first determine that the correct appeal procedure was followed. If it was not correct or if it was late, then the Chair Umpire may determine that the opposing team was deliberately hindered.
8. If a player erases the ball mark before the Chair Umpire has made a final decision, he/she concedes the call.
9. A player may not cross the net to check a ball mark without being subject to the Unsportsmanlike provision of the Code of Conduct.

**USTA Comment V.2.:** See **FAC Comment VII.C-6** for additional procedures.

## **ELECTRONIC REVIEW PROCEDURES**

At tournaments where an Electronic Review System is used, the following procedures should be followed for matches on courts where it is used.

1. A request for an Electronic Review of a line call or overrule by a player (team) shall be allowed only on either a point-ending shot or when a player (team) stops playing the point during a rally (returns are permitted but then the player must immediately stop).
2. The Chair Umpire should decide to use the Electronic Review when there is doubt about the accuracy of the line call or overrule. However, the Chair Umpire may refuse the Electronic Review if he/she believes that the player is making an unreasonable request or that it was not made in a timely manner.
3. In doubles the appealing player must make his/her appeal in such a way that either play stops or the Chair Umpire stops play. If an appeal is made to the Chair Umpire then he/she must first determine that the correct appeal procedure was followed. If it was not correct or if it was late, then the Chair Umpire may determine that the opposing team was deliberately hindered, in which case the appealing team loses the point.
4. The original call or overrule will always stand if the Electronic Review is unable, for whatever reason, to make a decision on that line call or overrule.
5. The Chair Umpire's final decision will be the outcome of the Electronic Review and is not appealable. If a manual choice is required for the system to review a particular ball impact, a review official approved by the Referee shall decide which ball impact is reviewed.
6. Each player (team) is allowed three (3) unsuccessful appeals per set, plus one (1) additional appeal in the tie-break. For matches with advantage sets, players (teams) will start again with a maximum of 3 unsuccessful appeals at 6 games all and every 12 games thereafter. For matches with match tie-break, the match tie-break counts as a new set and each player (team) starts with three (3) appeals. Players (teams) will have an unlimited number of successful appeals.

## **APPENDIX VI**

### **10 AND UNDER TENNIS COMPETITION**

#### **COURTS**

In addition to the (full sized) court described in Rule 1, the following court dimensions may be used for 10 and under tennis competition:

- A court, designated “red” for the purpose of 10 and under tennis competition, shall be a rectangle, between 36 feet (10.97 m) and 42 feet (12.80 m) long, and between 16 feet (4.88 m) and 20 feet (6.10 m) wide. The net shall be between 31.5 inches (0.800 m) and 33.0 inches (0.838 m) high at the centre.
- A court, designated “orange”, shall be a rectangle, between 59 feet (17.98 m) and 60 feet (18.29 m) long, and between 21 feet (6.40 m) and 27 feet (8.23 m) wide. The net shall be between 31.5 inches (0.800 m) and 36.0 inches (0.914 m) high at the centre.

## BALLS

Only the following ball types can be used in 10 and under tennis competition:

- A stage 3 (red) ball, which is recommended for play on a “red” court, by players aged up to 8 years, using a racket up to 23 inches (58.4 cm) long.
- A stage 2 (orange) ball, which is recommended for play on an “orange” court, by players aged 8 to 10 years, using a racket between 23 inches (58.4 cm) and 25 inches (63.5 cm) long.
- A stage 1 (green) ball, which is recommended for play on a full sized court, by advanced players aged 9 to 10 years, using a racket between 25 inches (63.5 cm) and 26 inches (66.0 cm) long.

**Note:** Other ball types described in **Appendix I** cannot be used in 10 and under tennis competition.

### Specifications for Stage 1, 2 and 3 Balls:

	STAGE 3 (RED) FOAM	STAGE 3 (RED) STANDARD	STAGE 2 (ORANGE) STANDARD	STAGE 1 (GREEN) STANDARD
<b>MASS (WEIGHT)</b>	25.0-43.0 g. (0.882-1.517 oz.)	36.0-49.0 g. (1.270-1.728 oz.)	36.0-46.9 g. (1.270-1.654 oz.)	47.0-51.5 g. (1.658-1.817 oz.)
<b>SIZE</b>	8.00-9.00 cm (3.15-3.54 in.)	7.00-8.00 cm (2.76-3.15 in.)	6.00-6.86 cm (2.36-2.70 in.)	6.30-6.86 cm (2.48-2.70 in.)
<b>REBOUND</b>	85-105 cm (33-41 in.)	90-105 cm (35-41 in.)	105-120 cm (41-47 in.)	120-135 cm (47-53 in.)
<b>FORWARD DEFORMATION</b>	_____	_____	1.40-1.65 cm (0.551-0.650 in.)	0.80-1.05 cm (0.315-0.413 in.)

All tests for rebound, mass, size and deformation shall be made in accordance with the regulations described in the current edition of *ITF Approved Tennis Balls & Classified Court Surfaces*.

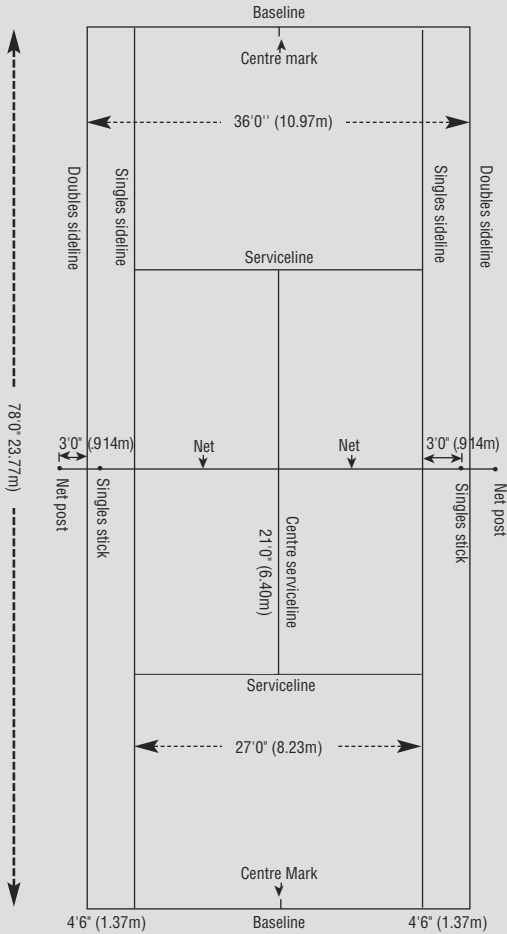
## SCORING METHODS

For 10 and under tennis competition using stage 3 (red), stage 2 (orange) or stage 1 (green) balls, scoring methods specified in the Rules of Tennis (including the **Appendix IV**) can be utilised, in addition to short duration scoring methods involving matches of one match tie-break, best of 3 tie-breaks/match tie-breaks or one set.

**USTA Comment VI.1:** See **USTA Regulation VI.**, which contains additional provisions for 10 and under tennis competition.

# APPENDIX VII

## PLAN OF THE COURT



**Note:** All court measurements shall be made to the outside of the lines.

**Fig. 1**

## APPENDIX VIII

### SUGGESTIONS ON HOW TO MARK OUT A COURT

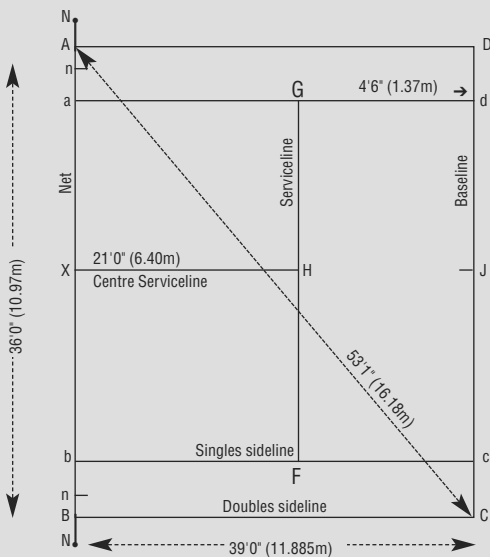


Fig. 2

**Note:** All court measurements shall be made to the outside of the lines.

The following procedure is for the usual combined doubles and singles court. (See note at foot for a court for one purpose only.)

First select the position of the net; a straight line 42 feet (12.80 m) long. Mark the centre (X on the diagram above) and, measuring from there in each direction, mark:

- at 13'6" (4.11 m) the points a, b, where the net crosses the inner sidelines,
- at 16'6" (5.03 m) the positions of the singles sticks (n, n),
- at 18'0" (5.48 m) the points A, B, where the net crosses the outer sidelines,
- at 21'0" (6.40 m) the positions of the net posts (N, N), being the ends of the original 42'0" (12.80 m) line.

Insert pegs at A and B and attach to them the respective ends of two measuring tapes. On one, which will measure the diagonal of the half-court, take a length 53'1" (16.18 m) and on the other (to measure the sideline) a length of 39'0" (11.89 m). Pull both taut so that at these distances they meet at a point C, which is one corner of the court. Reverse the measurements to find the other corner D. As a check on this operation it is advisable at this stage to verify the length of the line CD which, being the baseline, should be found to be 36'0" (10.97m); and at the same time its centre J can be marked, and also the ends of the inner sidelines (c, d), 4'6" (1.37 m) from C and D.

The centreline and serviceline are now marked by means of the points F, H, G, which are measured 21'0" (6.40 m) from the net down the lines bc, XJ, ad, respectively.

Identical procedure the other side of the net completes the court.

If a singles court only is required, no lines are necessary outside the points a, b, c, d, but the court can be measured out as above. Alternatively, the corners of the baseline (c, d) can be found if preferred by pegging the two tapes at a and b instead of at A and B, and by then using lengths of 47'5" (14.46 m) and 39'0" (11.89 m). The net posts will be at n, n, and a 33'0" (10 m) singles net should be used.

When a combined doubles and singles court with a doubles net is used for singles, the net must be supported at the points n, n, to a height of 3 feet 6 inches (1.07 m) by means of two singles sticks, which shall be not more than 3 inches (7.5 cm) square or 3 inches (7.5 cm) in diameter. The centres of the singles sticks shall be 3 feet (.914 m) outside the singles court on each side.

To assist in the placing of these singles sticks it is desirable that the points n, n, should each be shown with a white dot when the court is marked.

**Note:** As a guide for international competitions, the recommended minimum distance between the baselines and the backstops should be 21 feet (6.40 m) and between the sidelines and the sidestops the recommended minimum distance should be 12 feet (3.66 m).

As a guide for recreational and Club play, the recommended minimum distance between the baselines and the backstops should be 18 feet (5.48 m) and between the sidelines and the sidestops the recommended minimum distance should be 10 feet (3.05 m).

As a guide, the recommended minimum top height to the ceiling should be 30 feet (9.14 m).

### USTA Comment L.1: Tennis Court Layout

All courts should be laid out for singles and doubles play. The same lines—except for the sideline extensions for doubles play—are required for each.

Courts in the northern two-thirds of the United States should generally be laid out with the long axis north and south; it is advantageous, however, to orient the courts in the southern one-third of the country 15°-25° west of true (not magnetic) north in order to minimize the adverse effects of the afternoon winter sun.

**Figure 1** indicates the exact dimensions of the lines. Note that the dimensions shown in the diagram are measurements to the outside edge of the lines.

Most courts are laid out with lines two inches (2") wide. Lines may be one inch (1") to two inches (2") wide excepting the center service line, which must be two inches (2") wide, and the baselines, which may be up to four inches (4") wide.

For more detailed information on the subject, *Tennis Courts*, a book containing United States Tennis Association and American Sports Builders Association recommendations for the construction, maintenance, and equipment needs of a tennis court installation, can be obtained by calling 866-501-ASBA.

# APPENDIX IX

## PROCEDURES FOR REVIEW AND HEARINGS ON THE RULES OF TENNIS

### 1. INTRODUCTION

**1.1** These procedures were approved by the Board of Directors of the International Tennis Federation (“Board of Directors”) on 17 May 1998.

**1.2** The Board of Directors may from time to time supplement, amend, or vary these procedures.

### 2. OBJECTIVES

**2.1** The International Tennis Federation is the custodian of the Rules of Tennis and is committed to:

- a. Preserving the traditional character and integrity of the game of tennis.
- b. Actively preserving the skills traditionally required to play the game.
- c. Encouraging improvements, which maintain the challenge of the game.
- d. Ensuring fair competition.

**2.2** To ensure fair, consistent and expeditious review and hearings in relation to the Rules of Tennis the procedures set out below shall apply.

### 3. SCOPE

**3.1** These Procedures shall apply to Rulings under:

- a. **Rule 1**—The Court.
- b. **Rule 3**—The Ball.
- c. **Rule 4**—The Racket.
- d. **Appendix I and II** of the Rules of Tennis.
- e. Any other Rules of Tennis which the International Tennis Federation may decide.

### 4. STRUCTURE

**4.1** Under these procedures Rulings shall be issued by a Ruling Board.

**4.2** Such Rulings shall be final save, for an entitlement to appeal to an Appeal Tribunal pursuant to these procedures.

### 5. APPLICATION

**5.1** Rulings shall be taken either:

- a. Following a motion of the Board of Directors; or
- b. Upon the receipt of an application in accordance with the procedures set out below.

### 6. APPOINTMENT AND COMPOSITION OF RULING BOARDS

**6.1** Ruling Boards shall be appointed by the President of the International Tennis Federation (“President”) or his designee and shall comprise of such a number, as the President or his designee shall determine.

**6.2** If more than one person is appointed to the Ruling Board the Ruling Board shall nominate one person from amongst themselves to act as Chairperson.

**6.3** The Chairperson shall be entitled to regulate the procedures prior to and at any review and/or hearing of a Ruling Board.

## **7. PROPOSED RULINGS BY THE RULING BOARD**

**7.1** The details of any proposed Ruling issued upon the motion of the Board of Directors may be provided to any bona fide person or any players, equipment manufacturer or national association or members thereof with an interest in the proposed Ruling.

**7.2** Any person so notified shall be given a reasonable period within which to forward comments, objections, or requests for information to the President or his designee in connection with the proposed Ruling.

## **8. APPLICATION FOR RULINGS**

**8.1** An application for a Ruling may be made by any party with a bona fide interest in the Ruling including any player, equipment manufacturer or national association or member thereof.

**8.2** Any application for a Ruling must be submitted in writing to the President.

**8.3** To be valid an application for a Ruling must include the following minimum information:

- a. The full name and address of the Applicant.
- b. The date of the application.
- c. A statement clearly identifying the interest of the Applicant in the question upon which a Ruling is requested.
- d. All relevant documentary evidence upon which the Applicant intends to rely at any hearing.
- e. If, in the opinion of the Applicant, expert evidence is necessary he shall include a request for such expert evidence to be heard. Such request must identify the name of any expert proposed and their relevant expertise.
- f. When an application for a Ruling on a racket or other piece of equipment is made, a prototype or, exact, copy of the equipment in question must be submitted with the application for a Ruling.
- g. If, in the opinion of the Applicant, there are extraordinary or unusual circumstances, which require a Ruling to be made within a specified time or before a specified date he shall include a statement describing the extraordinary or unusual circumstances.

**8.4** If an application for a Ruling does not contain the information and/or equipment referred to at Clause 8.3 (a)-(g) above the President or his designee shall notify the Applicant giving the Applicant a specified reasonable time within which to remedy the defect. If the Applicant fails to remedy the defect within the specified time the application shall be dismissed.

## **9. CONVENING THE RULING BOARD**

**9.1** On receipt of a valid application or on the motion of the Board of Directors the President or his designee may convene a Ruling Board to deal with the application or motion.

**9.2** The Ruling Board need not hold a hearing to deal with an application or motion where the application or motion, in the opinion of the Chairperson can be resolved in a fair manner without a hearing.

## **10. PROCEDURE OF THE RULING BOARD**

**10.1** The Chairperson of a Ruling Board shall determine the appropriate form, procedure and date of any review and/or hearing.

**10.2** The Chairperson shall provide written notice of those matters set out at 10.1 above to any Applicant or any person or association who has expressed an interest in the proposed Ruling.

**10.3** The Chairperson shall determine all matters relating to evidence and shall not be bound by judicial rules governing procedure and admissibility of evidence provided that the review and/or hearing is conducted in a fair manner with a reasonable opportunity for the relevant parties to present their case.

**10.4** Under these procedures any review and/or hearings:

**a.** Shall take place in private.

**b.** May be adjourned and/or postponed by the Ruling Board.

**10.5** The Chairperson shall have the discretion to co-opt from time to time additional members onto the Ruling Board with special skill or experience to deal with specific issues, which require such special skill or experience.

**10.6** The Ruling Board shall take its decision by a simple majority. No member of the Ruling Board may abstain.

**10.7** The Chairperson shall have the complete discretion to make such order against the Applicant [and/or other individuals or organisations commenting objecting or requesting information at any review and/or hearing] in relation to the costs of the application and/or the reasonable expenses incurred by the Ruling Board in holding tests or obtaining reports relating to equipment subject to a Ruling as he shall deem appropriate.

## **11. NOTIFICATION**

**11.1** Once a Ruling Board has reached a decision it shall provide written notice to the Applicant, or, any person or association who has expressed an interest in the proposed Ruling as soon as reasonably practicable.

**11.2** Such written notice shall include a summary of the reasoning behind the decision of the Ruling Board.

**11.3** Upon notification to the Applicant or upon such other date specified by the Ruling Board the Ruling of the Ruling Board shall be immediately binding under the Rules of Tennis.

## **12. APPLICATION OF CURRENT RULES OF TENNIS**

**12.1** Subject to the power of the Ruling Board to issue interim Rulings the current Rules of Tennis shall continue to apply until any review and/or hearing of the Ruling Board is concluded and a Ruling issued by the Ruling Board.

**12.2** Prior to and during any review and/or hearing the Chairperson of the Ruling Board may issue such directions as are deemed reasonably necessary in the implementation of the Rules of Tennis and of these procedures including the issue of interim Rulings.

**12.3** Such interim Rulings may include restraining orders on the use of any equipment under the Rules of Tennis pending a Ruling by the Ruling Board as to whether or not the equipment meets the specification of the Rules of Tennis.



### **13. APPOINTMENT AND COMPOSITION OF APPEAL TRIBUNALS**

**13.1** Appeal Tribunals shall be appointed by the President or his designee from [members of the Board of Directors/Technical Commission].

**13.2** No member of the Ruling Board who made the original Ruling shall be a member of the Appeal Tribunal.

**13.3** The Appeal Tribunal shall comprise of such number as the President or his designee shall determine but shall be no less than three.

**13.4** The Appeal Tribunal shall nominate one person from amongst themselves to act as Chairperson.

**13.5** The Chairperson shall be entitled to regulate the procedures prior to and at any appeal hearing.

### **14. APPLICATION TO APPEAL**

**14.1** An Applicant [or a person or association who has expressed an interest and forwarded any comments, objections, or requests to a proposed Ruling] may appeal any Ruling of the Ruling Board.

**14.2** To be valid an application for an appeal must be:

- a. Made in writing to the Chairperson of the Ruling Board who made the Ruling appealed not later than [45] days following notification of the Ruling;
- b. Must set out details of the Ruling appealed against; and
- c. Must contain the full grounds of the appeal.

**14.3** Upon receipt of a valid application to appeal the Chairperson of the Ruling Board making the original Ruling may require a reasonable appeal fee to be paid by the Appellant as a condition of appeal. Such appeal fee shall be repaid to the Appellant if the appeal is successful.

### **15. CONVENING THE APPEAL TRIBUNAL**

**15.1** The President or his designee shall convene the Appeal Tribunal following payment by the Appellant of any appeal fee.

### **16. PROCEDURES OF APPEAL TRIBUNAL**

**16.1** The Appeal Tribunal and their Chairperson shall conduct procedures and hearings in accordance with those matters set out in sections 10, 11 and 12 above.

**16.2** Upon notification to the Appellant or upon such other date specified by the Appeal Tribunal the Ruling of the Appeal Tribunal shall be immediately binding and final under the Rules of Tennis.

### **17. GENERAL**

**17.1** If a Ruling Board consists of only one member that single member shall be responsible for regulating the hearing as Chairperson and shall determine the procedures to be followed prior to and during any review and/or hearing.

**17.2** All review and/or hearings shall be conducted in English. In any hearing where an Applicant, and/or other individuals or organisations commenting, objecting or requesting information do not speak English an interpreter must be present. Wherever practicable the interpreter shall be independent.

**17.3** The Ruling Board or Appeal Tribunal may publish extracts from its own Rulings.

**17.4** All notifications to be made pursuant to these procedures shall be in writing.

**17.5** Any notifications made pursuant to these procedures shall be deemed notified upon the date that they were communicated, sent or transmitted to the Applicant or other relevant party.

**17.6** A Ruling Board shall have the discretion to dismiss an application if in its reasonable opinion the application is substantially similar to an application or motion upon which a Ruling Board has made a decision and/or Ruling within the 36 months prior to the date of the application.

If you have a rules question, send full details by email to [officiating@usta.com](mailto:officiating@usta.com).

## PART 2—THE CODE

### THE PLAYERS' GUIDE TO FAIR PLAY AND THE UNWRITTEN RULES OF TENNIS

*The Code* is not part of the ITF Rules of Tennis. Players shall follow *The Code*, except to the extent to which an official assumes some of their responsibilities. This edition of *The Code* is an adaptation of the original.

#### PREFACE

When a serve hits a player's partner who is stationed at the net, is it a let, fault, or loss of point? Likewise, what is the ruling when a serve, before touching the ground, hits an opponent who is standing *back* of the baseline? The answers to these questions are obvious to anyone who knows the fundamentals of tennis, but it is surprising the number of players who don't know these fundamentals. All players have a responsibility to be familiar with the basic rules and customs of tennis. Further, it can be distressing when a player makes a decision in accordance with a rule and the opponent protests with the remark: "Well, I never heard of that rule before!" Ignorance of the rules constitutes a delinquency on the part of a player and often spoils an otherwise good match.

What is written here constitutes the essentials of *The Code*, a summary of procedures and unwritten rules that custom and tradition dictate all players should follow. No system of rules will cover every specific problem or situation. If players of goodwill follow the principles of *The Code*, they should always be able to reach an agreement, while at the same time making tennis more fun and a better game for all. The principles set forth in *The Code* shall apply in cases not specifically covered by the **ITF Rules of Tennis** or the **USTA Regulations**.

Before reading this, the following question may come to mind: Since there is a book that contains all the rules of tennis, is there a need for *The Code*? Isn't it sufficient to know and understand all the rules? There are a number of things not specifically set forth in the rules that are covered by custom and tradition only. For example, if there is doubt on a line call, the opponent gets the benefit of the doubt. This result cannot be found in the rules. Further, custom dictates the standard procedures that players will use in reaching decisions. These are the reasons a code is needed.

—Col. Nick Powel

#### PRINCIPLES

1. *Courtesy is expected.* Tennis is a game that requires cooperation and courtesy.
2. *Points played in good faith are counted.* All points played in good faith stand. For example, if after losing a point, a player discovers that the net was four inches too high, the point stands. If a point is played from the wrong court, there is no replay. If during a point, a player realizes that a mistake was made at the beginning (for example, service from the wrong court), the player shall continue playing the point. Corrective action may be taken only after a point has been completed.

Shaking hands at the end of a match is an acknowledgment by the players that the match is over.

## WARM-UP

3. *Warm-up is not practice.* A player should provide the opponent a warm-up of five to ten minutes. If a player declines to warm up the opponent, the player forfeits the right to a warm-up, and the opponent may warm up with another person. Some players confuse warm-up and practice. Each player should try to hit shots directly to the opponent. (If partners want to warm each other up while their opponents are warming up, they may do so.)

4. *Warm-up serves are taken before first serve of match.* A player should take all warm-up serves before the first serve of a match. A player who returns serves should return them at a moderate pace in a manner that does not disrupt the server.

## MAKING CALLS

5. *Player makes calls on own side of net.* A player calls all shots landing on, or aimed at, the player's side of the net.

6. *Opponent gets benefit of doubt.* When a match is played without officials, the players are responsible for making decisions, particularly for line calls. There is a subtle difference between player decisions and those of an on-court official. An official impartially resolves a problem involving a call, whereas a player is guided by the principle that any doubt must be resolved in favor of an opponent. A player in attempting to be scrupulously honest on line calls frequently will keep a ball in play that might have been out or that the player discovers too late was out. *Even so, the game is much better played this way.*

7. *Ball touching any part of line is good.* If any part of a ball touches a line, the ball is good. A ball 99% out is still 100% good. A player shall not call a ball out unless the player clearly sees space between where the ball hits and a line.

8. *Ball that cannot be called out is good.* Any ball that cannot be called out is considered to be good. A player may not claim a let on the basis of not seeing a ball. One of tennis' more infuriating moments occurs after a long hard rally when a player makes a clean placement and an opponent says: "I'm not sure if it was good or out. Let's play a let." Remember, it is each player's responsibility to call all balls landing on, or aimed at, the player's side of the net. If a ball cannot be called out with certainty, it is good. When a player says an opponent's shot was really out but offers to replay the point to give the opponent a break, it seems clear that the player actually doubted that the ball was out.

9. *Either partner may make calls in doubles.* Although either doubles partner may make a call, the call of a player looking down a line is more likely to be accurate than that of a player looking across a line.

10. *All points are treated same regardless of their importance.* All points in a match should be treated the same. There is no justification for considering a match point differently from a first point.

11. *Requesting opponent's help.* When an opponent's opinion is requested and the opponent gives a positive opinion, it must be accepted. If neither player has an opinion, the ball is considered good. Aid from an opponent is available only on a call that ends a point.

12. *Out calls reversed.* A player who calls a ball out shall reverse the call if the player becomes uncertain or realizes that the ball was good. The point goes to the opponent and is not replayed. However, when a receiver reverses a fault call on a serve that hit the net, the server is entitled to two serves.

13. *Player calls own shots out.* With the exception of the first serve, a player should call out the player's own shots if the player clearly sees the ball out regardless of whether requested to do so by an opponent. The prime objective in making calls is accuracy. All players should cooperate to attain this objective.

14. *Partners' disagreement on calls.* If one partner calls the ball out and the other partner sees the ball good, the ball is good. It is more important to give opponents the benefit of the doubt than to avoid possibly hurting a partner's feelings. The tactful way to achieve the desired result is to tell a partner quietly of the mistake and then let the partner concede the point. If a call is changed from out to good, the principles of **Code § 12** apply.

15. *Audible or visible calls.* No matter how obvious it is to a player that an opponent's ball is out, the opponent is entitled to a prompt audible or visible out call.

16. *Spectators never make calls.* A player shall not enlist the aid of a spectator in making a call. No spectator has a part in a match.

17. *Prompt calls eliminate two chance option.* A player shall make all calls promptly. A call shall be made either before the player's return shot has gone out of play or before an opponent has had an opportunity to play the return shot.

Prompt calls will quickly eliminate the "two chances to win the point" option that some players practice. To illustrate, a player is advancing to the net for an easy put away and sees a ball from an adjoining court rolling toward the court. The player continues to advance and hits the shot, only to have the supposed easy put away fly over the baseline. The player then claims a let. The claim is not valid because the player forfeited the right to call a let by choosing instead to play the ball. The player took a chance to win or lose and is not entitled to a second chance.

18. *Let called when ball rolls on court.* When a ball from another court enters the playing area, any player on the court affected may call a let as soon as the player becomes aware of the ball. The player loses the right to call a let if the player unreasonably delays in making the call.

19. *Touches, hitting ball before it crosses net, invasion of opponent's court, double hits, and double bounces.* A player shall promptly acknowledge when:

- A ball in play touches the player;
- The player touches the net or opponent's court while a ball is in play;
- The player hits a ball before it crosses the net;
- The player deliberately carries or double hits a ball; or
- A ball bounces more than once in the player's court.

The opponent is not entitled to make these calls.

20. *Balls hit through net or into ground.* A player makes the ruling on a ball that the player's opponent hits:

- Through the net; or
- Into the ground before it goes over the net.

21. *Making calls on clay courts.* If any part of a ball mark touches a line on a clay court, the ball shall be called good. If only part of the mark on a court can be seen, this means that the missing part is on a line or tape. A player should take a careful second look at any point-ending placement that is close to a line on a clay court. Occasionally a ball will strike the tape, jump, and then leave a full mark behind the line. If a player hears the sound of a ball striking the tape and sees a clean spot on the tape near the mark, the player should give the point to the opponent.

A player is not required to show an opponent the mark. The opponent shall not pass the net to inspect a mark.

## SERVING

22. *Server's request for third ball.* When a server requests three balls, the receiver shall comply when the third ball is readily available. Distant balls shall be retrieved at the end of a game.

23. *Avoid foot faults.* Players should not foot fault because it violates the **ITF Rules of Tennis**. It is a foot fault when a foot just touches the line, even when the player does not follow the serve to the net.

24. *Calling foot faults.* The receiver or the receiver's partner may call foot faults only after all reasonable efforts, such as warning the server and attempting to get an official to the court, have failed and the foot fault is so flagrant as to be clearly perceptible from the receiver's side.

25. *Service calls in doubles.* In doubles the receiver's partner should call the service line, and the receiver should call the sideline and the center service line. Nonetheless, either partner may call a ball that either clearly sees.

26. *Service calls by serving team.* Neither the server nor server's partner shall make a fault call on the first service even if they think it is out because the receiver may be giving the server the benefit of the doubt. There is one exception. If the receiver plays a first service that is a fault and does not put the return in play, the server or server's partner may make the fault call. The server and the server's partner shall call out any second serve that either clearly sees out.

27. *Service let calls.* Any player may call a service let. The call shall be made before the return of serve goes out of play or is hit by the server or the server's partner. If the serve is an apparent or near ace, any let shall be called promptly.

28. *Obvious faults.* A player shall not put into play or hit over the net an obvious fault. To do so constitutes rudeness and may even be a form of gamesmanship. On the other hand, if a player does not call a serve a fault and gives the opponent the benefit of a close call, the server is not entitled to replay the point.

29. *Receiver readiness.* The receiver shall play to the reasonable pace of the server. The receiver should make no effort to return a serve when the receiver is not ready. If a player attempts to return a serve (even if it is a "quick" serve), then the receiver (or receiving team) is presumed to be ready.

30. *Delays during service.* When the server's second service motion is interrupted by a ball coming onto the court, the server is entitled to two serves. When there is a delay between the first and second serves:

- The server gets one serve if the server was the cause of the delay;
- The server gets two serves if the delay was caused by the receiver or if there was outside interference.

The time it takes to clear a ball that comes onto the court between the first and second serves is not considered sufficient time to warrant the server receiving two serves unless this time is so prolonged as to constitute an interruption. The receiver is the judge of whether the delay is sufficiently prolonged to justify giving the server two serves.

## SCORING

31. *Server announces score.* The server shall announce the game score before the first point of a game and the point score before each subsequent point of the game.

32. *Disputes.* Disputes over the score shall be resolved by using one of the following methods, which are listed in the order of preference:

- Count all points and games agreed upon by the players and replay only disputed points or games;
- Play from a score mutually agreeable to all players;
- Spin a racket or toss a coin.

## HINDRANCE ISSUES

33. *Claiming a hindrance.* A player who claims a hindrance must stop play as soon as possible.

34. *Talking when ball is in play.*

- Singles players should not talk during points.
- Talking between doubles partners when the ball is moving toward them is allowed.
- Doubles players should not talk when the ball is moving toward their opponent's court.
- When talking interferes with an opponent's ability to play a ball, it is a hindrance.

For example, if a doubles player hits a weak lob and yells "get back" and the yell distracts an opponent who is about to hit the ball, then the opponent may claim the point based on a deliberate hindrance. If the opponent chooses to play the lob and misses it, the opponent loses the point because the opponent did not make a timely claim of hindrance.

For example, if a player yells after an injury or getting stung by a bee, this is an unintentional hindrance that would entitle the opponent to claim a let.

35. *Body movement.* A player may feint with the body while a ball is in play. A player may change position at any time, including while the server is tossing a ball. Any other movement or any sound that is made solely to distract an opponent, including, but not limited to, waving arms or racket or stamping feet, is not allowed.

36. *Let due to unintentional hindrance.* A player who is hindered by an opponent's unintentional act or by something else outside the player's control is entitled to a let only if the player could have made the shot had the player not been hindered. A let is not authorized for a hindrance caused by something within a player's control. For example, a request for a let because a player tripped over the player's own hat should be denied.

37. *Grunting.* A player should avoid grunting and making other loud noises. Grunting and other loud noises may bother not only opponents but also players on adjacent courts. In an extreme case, an opponent or a player on an adjacent court may seek the assistance of an official. Grunting and the making of loud noises that affect the outcome of a point are hindrances. Only an official may rule that these actions are hindrances and order that a let be played or a loss of point, depending on whether an official had previously warned the offending player.

38. *Injury caused by player.* When a player accidentally injures an opponent, the opponent suffers the consequences. Consider the situation where the server's racket accidentally strikes the receiver and incapacitates the receiver. The receiver is unable to resume play within the time limit. Even though the server caused the injury, the server wins the match by retirement.

On the other hand, when a player deliberately injures an opponent and affects the opponent's ability to play, then the opponent wins the match by default. Hitting a ball or throwing a racket in anger is considered a deliberate act.

## WHEN TO CONTACT OFFICIAL

39. *Withdrawing from match or tournament.* A player who has decided not to play a match or a tournament shall notify the Referee immediately.

40. *Stalling.* Stalling violates the continuous play principle of the **ITF Rules of Tennis**. A player who encounters a problem with stalling should contact an official. The following actions constitute stalling:

- Warming up longer than the allotted time;
- Playing at about one-third a player's normal pace;
- Taking more than 90 seconds on the odd-game changeover or more than 2 minutes on the set break.
- Taking longer than the time authorized during a rest period;
- Starting a discussion or argument in order to rest;
- Clearing a missed first service that doesn't need to be cleared; or
- Excessive bouncing of a ball before any serve.

Stalling is subject to penalty under the Point Penalty System.

41. *Requesting officials during play.* While normally a player may not leave the playing area, the player may contact an official for assistance. Some reasons for contacting an official include:

- Stalling;
- Flagrant foot faults;
- Extreme grunting;
- A medical or bleeding timeout;
- A scoring dispute; or
- A pattern of bad calls.

A player may refuse to play until an official responds.

## BALL ISSUES

42. *Retrieving stray balls.* Each player is responsible for removing stray balls and other objects from the player's end of the court. Whenever a ball is not in play, a player must honor an opponent's request to remove a ball from the court or from an area outside the court that is reasonably close to the lines. A player shall not go behind an adjacent court to retrieve a ball or ask a player on an adjacent court to return a ball while a point is in play. When a player returns a ball from an adjacent court, the player shall wait until the point is over on the court where the ball is being returned and then return it directly to one of the players, preferably the server.

43. *Catching a ball.* If a player catches a ball in play before it bounces, the player loses the point regardless of where the player is standing.

44. *New balls for third set.* When a tournament specifies new balls for a third set, new balls shall be used unless all players agree otherwise.



## MISCELLANEOUS

45. *Clothing and equipment malfunction.* If clothing or equipment, other than a racket, becomes unusable through circumstances outside the control of a player, play may be suspended for a reasonable period. A player may leave the court after a point is over to correct the problem. If a racket or string is broken, a player may leave the court to get a replacement, but the player is subject to code violations for delay under the Point Penalty System.

46. *Placement of towels.* Towels are to be placed on the ground outside the net post or at the back fence. Clothing or towels should never be placed on a net.

## PART 3 — USTA REGULATIONS

All USTA members and all clubs and organizations affiliated with the USTA shall be governed by the **USTA Regulations**.

### FAC Comment

These regulations often use the term “player.” Where appropriate, this term also refers to a doubles team.

The word “shall” is mandatory. The word “should” is permissive and generally implies a guideline to be followed whenever possible.

## I. PRE-TOURNAMENT REGULATIONS

### A. Applicability of USTA Regulations

1. *Use in USTA sanctioned tournaments.* The **USTA Regulations** and the **ITF Rules of Tennis** shall be observed throughout all sanctioned tournaments and sanctioned matches.
2. *Definition of tournaments.* Tournaments refer to all forms of competition, including, but not limited to, tournaments, championships, team championships, matches, exhibitions, events, intersectionals, international competitions, and zonals. Tournaments are classified as amateur, professional, or open.
3. *Regulations for USTA tournaments that are part of WTA, ATP, or ITF circuits.* In any USTA sanctioned tournament in which a division is a part of the WTA or the ATP or is sanctioned by the ITF, that division shall use the WTA, ATP, or ITF tournament regulations.

**FAC Comment I.A-1:** Officials may be administering tournaments under the auspices of ATP, WTA, or ITF organizations whose regulations may be at variance with **USTA Regulations** in some respects. In such situations, officials should reach a clear understanding of these differences before the tournament begins. Each division must be played under **USTA Regulations** or under the WTA, ATP, or ITF tournament regulations. In tournaments played under ITF tournament regulations, all **USTA Regulations** not inconsistent with the ITF tournament regulations apply.

4. *Regulations for professional circuits.* Organized circuits of professional tournaments may adopt special tournament regulations to be uniformly applied throughout each tournament in the circuit, *provided that* notice thereof is published with the information for the circuit.
5. *Regulations for amateur circuits.* Organized circuits of amateur tournaments may adopt special tournament regulations to be uniformly applied throughout each tournament in the circuit, *provided that* the regulations are not inconsistent with **USTA Regulations** and that notice thereof is published with the information for the circuit.

**FAC Comment I.A-2: USTA Regulation I.A.5.** authorizes Sectional Associations and District Associations to adopt tournament regulations for circuits used to qualify or endorse players for Sectional and USTA National Championships.

**FAC Comment I.A-3:** The term “District Associations” applies equally to other subdivisions of Sectional Associations.

## **B. Application for Sanction and Appointment of Tournament Committee**

Before the start of a tournament, the applicant shall apply for a sanction (see **USTA Regulation XVII.**) and shall appoint a Tournament Committee of at least three persons. The Tournament Chairperson, the Tournament Director, and the Referee shall be members of the Committee. Neither the Tournament Chairperson nor the Tournament Director may serve as or assume the responsibilities of the Referee or Deputy Referee, *except that* either may serve as the Referee of a tournament that uses a Red, Orange, or Green Ball Tennis format.

## **C. Tournament Committee**

1. *Tournament Committee duties.* The Tournament Committee supervises all administrative details of the tournament, including the appointment of the Referee and the extent to which other officials will be used. It has broad discretionary powers in carrying out its functions in all cases not covered by the **ITF Rules of Tennis** or **USTA Regulations**. The responsibilities of the Tournament Committee include the responsibilities listed in **Table 2**.

USTA Reg.	<b>TABLE 2</b> <b>Responsibilities of Tournament Committee</b>
	<b>A. Pre-Tournament</b>
<b>I.B., I.C.2. &amp; XVII.A.3.</b>	Obtains any required USTA sanction
<b>I.C.1.</b>	Appoints the Referee and determines the extent to which other officials will be used
<b>III.A.4.</b>	Arranges for enough courts so that the tournament can be completed on time and so the Referee can schedule matches in each division on the specified surface
<b>I.H.1.</b>	Determines the means of entering the tournament
<b>I.H.2.</b>	Makes decisions about the basic format and structure of the tournament so that the information that <b>USTA Regulation I.H.2.</b> requires to be on the written entry form and website can be published
<b>I.H.2.</b>	When possible publishes any tournament requirement for verification of the reason for withdrawal
<b>I.H.3.</b>	When appropriate, accepts late entries
<b>I.H.3-5.</b>	Accepts the entries
<b>I.H.8.</b>	Refunds entry fees when <b>USTA Regulation I.H.8.</b> or <b>USTA Regulation IX.A.8.</b> requires it
<b>I.H.3-4.</b>	Provides the Referee with a complete list of entrants
<b>I.H.4.</b>	Provides the Referee with a list of alternates in priority order
<b>I.H.2.</b>	Determines type of ball to be used. See <b>USTA Regulation I.F.1-2.</b>
<b>I.C.4.</b>	When appropriate, appoints a Deputy Referee (shared responsibility with Referee)
<b>I.D.</b>	Appoints a Tournament Appeals Committee to hear appeals
<b>II.B.1</b>	Determines the time and public place where the draw will be made

USTA Reg.	TABLE 2 (Cont'd)
	<b>B. Making the Draw</b>
II.B.2.	Assigns at least one Tournament Committee member to assist the Referee or Deputy Referee in making the draw
II.A.1.	Determines the seedings <i>except</i> in certain national junior events in which the Director of Junior Competition or the Director's designee determines the seedings after consulting with the Tournament Committee. <b>See USTA Regulation IX.A.4.</b>
II.C.4.	Corrects errors in seeding
	<b>C. Conducting the Tournament</b>
IV.A.1.	Assures that all players meet membership requirement
III.A.1.	Keeps order on the grounds
III.A.3.	Provides the tennis balls
III.A.2.	Provides supplies, including singles sticks
III.A.4.	Authorizes play in a division on more than one surface type, but only when specifically authorized by <b>USTA Regulation III.A.4.</b>
III.A.4.	If there is no practicable way to finish an event, makes arrangements mutually agreeable to the players to finish at a later date or declares the tournament unfinished. See <b>USTA Regulation III.A.4.</b>
IV.C.16.	When authorized and appropriate, waives the requirement for verification of injury or illness or providing a description of personal circumstance
	<b>D. After the Tournament</b>
V.A.1.	Submits draw sheets within seven days to the governing body that issued the sanction
V.A.2.	Reports on players whose prize money was withheld

**FAC Comment I.C-1:** The Tournament Committee should familiarize itself with the *USTA Emergency Care Guidelines*. See **Part 4**.

2. *Tournament Chairperson.* The Tournament Chairperson is the head of the Tournament Committee. This person is the official representative of the Tournament Committee to the USTA, Sectional Associations, and District Associations. Normally a Tournament Committee submits its sanction application through its Tournament Chairperson. The Tournament Chairperson may not serve as or assume the responsibilities of the Referee or Deputy Referee, *except that* the Tournament Chairperson may serve as the Referee of a tournament that uses a Red, Orange, or Green Ball format.
3. *Tournament Director.* The Tournament Director serves as the chief executive officer for the Tournament Committee and is directly responsible for the administrative details of the tournament. In many, but not all, tournaments the Tournament Chairperson also serves as the Tournament Director. The Tournament Director may not serve as or assume the responsibilities of the Referee or Deputy Referee, *except that* the Tournament Director may serve as the Referee of a tournament that uses a Red, Orange, or Green Ball Tennis format.

4. *Referee and Deputy Referee.* Upon appointment, the Referee becomes a member of the Tournament Committee. The Referee is the official who is responsible for assuring that the competition is fair and played under the **ITF Rules of Tennis** and **USTA Regulations**. The Referee supervises all aspects of play, including, but not limited to, the conduct and actions of players, coaches, parents, spectators, officials, groundskeepers, and administrative crew. A Deputy Referee is an official appointed by the Referee or Tournament Committee to assist in the performance of the Referee's duties or to assume these duties when the Referee is absent. A Deputy Referee who makes the draw should also be a member of the Tournament Committee. The Referee's responsibilities include the responsibilities listed in **Table 3**.

USTA Reg.	<b>TABLE 3 Responsibilities of Referee</b>
	<b>A. Pre-Tournament</b>
I.C.4.	Appoints, as necessary, Deputy Referee(s) to assist and assume the Referee's duties when the Referee is not present
I.H.3-4.	Obtains a complete list of entrants from the Tournament Committee
I.H.4.	Obtains a list of alternates in priority order
IV.C.16.	Receives any required written verification of injury or illness or required written description of personal circumstance from players who withdrew from the draw and documents the reasons for withdrawals
	<b>B. Making the Draw</b>
II.B.1. & II.B.2.	Makes the draw publicly at a time and place set by the Tournament Committee
II.A.3.	Lists the seeded players in numerical order
II.B.2.	If the draw will be made by a Deputy, establishes when control of the draw is returned to the Referee
II.C.3.	Handles substitutions
II.C.1.	Replaces a seeded player who withdraws before play starts
II.C.2.	Enters into the draw a player omitted from the draw because of tournament administrative error, whenever possible
	<b>C. Scheduling Matches</b>
II.D. & III.B.2.	Schedules matches
II.D.3.	Responds to player requests for match times
II.D.4.	Posts the schedule on the official draw sheet
II.D.4.	Changes the schedule only for a compelling reason and promptly notifies the affected players
	<b>D. Conducting the Tournament</b>
I.D.	May not serve as a member of the Tournament Appeals Committee
FACom I.G-1.	May play in the tournament if a Deputy assumes the Referee's duties while the Referee is playing
IV.A.2.	Designates the place where players check in for play
I.F.3. & III.B.9.	Determines the ball-change pattern, if any

USTA Reg.	TABLE 3 (Cont'd)
IV.A.1.	When directed to do so by the Tournament Committee, checks that all players meet USTA membership requirement
IV.A.2. & IV.D.19.-20.	Assesses penalties for lateness. See <b>Tables 15</b> and <b>16</b>
III.B.3.	Disqualifies any ineligible player and decides whether to reinstate the loser of the last match won by the disqualified player
I.C.4. & VII.A.	Supervises all aspects of play including, but not limited to, the conduct and actions of players, coaches, parents, spectators, officials, groundskeepers, and administrative crew
III.B.4.	Determines who may enter the playing area
III.B.11.	Decides all questions of law
FACom VII.A-1.2.	Settles scoring disputes in matches without officials
III.B.10. & VII.C.3.-4.	Assigns Umpires and replaces them only as necessary or as requested by a Chair Umpire
III.B.5.	Defaults players for cause
IV.E.3.	After unilaterally defaulting a player, remains available for up to 15 minutes to receive any player appeal
III.B.6. & IV.E.4.	Rules on the appeal of a player who has been defaulted by another official
IV.E.6.	Prevents a player defaulted for misconduct from participating in other events at the tournament
IV.E.7.	Immediately defaults a player who bets on any match in the tournament
III.B.7.	Handles infractions observed in non-officiated matches
III.B.8. & III.D.	Suspends and postpones play
III.E.	Handles player requests directed to the Referee for a medical timeout or bleeding timeout
III.G.	When inclement weather, health concerns, or safety concerns cause the tournament to be shortened, follows established procedures
III.H.5.	Determines whether a player may practice on the match court during an intermission with balls other than the match balls
II.B.6.c.	Resolves ties in round robin tournaments
II.D.7.	Offers players at least the minimum rest between matches set out in <b>USTA Regulation III.C.1.</b>
II.D.8.	Assures that the minimum rest periods between sets are followed
	<b>E. Post-Tournament</b>
V.A.3.	Within three days of the tournament's completion, reports violations of <b>USTA Regulations</b> and any penalties imposed to the: <ul style="list-style-type: none"> <li>• Sectional Association in which the tournament is held;</li> <li>• Sectional Association where the involved player is domiciled; and</li> <li>• USTA Junior and Collegiate Competition Department if the tournament was listed on the National Junior Tournament Schedule.</li> </ul>

- a. *Presence of Referee or Deputy Referee.* The Referee (or in the Referee's absence, a Deputy Referee) shall be present during play.

**FAC Comment I.C-2:** The Referee should be on site during most of the tournament. The Deputy Referee steps in only during those short periods when the Referee is off site or is playing a match in the tournament. Because of the requirement of being present during play, an official may not simultaneously serve as the Referee or Deputy Referee of multiple tournaments unless they are held at the same site.

- b. *Referee's discretion.* The Referee and each Deputy Referee shall use judgment in all situations not specifically covered by the **ITF Rules of Tennis** or **USTA Regulations**.
- c. *Certification required for Referees of USTA National Championships.* The Referee of each USTA National Championship shall be a Referee certified by the USTA; each Deputy Referee shall be an official certified by the USTA.
- d. *Certification of Referees of other tournaments.* The USTA recommends the use of Referees certified by the USTA in all other USTA sanctioned tournaments. This recommendation does not apply to tournaments that use a Red, Orange, or Green Ball Tennis format.

#### **D. Tournament Appeals Committee**

The Tournament Committee shall appoint a Tournament Appeals Committee to decide player appeals of the Referee's disqualification or unilateral default of a player. The Tournament Appeals Committee shall be comprised of at least two members. Time is of the essence in deciding appeals. Upon being notified by a player that the player wishes to appeal the Referee's decision, the Referee shall immediately contact at least two members of the Tournament Appeals Committee. Once two members have convened to decide an appeal, they need not wait for other members before reaching a decision. There are no formal notice requirements for assembling the Tournament Appeals Committee, and two members of the Committee shall constitute a quorum. The Tournament Appeals Committee may meet in person, by conference telephone call, or by other means that will facilitate a prompt decision.

The Tournament Committee may serve as the Tournament Appeals Committee *except that* the Referee shall not serve as a member of the Tournament Appeals Committee. Decisions of the Tournament Appeals Committee shall be final.

#### **E. Match Formats and Scoring**

Most matches use the scoring system specifically described in **Rules 5, 6, and 7** of the **ITF Rules of Tennis**, and are the best of three tiebreak sets. In interscholastic, state, Sectional, and USTA National Championships in the Boys' 18 and Girls' 18 Divisions, the final round may be the best of five tiebreak sets. In the Men's Open, 25, and 30 Divisions, matches in any round may be the best of five tiebreak sets. Other match formats may be used. Results of matches using other formats shall be used for rankings unless prohibited by applicable national, sectional, or district ranking regulations. Commonly used formats include No-Ad scoring, matches consisting of the best of three sets with a 10-Point Match Tiebreak in lieu of the third set, pro sets, matches consisting of one set only, Red, Orange, or Green Ball Tennis formats, and short sets. The tournament entry form shall specify the format being used.

1. *Tiebreaks*

- a. *7-Point Set Tiebreak (first to 7 by a margin of 2).* The 7-Point Set Tiebreak (first to 7 by a margin of 2) is the tiebreak game described in **Rule 5(b)** of the **ITF Rules of Tennis** and determines the winner of any set in which it is used. Use of the 7-Point Set Tiebreak is mandatory in all sets of any sanctioned tournament *except* pro sets (see **USTA Regulation I.E.4.**).

- b. *10-Point Match Tiebreak in lieu of deciding final set.* The 10-Point Match Tiebreak (first to 10 by a margin of 2) is described in **Appendix IV** of the **ITF Rules of Tennis** and determines the winner of any match in which it is used. The match tiebreak used in USTA sanctioned tournaments shall be the 10-Point Match Tiebreak.

Any tournament electing to use the 10-Point Match Tiebreak in lieu of a deciding final set shall announce the election on the entry form. See **Table 19** for when the 10-Point Match Tiebreak in lieu of the deciding final set may be used in USTA Junior National Championships and USTA Regional Tournaments; **USTA Regulation X.A.3.c. and g.** and **USTA Regulation X.E.5.** for when the 10-Point Match Tiebreak in lieu of the deciding final set may be used in Category I USTA Adult, Senior, and Family National Championships; and **USTA Regulation XIII.A.3.d. and h.** for when the 10-Point Match Tiebreak in lieu of the deciding final set may be used in Category I USTA Wheelchair National Championships.

- c. *Coman Tiebreak Procedure.* The Coman Tiebreak Procedure is the same as the procedure described above *except that* ends are changed after the first point, then after every four points, and at the conclusion of the tiebreak. See **Appendix IV** to the **ITF Rules of Tennis**. A Sectional Association may authorize any tournament below the National Championship level to use the Coman Tiebreak Procedure. The appropriate USTA Competition Committee may authorize the use of the Coman Tiebreak Procedure for any other tournament. Any tournament electing to use the Coman Tiebreak Procedure must announce the election before the start of tournament play. The Coman Tiebreak Procedure may be used with any tiebreak.

- d. *Recording the tiebreak score.* When a 7-Point Set Tiebreak is played, the score of the set shall be written 7-6(x) or 6-7(x), with (x) being the number of points won by the loser of the tiebreak. For example, 7-6(4) means the tiebreak score was 7-4, and 6-7(14) means the tiebreak score was 14-16. If a 10-Point Match Tiebreak is played in lieu of the deciding final set, the score is recorded 1-0(x) with x being the number of points won by the loser.

- e. *Ball changes.* If a ball change is due at the start of a tiebreak, it shall be deferred until the start of the second game of the next set. A tiebreak counts as one game in determining ball changes. If a ball change is due at the start of a tiebreak that is being played in lieu of the deciding final set, there shall be no ball change.

2. *No-Ad scoring.* The No-Ad scoring system is described in **Appendix IV** of the **ITF Rules of Tennis**. A tournament electing to use No-Ad scoring must announce the election before the start of tournament play *except* as set forth in **USTA Regulation III.G.1.** See also **USTA Regulation IX.A.6.**, which



prohibits No-Ad scoring in USTA Junior National Championships and USTA Regional Tournaments.

3. *Short sets.* A tournament electing to use “short” sets must announce the election on the entry form. See **Appendix IV** of the **ITF Rules of Tennis**.
4. *Pro sets.* A match may consist of a pro set. Unless otherwise specified, a pro set is one set in which a player or team must win eight games by a margin of two games to win the match. If the score reaches 8-all, a 7-Point Tiebreak (first to 7 by a margin of 2) is played, with the winner of the tiebreak winning the match by a score of 9-8(x) where x is the number of points won by the player or team who lost. The Tournament Committee may instead use a 10-Point Tiebreak (first to 10 by a margin of 2) to decide the winner of a pro set if the Committee includes this information on the entry form and website. Any tournament electing to use the pro set shall announce its use on the entry form and website. If a pro set other than the 8-game pro set is used, the Tournament Committee shall specify the format on the entry form and website.
5. *Red, Orange, or Green Ball Tennis formats.* See **USTA Regulation VI**.

**FAC Comment I.E-1: Service order.** The player or team whose turn it was to serve first if a full set were played starts serving a 10-Point Match Tiebreak that is played in lieu of a deciding final set. Each doubles team decides who serves first for its team.

**FAC Comment I.E-2: Receiving positions.** A doubles team may change receiving positions at the start of a 10-Point Match Tiebreak.

**FAC Comment I.E-3: Change of ends.** Players change ends at the start of a 10-Point Match Tiebreak only if an odd-game changeover is due. During the 10-Point Match Tiebreak, players change ends after every six points.

**FAC Comment I.E-4: Mistakes.** See **USTA Comments 27.1-9 and 11-12** for how to handle mistakes during tiebreaks.

## **F. Balls and Ball-Change Pattern**

1. *The type of ball.* At the Sectional Championship level and above, the type of ball shall be consistent throughout a tournament event unless the playing surface or conditions are changed. For example, both heavy duty felt balls and regular felt balls normally shall not be used in the same tournament event. Compliance is recommended for tournaments below the Sectional Championship level.
2. *Approved balls.* In all USTA tournaments and leagues, the ball used must be on the list of balls approved by the USTA or must be otherwise authorized by the USTA. The USTA shall rule on whether any ball or prototype complies with the specifications adopted by the ITF pursuant to the **ITF Rules of Tennis** or is otherwise authorized for play. Rulings may be taken on the USTA's own initiative or upon application by any party with a bona fide interest, including any player, equipment manufacturer, ITF, Sectional Association, District Association, Organization Member, or Direct Member Club. Rulings shall be made in accordance with the then current testing procedures adopted by the USTA. A list of balls approved by the USTA shall be published.

3. *Referee determines ball-change pattern.* The Referee shall determine the ball-change pattern subject to the right of the Chair Umpire to order a ball-change at other than the normal time when the Chair Umpire determines that abnormal conditions warrant so doing.
4. *Number of balls.* New balls shall be used to start a match commencing with the warm-up. At least three balls shall be used for matches at tournaments above the Sectional Championship level and are recommended for matches at the Sectional Championship level.

When a tournament specifies new balls for a third set, new balls shall be used unless all players agree otherwise.
5. *Warm-ups.* Warm-ups in which the match balls are used are treated as the equivalent of two games of match play.

#### **G. Player Eligibility**

1. *USTA membership generally required to play in sanctioned tournaments.* All players, including non-U.S. citizens, are required to be members of the USTA in order to play in sanctioned tournaments. USTA membership is not required to play in sanctioned matches or tournaments that are interscholastic, intercollegiate, or that are limited to students and conducted by scholastic or collegiate officials.

For the purpose of this provision, all foreign players shall be presumed to be residents of the United States, Puerto Rico, U.S. Virgin Islands, Province of British Columbia, Guam, American Samoa, or the Commonwealth of the Northern Mariana Islands, and thereby required to enroll unless they have a certification from their national association or from the USTA stating that they are in fact nonresidents of the United States as above defined. If a foreign, nonresident player requests that the USTA issue a certification that the player is a nonresident, then the USTA shall issue the certification upon finding that the player is a nonresident.
2. *Eligibility for amateur tournaments.* Only players who are amateurs as defined in **USTA Regulation XVIII.A.1.** may play in tournaments that are limited to amateurs. Players must meet any other eligibility requirements for the tournaments. Junior tournaments, with the exception of the USTA National Championships, are limited to amateurs. All other tournaments are open to amateurs and professionals unless the tournament entry form or tournament website restricts the tournament to amateurs.
3. *Eligibility for tournaments.* There are three general categories of tournaments:
  - *Junior;*
  - *Adult, Senior, and Family; and*
  - *Wheelchair.*
  - a. *Junior tournaments (18, 16, 14, 12, 10, 8).* Junior tournaments, with the exception of the USTA National Championships, are limited to amateurs. A player, if otherwise eligible, may enter any Junior Division if the player has not exceeded the maximum age by the last day of the month during which the division is scheduled to start (see **Table 4**); *provided that*, annually the Junior Competition and Sportsmanship Committee may designate one tournament in each of the Boys' and Girls' 18 Divisions that shall be limited to players who have not turned

- 19 on or before December 31. These designated tournaments may be, but are not required to be, USTA National Championships.
- b. *Adult, Senior, and Family tournaments.* A player, if otherwise eligible, may enter any:
    - i. *Men's or Women's Division* that is not restricted by age or NTRP rating;
    - ii. *NTRP Division* if the player's NTRP rating is less than or equal to the NTRP rating for the division;
    - iii. *Adult, Senior, or Family Division with minimum age restriction* if the player will reach the minimum age by December 31 of the year during which the division is scheduled to start;
    - iv. *Parent-Child Division* if the parent and child are related by virtue of blood, legal adoption, or current step relationship. (Death does not destroy any step relationship, but divorce does.) A child may play with different parents in different tournaments or different divisions in a tournament;
    - v. *Parent-Child Division with minimum age restriction for parent.* If the parent and child are related as defined in **USTA Regulation I.G.3.b.iv.** and the parent will reach the minimum age by December 31 of the year during which the division is scheduled to start; or
    - vi. *Grandparent-Grandchild Division* if the grandparent and grandchild are related by virtue of blood, legal adoption(s), or current step relationship(s). Adoptive and step relationship(s) may be created at the parent or the grandparent levels. (Death does not destroy any step relationship, but divorce does.) A child may play with different grandparents in different tournaments.
  - c. *Wheelchair tournaments.* A player, if otherwise eligible, may enter any:
    - i. *Men's Open or Women's Open Division* if the player meets the eligibility requirements of **USTA Regulations I.G.4. a. and d.**
    - ii. *Men's A, Men's B, Men's C, Women's A, or Women's B Division* if the player meets the eligibility requirements of **USTA Regulations I.G.4.a., b., and d.**
    - iii. *Quad Open Division* if the player meets the eligibility requirements of **USTA Regulations I.G.4.a-d.**
    - iv. *Quad A Division* if the player meets the eligibility requirements of **USTA Regulations I.G.4.a-d.**
    - v. *Junior Open/A (18 & Under) Division* if the player meets the eligibility requirements of **USTA Regulations I.G.4.a. and d.** and the age eligibility requirement of **USTA Regulation I.G.3.a.**
    - vi. *Senior Open/A (40 & Over) Doubles or Senior B/C (40 & Over) Doubles Division* if the player meets the eligibility requirements of **USTA Regulations I.G.4.a., b., and d.** and the player will reach the minimum age by December 31 of the year during which the division is scheduled to start.
  - d. *Mixed doubles tournaments.* A team is eligible to enter if one player is female and the other player is male. Players must also meet any age or NTRP requirements of the division.
  - e. *Coed tournaments.* A player is eligible to enter a coed tournament regardless of gender.

**TABLE 4**  
**Junior Eligibility**

Generally, juniors are eligible to play in USTA sanctioned junior divisions if they are amateurs who are USTA members born on or after the dates listed in this table.

**Tournaments starting in 1/13:**

18s born Feb. 1, 1994, or later;  
16s born Feb. 1, 1996, or later;  
14s born Feb. 1, 1998, or later;  
12s born Feb. 1, 2000, or later;  
10s born Feb. 1, 2002, or later;  
8s born Feb. 1, 2004, or later.

**Tournaments starting in 6/13:**

18s born July 1, 1994, or later;  
16s born July 1, 1996, or later;  
14s born July 1, 1998, or later;  
12s born July 1, 2000, or later;  
10s born July 1, 2002, or later;  
8s born July 1, 2004, or later.

**Tournaments starting in 2/13:**

18s born March 1, 1994, or later;  
16s born March 1, 1996, or later;  
14s born March 1, 1998, or later;  
12s born March 1, 2000, or later;  
10s born March 1, 2002, or later;  
8s born March 1, 2004, or later.

**Tournaments starting in 7/13:**

18s born Aug. 1, 1994, or later;  
16s born Aug. 1, 1996, or later;  
14s born Aug. 1, 1998, or later;  
12s born Aug. 1, 2000, or later;  
10s born Aug. 1, 2002, or later;  
8s born Aug. 1, 2004, or later.

**Tournaments starting in 3/13:**

18s born April 1, 1994, or later;  
16s born April 1, 1996, or later;  
14s born April 1, 1998, or later;  
12s born April 1, 2000, or later;  
10s born April 1, 2002, or later;  
8s born April 1, 2004, or later.

**Tournaments starting in 8/13:**

18s born Sept. 1, 1994, or later;  
16s born Sept. 1, 1996, or later;  
14s born Sept. 1, 1998, or later;  
12s born Sept. 1, 2000, or later;  
10s born Sept. 1, 2002, or later;  
8s born Sept. 1, 2004, or later.

**Tournaments starting in 4/13:**

18s born May 1, 1994, or later;  
16s born May 1, 1996, or later;  
14s born May 1, 1998, or later;  
12s born May 1, 2000, or later;  
10s born May 1, 2002, or later;  
8s born May 1, 2004, or later.

**Tournaments starting in 9/13:**

18s born Oct. 1, 1994, or later;  
16s born Oct. 1, 1996, or later;  
14s born Oct. 1, 1998, or later;  
12s born Oct. 1, 2000, or later;  
10s born Oct. 1, 2002, or later;  
8s born Oct. 1, 2004, or later.

**Tournaments starting in 5/13:**

18s born June 1, 1994, or later;  
16s born June 1, 1996, or later;  
14s born June 1, 1998, or later;  
12s born June 1, 2000, or later;  
10s born June 1, 2002, or later;  
8s born June 1, 2004, or later.

**Tournaments starting in 10/13:**

18s born Nov. 1, 1994, or later;  
16s born Nov. 1, 1996, or later;  
14s born Nov. 1, 1998, or later;  
12s born Nov. 1, 2000, or later;  
10s born Nov. 1, 2002, or later;  
8s born Nov. 1, 2004, or later.

**TABLE 4 (continued)**

Tournaments starting in **11/13:**  
 18s born Dec. 1, 1994, or later;  
 16s born Dec. 1, 1996, or later;  
 14s born Dec. 1, 1998, or later;  
 12s born Dec. 1, 2000, or later;  
 10s born Dec. 1, 2002, or later;  
 8s born Dec. 1, 2004, or later.

Tournaments starting in **2/14:**  
 18s born March 1, 1995, or later;  
 16s born March 1, 1997, or later;  
 14s born March 1, 1999, or later;  
 12s born March 1, 2001, or later;  
 10s born March 1, 2003, or later;  
 8s born March 1, 2005, or later.

Tournaments starting in **12/13:**  
 18s born Jan. 1, 1995, or later;  
 16s born Jan. 1, 1997, or later;  
 14s born Jan. 1, 1999, or later;  
 12s born Jan. 1, 2001, or later;  
 10s born Jan. 1, 2003, or later;  
 8s born Jan. 1, 2005, or later.

Tournaments starting in **3/14:**  
 18s born April 1, 1995, or later;  
 16s born April 1, 1997, or later;  
 14s born April 1, 1999, or later;  
 12s born April 1, 2001, or later;  
 10s born April 1, 2003, or later;  
 8s born April 1, 2005, or later.

Tournaments starting in **1/14:**  
 18s born Feb. 1, 1995, or later;  
 16s born Feb. 1, 1997, or later;  
 14s born Feb. 1, 1999, or later;  
 12s born Feb. 1, 2001, or later;  
 10s born Feb. 1, 2003, or later;  
 8s born Feb. 1, 2005, or later.

Tournaments starting in **4/14:**  
 18s born May 1, 1995, or later;  
 16s born May 1, 1997, or later;  
 14s born May 1, 1999, or later;  
 12s born May 1, 2001, or later;  
 10s born May 1, 2003, or later;  
 8s born May 1, 2005, or later.

**FAC Comment I.G-1:** Members of the Tournament Committee (including the Referee) may play in a tournament. A Deputy Referee should serve as the Referee during the Referee's matches.

4. *Additional eligibility requirements for competing in USTA sanctioned Wheelchair tournaments.*
  - a. *Minimum eligibility requirements.* A player, if otherwise eligible, may enter a USTA sanctioned Wheelchair tournament if the player has a medically diagnosed permanent mobility-related physical disability. This permanent physical disability must result in a substantial loss of function in one or both lower extremities. A player must meet one of the following minimum eligibility requirements:
    - i. Neurological deficit at the SI level or rostral associated with loss of motor function; or
    - ii. Ankylosis and/or severe arthrosis and/or joint replacement of the hip, knee, or upper ankle joints; or
    - iii. Amputation of any lower extremity joint rostral to the metatarsophalangeal joint; or
    - iv. Functional disabilities in or both lower extremities equivalent to i., ii. or iii. above.

**TABLE 5**  
**Criteria for Entering Wheelchair Divisions**

**A. Men's, Women's, and Quad Open Divisions**

All players with ITF rankings as set forth below must play in the Open Division:

<b>Division</b>	<b>ITF Ranking</b>
Men's Open .....	Top 100
Women's Open .....	Top 75
Quad Open .....	Top 50

**B. Men's and Women's A & B, Men's C, Quad A, Senior Open/A (40 & Over) Doubles, and Senior B/C (40 & Over) Doubles Wheelchair Divisions**

**Process.** Twice yearly the Wheelchair Tennis Committee and the USTA Competitive Tennis Department shall review the most recent year-end National Ranking List or the most recently published National Standings List in the Men's and Women's A and B, Men's C, Senior Open/A (40 & Over) Doubles, and Senior B/C (40 & Over) Doubles Divisions (collectively, "Letter Divisions") to determine which Letter Division a player or team may enter. Singles National Ranking Lists or National Standings Lists shall be reviewed for the Men's and Women's A and B Divisions and Men's C Divisions. Doubles National Ranking Lists or National Standings Lists shall be reviewed for the Senior Open/A (40 & Over) Doubles and Senior B/C (40 & Over) Doubles Divisions.

**Letter Division Strength.** In the Men's and Women's Divisions, the A Division is the strongest Letter Division; B is the next strongest, and C is the weakest. There is only one Quad Letter Division – Quad A. In the Senior Open/A (40 & Over) Doubles and Senior B/C (40 & Over) Doubles Divisions, the Open/A Division is the stronger Letter Division.

**Gender and Eligibility.** A Quad player has the option of choosing the lettered division of a tournament appropriate to the player's gender.

- A Quad Open player ranked in the top 15 in the world must compete in the A or Open Division appropriate for the player's gender.
- A Quad Open player ranked below 15 in the world can compete in the B Division or above appropriate for the player's gender.
- A Quad A player can compete in the C Division or higher appropriate for the player's gender.

A Women's Open player may compete in the Men's lettered divisions if a Women's Open draw of five or more is unavailable.

- A Women's Open player ranked top 30 in the world or above may compete in the Men's A or Open Division.
- A Women's Open player ranked below 30 in the world may compete in the Men's B Division or above.

**January 1 Criteria and Procedure.** The Wheelchair Tennis Committee and the USTA Competitive Tennis Department shall review the most recent year-end National Ranking Lists in all Letter Divisions to determine which players, if any, must play in a higher Letter Division or may play in a lower Letter Division as follows:

- Players ranked in the top 5% of a division must move up to the next-higher Letter Division for the period of one year, *except that* players meeting this criteria in the Men's, Women's, and Quad A Divisions are not required to move up to the Open Division.
- Players ranked in the bottom third of a division may enter a lower division.
- Players competing in multiple Letter Divisions shall be reviewed in each Letter Division. This may result in a player being restricted from entering multiple divisions.
- Players affected by the review will be notified as set forth below. A requirement to move up a Letter Division shall be effective on February 1.

**July 1 Criteria and Procedure.** The Wheelchair Tennis Committee, in consultation with the USTA Competitive Tennis Department, shall review the National Standings Lists in all Letter Divisions to determine which players, if any, must play in a higher Letter Division or may play in a lower Letter Division as follows:

- No player will be required to move up a Letter Division higher than the Letter Division in which the player is participating.
- Players appearing in the top 50% of a National Standings List of a Letter Division must play in that Letter Division or higher for the remainder of the calendar year.
- Players appearing in the bottom 50% of a National Standings List Letter Division may enter a lower Letter Division.
- Players affected by the review will be notified as set forth below. A requirement to participate in a Letter Division shall be effective on August 1.

- b. *Criteria for specific divisions.* The Wheelchair Tennis Committee, in consultation with the USTA Competitive Tennis Department, shall determine eligibility criteria for requiring players to enter the Open, Quad Open, Men's and Women's A and B Divisions, Men's C Division, Quad A Division, Senior Open/A (40 & Over) Doubles, and Senior B/C (40 & Over) Doubles Divisions. The criteria shall be published. See **Table 5**.
  - i. *Notification of change of division.* No later than 15 days after the date of the review, the USTA Competitive Tennis Department shall post the current lists of players required to play in a specific Letter Division and of players who may play in a lower Letter Division on the Wheelchair section of the USTA website. Upon request, the USTA shall provide notice by first class mail to any player.
  - ii. *Appeal of change in division.* A player may appeal a requirement to play in a specific Letter Division by submitting a written petition to the Tournament Subcommittee of the Wheelchair

Tennis Committee by first class certified mail (return receipt requested) to the following address: USTA Competitive Tennis Department, 70 West Red Oak Lane, White Plains, NY 10604. The appeal must include a statement as to why the player is appealing the decision. Absent good cause shown, any appeal shall be barred unless it is made in writing within 14 days of the mailing of the notice. The Tournament Subcommittee shall rule on the appeal within 21 days of the receipt of the appeal. Until the decision is ruled upon, the player must continue to play in the Letter Division specified by the notice. Appeal decisions are final.

- c. *Quad players.* A quad player must meet all of the following eligibility requirements:
- i. A quad player must meet the criteria for permanent physical disability as defined in **USTA Regulation I.G.4.a.**
  - ii. A quad player must have a permanent physical disability that results in a substantial loss of function in one or both upper extremities.
  - iii. A quad player must meet one of the following minimum eligibility requirements:
    - a neurological deficit at the C8 level or rostral with associated loss of motor function; or
    - upper extremity amputation; or
    - upper extremity phocomelia; or
    - upper extremity myopathy or muscular dystrophy; or
    - functional disabilities in one or both upper extremities equivalent to one of the four disabilities listed above in this subparagraph iii.
  - iv. A quad player must have at least one of the four following functional disabilities related to upper extremity use, irrespective of trunk balance:
    - lack of capacity to perform a smooth and continuous overhead service; or
    - lack of capacity to perform a smooth and continuous forehand and backhand; or
    - lack of capacity during play to maneuver a manual wheelchair using full wheel control; or
    - lack of capacity during play to grip the racket for all strokes without taping or an assistive device.
- d. *Power wheelchairs.* A player who has severe limitations on mobility that prevent the player from pushing a manual chair and therefore uses a power wheelchair for everyday mobility may use a power wheelchair to play wheelchair tennis; however, once a player has elected to play tennis in a power wheelchair, the player must continue to do so in all USTA sanctioned tournaments.

**FAC Comment I.G-2:** Wheelchair players are referred to the current ITF Wheelchair Tennis Handbook for the eligibility requirements to participate in ITF sanctioned wheelchair tournaments.



## H. Entry Process

1. *Means of entry.* The Tournament Committee shall determine the means of entering the tournament, which may be by its own entry form, by a standard entry form, or by electronic means.
2. *Entry form.* The Tournament Committee shall specify in the title if a tournament is limited to amateurs, *except that* that is not required for junior tournaments. If only some events in the tournament are limited to amateurs, then the entry form shall indicate which events are limited to amateurs and which events are open to professionals and amateurs. As soon as the Tournament Committee is prepared to receive entries, it shall place the following information on its written entry form and its website (if any):
  - The specific events and the eligibility requirements;
  - Any limit on the size of the draws;
  - The locations and dates of play (including rain dates, if any);
  - The sanctioning bodies;
  - The entry fee;
  - The draw formats and whether any consolation is mandatory;
  - The time and place of the draw;
  - The match format for the main draw, consolation, and doubles;
  - When applicable, a statement specifying whether the tournament will use the All Factors Method of seeding or a Computerized List Method of seeding. If a Computerized List Method is used, then the Tournament Committee shall reference (for example, national, sectional, or district criteria) or publish the applicable seeding criteria;
  - When applicable, a statement that the tournament will use the 10-Point Match Tiebreak in lieu of the deciding final set;
  - The entry deadline (the date by which entries must be received by the Tournament Committee);
  - The mailing or electronic address where the entry form should be sent;
  - Any clothing or shoe restrictions;
  - The time and place of any player meeting and how to notify the tournament if a player is unable to attend;
  - The ball type (brand name not required) that will be used;
  - The number of balls for play and the ball-change policy;
  - The type of court surface; and
  - When applicable, a statement that the tournament will use a Red, Orange, or Green Ball Tennis format (including the specific court dimensions, ball type, and racket size allowed).

It is recommended that the Tournament Committee include additional information, such as how first match information may be obtained, the names of the Referee and other members of the Tournament Committee, and the requirement for a player to deliver written verification or description of the reasons for a withdrawal.

## Example of an Entry Form

### Nebraska Junior Closed Tennis Tournament

Sponsored by the Omaha Tennis Association

August 5-7, 2013

Koch Family Tennis Center

12400 W. Maple Rd., Omaha, NE 68164, (555) 444-7332

- Entry Fees:** Singles: \$16. Doubles: \$22/team. One singles and doubles only. No phone entries.
- Deadline:** Paid entry must be received by **Friday, July 26.**
- Seeding & Draw:** Will be held on Monday & Tuesday (July 29 & 30) at Koch using the All Factors Method.
- Format & Rules:** Single Elimination draw with mandatory first match consolation in singles. Matches are best of three tiebreak sets. For 12s only, the tournament will be a round robin with matches being the best of three tiebreak sets with No-Ad scoring and a 10-Point Match Tiebreak in lieu of the third set. 10s will be played on a 60-foot court with the orange ball and a racket no longer than 25 inches using the USTA's recommended 10 & under format.
- Age Guide:** 10s born Sept. 1, 2002, or later. 16s born Sept. 1, 1996, or later. 12s born Sept. 1, 2000, or later. 18s born Sept. 1, 1994, or later. 14s born Sept. 1, 1998, or later.
- Schedule:** Draws posted at Koch and at [www.usta.com](http://www.usta.com) (TennisLink) after 5 p.m. on Wednesday, August 3. Players may call (555) 444-4980 after 5 p.m. on Wednesday. Play may begin as early as 1:00 p.m. on Friday.
- Player Meeting:** Friday at 12:30 p.m. at Koch. Call (555) 444-4980 if unable to attend.
- Sanctioned:** USTA/Missouri Valley and Nebraska Tennis Association. All players must provide proof of USTA membership or purchase a membership at the tournament.
- Surface:** Sixteen hard courts and three hard 60-foot courts
- Ball Policy:** 3 Wilson Heavy Duty balls (medium speed); new balls for 3rd set.
- Referee/Chairman:** Scott Veitzer/Franz Blaha
- Host Hotel:** Holiday Inn (Central) 72nd & Grover (555) 393-3950
- Other Information:** No tennis shoes with black soles. Any player who withdraws must notify the Referee immediately. Written verification of the reason must be delivered by August 7.

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### Nebraska Junior Closed 2013

Name \_\_\_\_\_ Phone: (     ) \_\_\_\_\_ Date of Birth \_\_\_\_\_

Address: \_\_\_\_\_ City \_\_\_\_\_ State \_\_\_\_\_ Zip \_\_\_\_\_

Girls' Singles \$16

18   16   14   12   10

Boys' Singles \$16

18   16   14   12   10   \$\_\_\_\_\_

Girls' Doubles \$22/team

18   16   14   12   10

Boys' Doubles \$22/team

18   16   14   12   10   \$\_\_\_\_\_

Doubles Partner: \_\_\_\_\_ Total Fees Enclosed \$\_\_\_\_\_

*Please attach a copy of your USTA card and all relevant seeding information*

**Mail Entries payable to: OTA**

**P.O. Box 8313**

**Omaha, NE 68108-0313**

**Fig. 3**

**FAC Comment I.H-1:** Tournaments post the information required by **USTA Regulation I.H.2.** on the TennisLink Tournament Home Page. If the following information was provided in the Online Sanction Form, it will automatically appear on the Tournament Home Page:

- Entry fees;
- Tournament dates;
- Entry deadline;
- Events and abbreviation for draw format;
- Primary tournament site address and telephone numbers, but only if it is the address of the sanction holder;
- Tournament Director and contact information;
- Tournament Referee and contact information; and
- Surface type.

Tournaments should post the additional information required by **USTA Regulation I.H.2.** using the notes function of either TDM or the Online Sanction Form. Many tournaments also post additional information such as:

- Non-abbreviated form of events and draw format;
- Information on doubles entries such as whether entries are accepted after the online deadline and whether the tournament will pair players with partners;
- Directions to all sites;
- Hotel information;
- Private housing information;
- Practice court information; and
- Availability of indoor backup.

3. *Acceptance of entries.* The Tournament Committee is responsible for accepting the entries. It shall furnish the Referee with a complete list of entrants. The Committee may accept late entries *provided that* if the draw has been made, the committee may accept entries only of players who do not invalidate the seedings.

4. *Acceptance of entries in tournaments with limited size draws.* If the number of entrants exceeds the draw limit, the Tournament Committee shall accept players and alternates into the draw based on their records. It may also accept players of established ability who do not have current records or whose records are affected by illness, injury, or lack of recent play. If the Tournament Committee is unable to determine the record of some players with timely entries, then it shall accept these players into the draw and onto the alternate list by lot and never by the date of receipt of entries.

The Tournament Committee may accept late entries, *provided that* the late entries shall be placed on the alternate list after the timely entries in the order received. Late entries received at the same time shall be ordered by lot.

The Tournament Committee shall furnish the Referee with the complete list of entrants and the ordered list of alternates.

5. *No discrimination in acceptance of entries.* Entries may not be refused on the basis of race, creed, sexual orientation, color, or national origin.

6. *Voluntary submission of seeding information.* A player who wants to be seeded should submit a player record and ranking.

7. *Electronic service fee.* Players who enter tournaments may be charged a non-refundable fee for electronic entries.
8. *Withdrawal from tournament and refund of entry fee.* The Tournament Committee shall refund a player's entire entry fee if the entry is not accepted. In all tournaments *except* tournaments listed on the National Junior Tournament Schedule, the Tournament Committee shall refund a player's entire entry fee if the player withdraws no later than seven days before the start date of the tournament; no refund is required beginning six days before the start date of the tournament. The fee for electronic entries is not a considered a part of the entry fee and is non-refundable. See **USTA Regulation IX.A.8.** for entry fee refunds in the tournaments listed on the National Junior Tournament Schedule.

The Tournament Committee shall not charge any fee (such as a processing, service, or handling fee) for refunding the entry fee.

A player shall not withdraw from a tournament except for illness, injury, personal circumstance, or previously authorized entry into another tournament (see **USTA Regulation IV.C.16.**).
9. *Request for special scheduling.* A player may submit a request for special scheduling with the player's entry. See **USTA Regulation II.D.1.** for how the Referee handles these requests.

**FAC Comment I.H-2:** The inclusion of the word *Amateur* in the title for a tournament indicates that only amateurs may play in the tournament. All USTA sanctioned junior tournaments other than the USTA National Championships and those tournaments authorized by the ITF are limited to amateurs. If the word *amateur* does not appear in the title of an Adult, Senior, or Family tournament, then the tournament is open to amateurs and professionals.

**FAC Comment I.H-3:** The inclusion of the word *Invitational* in the title means that the entry in the tournament is by invitation only. The Tournament Committee may accept players into the draw using whatever criteria it chooses so long as it does not discriminate on the basis of race, creed, sexual orientation, color, or national origin.

**FAC Comment I.H-4:** The inclusion of the word *Closed* in the title means that the tournament is limited to residents of a particular geographic area. The inclusion of the word *Open* in the title means that the tournament is open to all players regardless of where they live. Nonetheless, many Sectional Championships and sectional qualifying circuits are open to residents only. In addition, special regulations limit participation in USTA Junior National Championships and USTA Regional Tournaments to United States citizens and a few special groups. See **USTA Regulation IX.A.2.**

## II. DRAW REGULATIONS

### A. Seeding

While there is no requirement that every tournament have seedings, most tournaments of the elimination and compass draw types, as distinguished from round robins, use seedings to ensure that players of recognized outstanding ability do not confront each other in the early rounds.

1. *Responsibility for seeding.* The Tournament Committee shall determine the seedings, *except* that pursuant to **USTA Regulation IX.A.4.** the Director of USTA Junior Competition or the Director's designee shall seed the USTA National Championships, USTA National Opens, and USTA Regional Tournaments after consulting with the Tournament Committee.
2. *Number of seeds.* The number of players seeded shall equal a power of two (for example, 1, 2, 4, 8, 16, or 32). The maximum ratio of players seeded shall be one to three, *except* that any draw may have one or two seeds and that special provisions apply to Category I USTA National Championships, Super Category II USTA National Tournaments, and Category II USTA National Tournaments. (See **USTA Regulation X.A.2.b.** and **Table 23.**)

**FAC Comment II.A-1:** The decision on whether to round up or down to a power of two depends on adequate seeding information. The Tournament Committee should round up to the next power of two when adequate information is available. Examples: A draw of 24 could have either four or eight seeds depending on the information available, but a draw of 23 could have no more than four seeds. Similarly, a draw of 96 could have either 16 or 32 seeds, but a draw of 95 could have no more than 16 seeds. A draw of 3 or 4 could have one or two seeds.

**FAC Comment II.A-2:** ITF tournament regulations permit no more than 16 seeds in tournaments that are part of an ITF circuit. Category I USTA Adult, Senior, and Family National Championships in the Men's 35-85 and Women's 35-80 Divisions follow the ITF tournament regulations.

3. *Listing seeds.* The Referee shall list the seeded players in the draw in numerical order to the extent that the Referee has information available to do so, and then the Referee shall list all other seeded players in groups. For example, if the first 5 seeds are numerically seeded, seeds 6 through 8 could be grouped.
4. *Seeding methods*
  - a. *All Factors Method.* The All Factors Method of seeding is based on the players' chances of winning the tournament as determined by the Tournament Committee. The Tournament Committee shall consider all reasonably available information, including ranking lists, standing lists, recent records, types of surface, and particularly head-to-head encounters.

**FAC Comment II.A-3:** When using the All Factors Method of seeding, it is common to start with a recent ranking or standing list and use other information to enhance the accuracy of the list. The Tournament Committee shall not rely on just the current rankings or standings. The Tournament Committee shall consider all

reasonably available information, including results from unsanctioned matches. It should take care to assure itself that all results are correct. The Tournament Committee should make every effort to obtain information from all available sources.

**FAC Comment II.A-4:** Some common errors in seeding: “He’s ranked No. 1, so he must be seeded No. 1”; “She won the tournament last year, so she must be seeded No. 1 this year”; “Since this is his first year as a senior and he has no record in senior play, he cannot be seeded or he cannot be seeded any higher than five.”

**FAC Comment II.A-5:** Two outstanding doubles players playing together for the first time shall be considered for a seed.

- b. *Computerized List Method.* A Computerized List Method of seeding is based on a computerized system of rankings or standings.
- 5. *Seeding in national tournaments.*
  - a. *Juniors.* USTA National Championships, USTA National Opens, and USTA Regional Tournaments shall use a Computerized List Method and shall follow the seeding criteria in **Table 18**.
  - b. *Adult, Senior, and Family.* Seeding for Category I USTA National Championships, Super Category II USTA National Tournaments, and Category II USTA National Tournaments shall use the All Factors Method of seeding (see **USTA Regulation II.A.4.a**) and shall follow the seeding criteria in **USTA Regulation X.A.2.b.** and **Table 23**.
  - c. *Wheelchair.* The tournament committees of Category I USTA Wheelchair National Championships may choose to use either the All Factors Method of seeding or a Computerized List Method of seeding. The Wheelchair Committee shall establish and publish the seeding criteria to be followed when using a Computerized List Method of seeding. See **USTA Regulation XIII.A.3.c**
- 6. *Seeding in tournaments other than national tournaments.* Sectional Associations may require all or some of their tournaments to use the All Factors Method of seeding or a Computerized List Method of seeding. If a Sectional Association requires the use of a Computerized List Method of seeding, it shall publish its seeding criteria. The Sectional Associations may also allow their District Associations or subdivisions to make this decision. In this case, the District Association or subdivision shall publish its seeding criteria.

**FAC Comment II.A-6:** Sectional Associations or District Associations may require some tournaments to use a Computerized List Method of seeding and other tournaments to use the All Factors Method of seeding. The Sectional Associations or District Associations may also leave the choice to the Tournament Committee.

- 7. *Publication of method of seeding and seeding criteria.* All tournaments shall publish the method of seeding and the seeding criteria.
- 8. *Seeding groups.* Seeding groups refer to 1, 2, 3-4, 5-8, 9-16, and 17-32. A seeding group is treated separately in that players within that group are drawn to lines in the draw that are specifically designated for that group.

The concept of seeding groups is important when the draw needs to be changed. Changes may be required if a:

- Seeded player withdraws (see **USTA Regulation II.C.1.a.**);
  - Player in the draw was not seeded but should have been seeded (see **USTA Regulation II.C.4.**); or
  - Player who should have been seeded was omitted from the draw (see **USTA Regulation II.C.2.b.**).
9. *Placing.* Placing is prohibited. Placing occurs, for example, when the Tournament Committee in a 16-draw tournament seeds four and “places” four. The practice of placing is an attempt to hide the fact that in reality eight players have been seeded instead of the permissible maximum of four. The rule of “one in three” was established to provide some matches between strong players in the first round, to increase the variety of a player’s opponents, and to get away from the “strong-weak-strong-weak” pattern in the draw, thereby giving players who are usually first-round losers an occasional opportunity to meet each other and advance to the second or third round.
10. *Block seeding.* Block seeding refers to the practice of advancing the seeded players several rounds into the tournament. The unseeded players play matches to reach the round where the seeded players have already been stationed. The purpose of this practice is to allow the unseeded players to play several matches before playing a seeded player. Block seeding is authorized in tournaments at the level of sectional championships and below.

## **B. Making the Draw**

1. *Public draw.* The draw, computer or manual, shall be made in public at the time and place specified by the Tournament Committee.
2. *Draw made by Referee or Deputy Referee.* The Referee or a Deputy Referee, assisted by at least one Tournament Committee member and preferably by two members, shall make the draw. The Referee and the Deputy Referee shall be jointly responsible for the draw’s compliance with applicable **USTA Regulations**. If the Referee has a Deputy Referee make the draw, the Referee shall determine when the Deputy Referee shall return the draw to the Referee, at which time the authority of the Deputy Referee over the draw ceases.

**FAC Comment II.B-1:** When a district, sectional, or the national office has a person who assists the Referee in making the draw, this person is appointed as a Deputy Referee and serves as a member of the Tournament Committee as long as the person’s authority as a Deputy Referee remains in place. These Deputy Referees should be certified as Referees by the USTA or should have undergone current Referee training with the USTA.

3. *Draw formats.* **USTA Regulations** describe the procedures that Referees shall follow when they run single elimination draws, First Match Losers Consolations, voluntary Sign-Up Consolations, Feed-In Championships, round robins, and compass draws. Tournaments may use other draw formats. When a tournament uses other formats, the Referee shall post at the tournament the procedures defining the format before the start of play. Referees shall follow **USTA Regulations** *except* for those **USTA Regulations** changed by the *posted* procedures.

4. *Main draw.* When the number of players is 4, 8, 16, 32, 64, 128, or any higher power of two, they shall meet in even pairs in progressive elimination in accordance with the following pattern:
  - a. *Balancing seeds.* The principle of drawing to position the seeds shall be applied so that the same number of seeds will fall in each half of the draw, in each quarter of the draw, etc.
  - b. *Positioning seeds.* The first seed shall be positioned on the top line of the draw, and the second seed shall be positioned on the bottom line of the draw. The position of the remaining seeds shall be determined by lot using the procedure described below, with each seeded player in the top half of the draw being positioned on the top line of the bracket for which that seed is drawn, and each seeded player in the bottom half of the draw being positioned on the bottom line of the bracket for which that seed is drawn. The procedure for different size draws with the maximum number of seeds is shown in **Table 6**.

**TABLE 6**  
**Positioning Seeds**

**A. Draw of 12-16 with 4 seeds.**

Seed 1	Line 1
Seed 2	Line 16
Seeds 3 & 4	Drawn at random for line 5 or 12

**B. Draw of 24-32 with 8 seeds.**

Seed 1	Line 1
Seed 2	Line 32
Seeds 3 & 4	Drawn at random for line 9 or 24
Seeds 5-8	Drawn at random for line 5, 13, 20, or 28

**C. Draw of 48-64 with 16 seeds.**

Seed 1	Line 1
Seed 2	Line 64
Seeds 3 & 4	Drawn at random for line 17 or 48
Seeds 5-8	Drawn at random for line 9, 25, 40, or 56
Seeds 9-16	Drawn at random for line 5, 13, 21, 29, 36, 44, 52, or 60

**D. Draw of 96-128 with 32 seeds.**

Seed 1	Line 1
Seed 2	Line 128
Seeds 3 & 4	Drawn at random for line 33 or 96
Seeds 5-8	Drawn at random for line 17, 49, 80 or 112
Seeds 9-16	Drawn at random for line 9, 25, 41, 57, 72, 88, 104, or 120
Seeds 17-32	Drawn at random for line 5, 13, 21, 29, 37, 45, 53, 61, 68, 76, 84, 92, 100, 108, 116, or 124

- c. *Using byes to fill out draw.* When there are not enough players to put one player on each line in the draw, byes are added. This serves to bring to the second round a player on each line so that there can be an orderly progression down to two finalists. For example, with



27 players, five of the lines on a 32-draw sheet would be marked bye, and the five players drawn opposite those lines would move into the second round without playing a match, to be joined there by the 11 winners of first round matches to make up an even 16.

- d. *Distributing byes.* Byes drawn to the top half of the draw shall be positioned on even-numbered lines; byes drawn to the bottom half of the draw shall be positioned on odd-numbered lines.

i. *Standard method.*

(A) *To seeded players in descending order.* The byes shall go to seeded players in descending order. If group seeding is used and there are fewer byes available than there are players in the group, then a drawing is used to determine which seeds within the group get the available byes. (For example, there is a draw of 27 in which seeds 1 through 3 are seeded numerically and the next five seeded players are seeded as a group. The first three byes go to the first three seeds. A drawing determines which group seed is assigned to the #4 seed line. This player receives a bye. The four remaining group seeds are drawn randomly to the lines for the 5th through 8th seeds. A drawing determines which of the three remaining group seeds receives the final bye.)

(B) *To lines opposite seeds if one in every four players had been seeded.* If there are more byes than seeded players, then the byes shall be positioned on the lines opposite the lines where additional seeds would have been positioned had the draw been full and had the tournament seeded one in four players. (For example, in a draw of 25 with 4 seeds and 7 byes, the first four byes would go on lines opposite seeds 1 through 4 and the next three byes would go on lines opposite the lines where seeds 5 through 7 would have gone. This would put these three byes randomly on three of the following lines 6, 14, 19, or 27.)

(C) *Remaining byes.* Remaining byes shall be distributed so that the total number of byes is evenly distributed by quarters and halves. No further attempt to balance the byes by eighths or sixteenths shall be made. If the number of byes remaining to be distributed is not divisible by four, then the quarter or quarters that receive one more bye than the other quarter or quarters shall be determined by lot.

(D) *Examples.*

After all seeds have received byes, or in the absence of seeding, the byes are drawn as follows:

*Draw of 16.* The 1st and 2nd byes are drawn for lines 2 and 15, the 3rd and 4th byes are drawn for lines 6 and 11, and byes 5-7 are drawn among lines 4, 8, 9, and 13 (balanced by half);

*Draw of 32.* The 1st and 2nd byes are drawn for lines 2 and 31, the 3rd and 4th byes are drawn for lines 10 and 23, byes

# Positioning Seeds and Byes in Draw of 32 with 22 Players

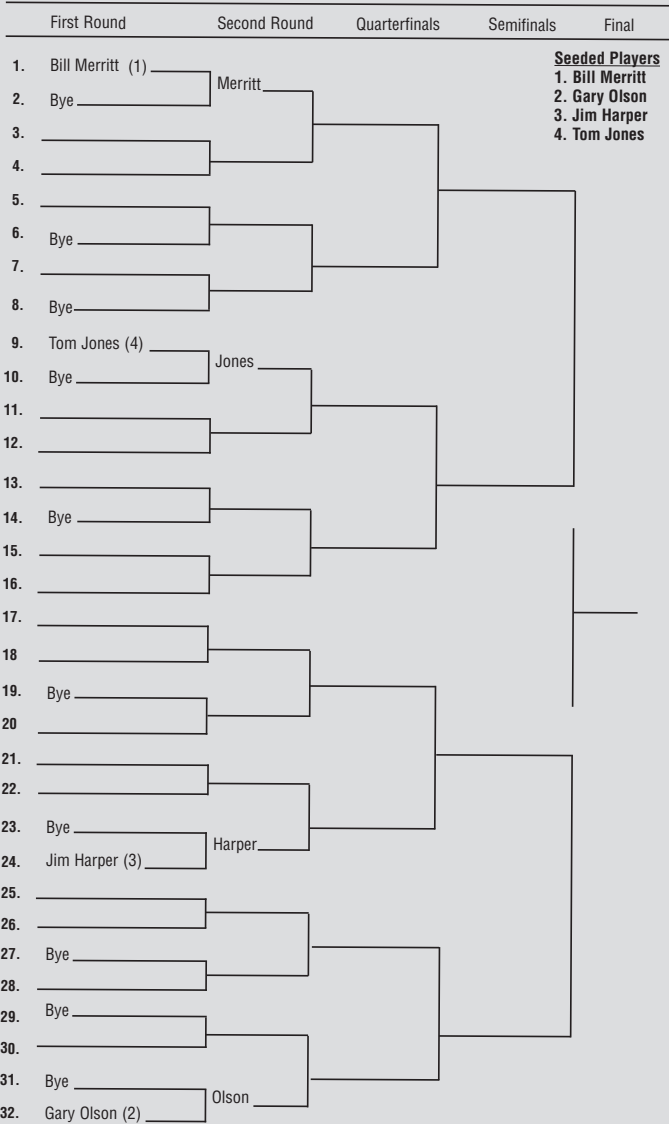


Fig. 4

5-8 are drawn among lines 6, 14, 19, and 27 (balanced by half); and byes 9-15 are drawn among lines 4, 8, 12, 16, 17, 21, 25, and 29 (balanced by quarter and half);

*Draw of 64.* The 1st and 2nd byes are drawn for lines 2 and 63, and 3rd and 4th byes are drawn for lines 18 and 47, byes 5-8 are drawn among lines 10, 26, 39, and 55 (balanced by half), byes 9-16 are drawn among lines 6, 14, 22, 30, 35, 43, 51, and 59 (balanced by quarter and half) and byes 17-31 are drawn among lines 4, 8, 12, 16, 20, 24, 28, 32, 33, 37, 41, 45, 49, 53, 57, and 61 (balanced by quarter and half);

*Draw of 128.* The 1st and 2nd byes are drawn for lines 2 and 127, the 3rd and 4th byes are drawn for lines 34 and 95, byes 5-8 are drawn among lines 18, 50, 79, and 111 (balanced by half), byes 9-16 are drawn among lines 10, 26, 42, 58, 71, 87, 103, and 119 (balanced by quarter and half), byes 17-32 are drawn among lines 6, 14, 22, 30, 38, 46, 54, 62, 67, 75, 83, 91, 99, 107, 115, and 123 (balanced by quarter and half) and byes 33-63 are drawn among lines 4, 8, 12, 16, 20, 24, 28, 32, 36, 40, 44, 48, 52, 56, 60, 64, 65, 69, 73, 77, 81, 85, 89, 93, 97, 101, 105, 109, 113, 117, 121, and 125 (balanced by quarter and half).

**FAC Example of Positioning Seeds and Distributing Byes in Draw of 22 with 4 Seeds and 10 Byes. See Figure 4 on page 76.**

Bill Merritt is seeded first; Gary Olson is seeded second; Jim Harper is seeded third; and Tom Jones is seeded fourth. There are 22 players.

1. *Positioning the seeds (USTA Regulation II.B.4.b.).* First seed Bill Merritt is placed on line 1; Second seed Gary Olson is placed on line 32. A draw determines whether the third seed Jim Harper goes to the top or bottom half. In this example Harper is drawn to the bottom half so his name goes on line 24, and Tom Jones goes on line 9.
2. *Distributing byes.*
  - a. *To seeded players in descending order (USTA Regulation II.B.4.d.i.(A)).* The first four byes go opposite the names of the seeds. This puts byes on lines 2, 10, 23, and 31.
  - b. *To lines opposite seeds if one in every four players had been seeded (USTA Regulation II.B.4.d.i.(B)).* If there had been a full draw of 32 and one in four players had been seeded, there would have been eight seeds. Since Seeds 5 through 8 would have gone on lines 5, 13, 20, and 28, byes go on lines 6, 14, 19, and 27.
  - c. *Remaining byes (USTA Regulation II.B.4.d.i.(C)).* Two byes still need to be distributed and need to be balanced by halves and by quarters. One bye should go in each half. A drawing determines which quarter in each half gets an additional bye. In this example the drawing determined that the first and fourth quarters get the additional byes. These byes could go on lines 4 or 8 and on lines 25 or 29. A drawing determined that the additional byes go on lines 8 and 29.
3. *Filling in unseeded players by lot (USTA Regulation II.B.4.f.).* The 18 unseeded players are drawn randomly to the 18 remaining empty lines.

- ii. *Alternate method for distributing byes when there is a Feed-In Championship and the first two rounds of the main draw in division are scheduled on same day.*
- First, distribute byes to all the seeds.
  - Second, distribute byes so that the seeded players who receive byes will be playing other players who have also received byes. If there are not enough byes so that every seeded player is playing another player who has received a bye, then position these byes adjacent to the seeded players starting with the lowest seeded player.
  - Third, distribute a pair of byes in the fourth quarter of the draw starting from the bottom up; distribute a pair of byes in the first quarter of the draw starting from the top down; distribute a pair of byes in the third quarter of the draw starting from the bottom up; distribute a pair of byes in the second quarter of the draw starting from the top down; and repeat the cycle (fourth quarter, first quarter, third quarter, and second quarter) until all the byes have been distributed.
- iii. *Alternate method of distributing byes that increases number of second round matches with double byes.*
- Byes go to seeded players in descending order.
  - Remaining byes are distributed at the extremes of the first quarter and fourth quarter. The first bye goes on the first available even-numbered line below the top line of the first quarter. The second bye goes on the first available odd-numbered line above the bottom line of the fourth quarter. The third bye goes on the next available even-numbered line below the top line of the first quarter. The fourth bye goes on the next available odd-numbered line above the bottom line of the fourth quarter, etc. If the first and third quarters are filled, byes are added by alternating between the second and fourth quarters using the same procedure.
- iv. *Alternate method of distributing byes that sometimes shortens tournament.*
- Byes go to seeded players in descending order.
  - Remaining byes are distributed at the extremes of the first quarter and third quarter. The first bye goes on the first available even-numbered line below the top line of the first quarter. The second bye goes on the first available odd-numbered line above the bottom line of the third quarter. The third bye goes on the next available even-numbered line below the top line of the first quarter. The fourth bye goes on the next available odd-numbered line above the bottom line of the third quarter, etc. If the first and third quarters are filled, byes are added by alternating between the second and fourth quarters using the same procedure.

**FAC Comment II.B-2:** The standard method, described in **USTA Regulation II.B.4.d.i.**, should be used for most tournaments, including those that use a Curtis Consolation or Modified Curtis Consolation. The Referee generally should choose an alternate method only when there is a distinct scheduling advantage to doing so.

- The method described in **USTA Regulation II.B.4.d.ii.** works well when there is a Feed-In Championship in which the first two rounds of FIC are scheduled on the same day.
- The method described in **USTA Regulation II.B.4.d.iii.** increases the number of second round matches in which both players have first round byes. This may facilitate scheduling because players with byes are not waiting for their opponents to finish first round matches. If there is a First Match Loser Consolation, a player with a bye who loses in the second round will often receive a bye in the consolation and face another player who also lost after receiving a first round bye. This method should not be used in a tournament with a Feed-In Championship.
- The method described in **USTA Regulation II.B.4.d.iv.** will shorten by one day a tournament that plays only one singles match a day and that has a Feed-In Championship with a draw only slightly larger than a power of two. (For example, the system works for a draw of 16 with up to 10 players, a draw of 32 with up to 20 players, a draw of 64 with up to 40 players, and a draw of 128 with up to 80 players.)

- v. *Method of distributing byes in tournaments with consolation draw.* In addition to any method for distributing byes in tournaments with consolation draws set forth in **USTA Regulation II.B.**, the byes may be distributed as follows:
  - Byes go to seeded players in descending order.
  - Remaining byes are distributed at the extremes of the first quarter and third quarter. The first bye goes on the first available even-numbered line below the top line of the first quarter. The second bye goes on the first available odd-numbered line above the bottom line of the third quarter. The third bye goes on the next available even-numbered line below the top line of the first quarter. The fourth bye goes on the next available odd-numbered line above the bottom line of the third quarter, etc. If the first and third quarters are filled, byes are added by alternating between the second and fourth quarters using the same procedure.
- e. *Byes not moved when player withdraws.* Once the byes are inserted in the draw, their positions shall not be changed even if a player withdraws. But see **USTA Regulation II.C.1.b.iv.** when a withdrawal creates a double bye.
- f. *Filling in unseeded players by lot.* Once the seeded players and the byes have been written in, the rest of the draw shall be filled in by

drawing the names of the remaining players and putting them on the unoccupied lines in the order in which they are drawn, starting at the top and moving downward in sequence.

- g. *Discretionary procedure for separating opponents.* When the drawing procedure operates to bring together for their first match opponents from the same area, city, state, family, school, foreign country, club, doubles team, Sectional Association, or District Association, the Referee has the discretion to place the second name drawn on the corresponding line of the next quarter of the draw. If this happens in the fourth quarter of the draw, the second name may be exchanged with the name on the corresponding line in one of the three preceding quarters, the specific one of these quarters being determined by drawing. If this system places the second-drawn player into a seed or bye slot, the name shall be moved to successive quarters until it can be put on a vacant line or exchanged with that of an unseeded player.

**FAC Comment II.B-3:** The TDM program is not always able to separate all players who need to be separated. The Referee should check the draw to determine whether players have been properly separated.

- 5. *Consolation draw.* Except where otherwise provided, a mandatory First Match Losers Consolation (FMLC) draw, a mandatory Feed-In Championship (FIC) draw, or a voluntary Sign-Up Consolation (SC) draw may be used. Consolation matches should be conducted under the same conditions as the main draw, including the use of new tennis balls, so that results will be valid for ranking purposes.

- a. *Instructions for mandatory First Match Losers Consolation (FMLC).*

The loser's name is placed on the same line on the consolation draw as the player would have occupied on the main draw had the player won there.

This type of consolation normally does not require a new drawing. An advantage to this consolation is that times may be scheduled in advance and, if so desired, the consolation may be scheduled so as to keep pace with main-draw play.

A player who wins in the first round by default and then loses in the second round moves into the consolation draw just as though the player had a first round bye followed by a second round main-draw loss.

A player who loses the player's first-played match in the third round of the main draw may request that the Referee include the player in the consolation. The Referee shall decide based on available openings and scheduling considerations.

- b. *Instructions for mandatory Feed-In Championship through quarterfinals for draw of 128.*
  - i. *Assigning numbers to each player.* Each player retains the player's number from the main draw, 1 through 128.
  - ii. *Placing first-round losers in FIC draw.* First-round losers from the main draw are entered on the FIC draw sheet, loser 1 or 2 versus loser 3 or 4, etc.
  - iii. *Feeding in main-draw second-round losers.* The winners of these

first-round FIC matches play the losers from the second round of the main draw in the second round of the FIC.

- iv. *Feeding in other main-draw round losers.* The winners of the FIC second-round play third-round qualifying matches to determine who will meet the third-round losers from the main draw. This sequence is carried through the quarterfinal round.
- v. *Where to feed in main-draw losers.* Each loser shall be fed in to the FIC space corresponding to the number opposite the player's name in the main draw.

For example, the second-round loser 1, 2, 3, or 4 is placed at the bottom of the FIC draw sheet and will play the winner of the 125 or 126 versus 127 or 128.

The second-round loser who is fed in is one of four numbers, and the quarterfinalist is one of eight numbers.

#### **FAC Example of Positioning Seeds and Byes in draw of 11 with 2 Seeds and 5 Byes and Example of Resulting Feed-In Championship draw. See Figure 5.**

Joe Green is seeded first; Robert Red is seeded second. There are 11 players.

1. *Positioning seeds (USTA Regulation II.B.4.d.i.(A)).* First seed Joe Green goes on line 1; second seed Robert Red goes on line 16.
2. *Distributing byes.*
  - a. *To seeded players in descending order (USTA Regulation II.B.4.d.ii.).* The first two byes go opposite the names of the seeds. This puts byes on lines 2 and 15.
  - b. *To lines opposite seeds if four players had been seeded (USTA Regulation II.B.4.d.i.(B)).* If there had been a full draw of 16 and four players had been seeded, the third and fourth seeds would have gone on lines 5 and 12. Byes should go on lines 6 and 11.
  - c. *Remaining byes (USTA Regulation II.B.4.d.i.(C)).* Four byes have been distributed; one bye still needs to be distributed. A drawing is held to determine the quarter in which the bye goes. In this example the drawing determined that the bye goes in the fourth quarter. This means that it goes on line 13.
3. *Filling in unseeded players by lot (USTA Regulation II.B.4.f.).* The 9 unseeded players are drawn randomly to the 9 remaining empty lines.

**FAC Comment II.B-4: Curtis Consolation.** When there is a shortage of courts or when a Feed-In Championship needs to be played in a limited amount of time, some tournaments use a special Feed-In Championship format. One of the most widely known is the Modified Curtis Consolation (CC).

This format works when there is a:

- Draw of 256 with up to 192 entrants;
- Draw of 128 with up to 96 entrants;
- Draw of 64 with up to 48 entrants; or
- Draw of 32 with up to 24 entrants.

The Curtis Consolation is built into TDM.

# Positioning Players in Feed-In Championship Draw

## Main Draw

First Round	Second Round	Quarterfinals	Semifinals	Final
1. Joe Green	Green	Green	Green	<b>Seeded Players</b> 1. Joe Green 2. Robert Red
2. Bye		6-1, 6-0	7-5, 6-1	
3. Steve Black	Black			Green 6-7(6), 6-3, 6-4
4. Ed Orange	Def.			
5. George Blue	Blue	Violet		Red 2-6, 6-4, 6-4
6. Bye		6-2, 6-2		
7. Al Gray	Violet			Gray 6-3, 6-3
8. Norm Violet	3-6, 6-4, 7-6(2)			
9. Roger White	White	Pink		Black 6-2, 6-1
10. Henry Yellow	6-2, 7-5	1-6, 7-6(4), 6-3		
11. Bye	Pink			Black 6-2, 6-1
12. Paul Pink				
13. Bye	Purple	Red		Black 6-2, 6-1
14. Jim Purple		6-2, 6-3		
15. Bye	Red			Black 6-2, 6-1
16. Robert Red				

## Feed-In Championship

Bye (L1-2)	Purple (L13-16)	Purple		
	Orange	7-5, 6-4		
Orange (L3-4)			Gray	
			7-5, 2-6, 6-4	
Bye (L5-6)	White (L9-12)	Gray		
	Gray	6-2, 6-4		
Gray (L7-8)				Gray 6-3, 6-3
Yellow (L9-10)	Yellow	Blue		
Bye (L11-12)		6-3, 6-2		
	Blue (L5-8)			
Bye (L13-14)	Bye	Black		
		6-2, 6-1		
Bye (L15-16)	Black (L1-4)			

\* Ed Orange was defaulted since he was late. Because his car broke down, the Referee decided to let him play in the Feed-In Championship draw.

**Fig. 5**



- vi. *Determining first through fifth places.* FIC semifinal and final rounds are played to determine the winner of the FIC. The FIC winner is the fifth-place finisher in the tournament. The loser of the FIC final is the sixth-place finisher. Third and fourth places are determined by a play-off between the semifinal losers in the main draw. The loser of the final in the main draw is the second-place finisher.
- vii. *Determining additional places.* Additional places may be obtained by having the semifinal losers in the FIC play-off for seventh and eighth places.
- viii. *Positioning byes.* Any byes are fed into the FIC draw just as though they were players. If 2 is a bye in the regular tournament, the word "bye" will be written in the space 1 or 2 under the FIC first round.
- ix. *Scheduling considerations.* FIC first-, second-, third-, fourth-, or quarterfinal-round matches should not be scheduled on the same day as the first-, second-, third-, fourth-, or quarterfinal-round matches in the main draw. Instead, these matches should be scheduled the following morning early and the qualifying matches that afternoon.
- c. *Instructions for voluntary Sign-Up Consolation (SC).* A voluntary Sign-Up Consolation draw comprises all first match losers who choose to play in the draw. Only players who intend to complete the consolation should enter.

The Tournament Committee shall publish a deadline that gives all first match losers the opportunity to enter the draw. The Sign-Up Consolation draw is made as set forth in **USTA Regulation II.B.**, *except* no seeding is required.

- d. *Participation of player in consolation and place playoffs.* A player who is defaulted from the player's first match for a reason other than misconduct or withdraws from the first match because of injury, illness, or personal circumstance may play in the consolation *unless* an on-site alternate takes the player's place in the main draw.

A player who:

- Is defaulted from a subsequent match for a reason other than misconduct;
- Does not play because of injury, illness, or personal circumstance; or
- Retires from a match

may play in the consolation and playoffs.

See also **USTA Regulation IV.E.6.**

## 6. *Round robin.*

- a. *When authorized.* Round robin draws may be used in any tournament for which the entry form states that the tournament shall be played using a round robin format. Additionally, a round robin draw may be used in any division in any tournament in which there are eight or fewer players or teams entered.
- b. *Procedure.* Each player in the round robin shall play every other player in the round robin.
- c. *Determining order of finish.* The player who wins the most matches is the winner. If two players are tied, then the winner of their head-to-head match is the winner.

If three or more players are tied, the Referee shall use the following steps in the order listed to break all ties. The Referee shall break as many ties as possible using a given step before using the next step. These steps are:

- The head-to-head win-loss record in matches involving just the tied players;
- The player with the highest percentage of sets won of all sets completed;
- The head-to-head win-loss record in matches involving the players who remain tied;
- The player with the highest percentage of games won of all games completed;
- The head-to-head win-loss record in matches involving the players who remain tied;
- The player with the highest percentage of sets won of sets completed among players in the group under consideration;
- The head-to-head win-loss record in matches involving the players who remain tied;
- The player with the highest percentage of games won of games completed among the players under consideration; and
- The head-to-head win-loss record in matches involving the players who remain tied.

If the Referee has applied all the steps and a tie still cannot be broken, the Referee shall use a random drawing among the remaining tied players to determine their order of finish.

**FAC Comment II.B-5:** A 10-Point Match Tiebreak played in lieu of a deciding final set counts as both one set and one game for the purpose of determining the order of finish. If the players inadvertently play out the third set or any portion thereof, it will still count as only one set and one game.

For the next three comments, assume that Adams, Baker, and Charles have identical 4-1 records. Adams has a win over Baker; Baker has a win over Charles; and Charles has a win over Adams.

**FAC Comment II.B-6:** *Adams has the highest percentage of all sets won, and Charles has the second highest percentage of sets won. What is the order of finish?* Adams is first; Charles is second; and Baker is third because application of the percentage of all sets won procedure breaks all of the ties.

**FAC Comment II.B-7:** *Adams and Baker have won the same percentage of all sets. Charles has won a lower percentage of all sets than Adams and Baker. What is the order of finish?* Charles finishes third because Charles has the lowest percentage of sets won. This is the only tie that can be broken by the percentage of all sets won procedure. The Referee next turns to the head-to-head record between Adams and Baker. Adams finishes first because of the head-to-head win over Baker.

**FAC Comment II.B-8:** *Adams has the best percentage of all sets won. Baker and Charles have the same percentage of all sets won. What is the order of finish?* Adams finishes first because Adams has the best percentage of all sets

won. This is the only tie that can be broken by percentage of all sets won procedure. The Referee next turns to head-to-head record between Baker and Charles. Baker finishes second because of the head-to-head win over Charles.

- d. *Defaults, withdrawals, walkovers, and retirements.* A player is credited with a win in the round robin if:

- The opponent withdraws;
- The opponent creates a walkover;
- The opponent retires; or
- An official defaults the opponent.

When breaking a tie, only completed sets count as sets won and only completed games count as games won. A player who is defaulted for any reason other than lateness shall not play in subsequent matches and may not be declared the winner of the round robin. A player who is defaulted, retires, or creates a walkover shall finish lower than every player who has an identical match record.

**FAC Comment II.B-9:** The Referee's determination as to whether a match should be scored as a default (and its type), withdrawal, or retirement affects the outcome of the round robin. Therefore, the Referee should use great care in determining why a player is not playing a match.

- e. *Procedures when there is more than one round robin draw in event.* When there are six or more players entered, then the event may be divided into more than one round robin. Before the round robins begin, the Tournament Committee shall set forth in writing the exact procedure for determining who advances to the final round (winners only or winners and runners-up) and whether a round robin or single elimination format shall be used to determine the winner of the final round.
- i. *Preliminary round robins.* Seeding shall be used to place the strongest players in different pools. The seeding principles set forth in **USTA Regulation II.A.4.** shall apply.
- ii. *Final round.* The overall winner shall be determined by playing another round robin or a single elimination format. The Tournament Committee may allow not only the winner of each round robin but also the runner-up in each round robin to advance to the final round. If the Tournament Committee allows runners-up to advance to the final round, the runner-up of each round robin shall play the winner of another round robin. In the final round the placement of the prior winners and runners-up shall be determined by random drawing, but no first match shall pair a winner and runner-up from the same round robin. If the Tournament Committee does not allow runners-up to play in the final round, then the final round pairings shall be made by a random drawing.

7. *Compass draw.*

- a. *When authorized.* Compass draws may be used in any tournament *provided* the entry form states the tournament shall be played using a compass draw format.
- b. *Seeding.* Seeding may be used to place the strongest players using the seeding principles set forth in **USTA Regulation II.A.4**. Seeds shall be drawn according to the procedures used for making a standard draw.
- c. *Making draw.* Each player is drawn to a line on the center draw sheet. The procedure for making a standard draw shall be followed for determining the exact line on which each player is placed.

**FAC Comment II.B-10:** For a Compass Draw of 8, use the first match loser format with a playoff for 8 players in TDM.

- d. *Byes.* Complications will develop if there are byes in the draw. Therefore, it is best to limit the entries to 16, 32, or 64. A substitute list is helpful to eliminate problems caused by last minute withdrawals. If there are byes, distribute them evenly in the quarters and halves of the draw as described in **USTA Regulation II.B.4.d.i**.
- e. *Progression in draw.* At the end of each round, the winners continue in their tournament in the same direction, while the losers move to other points on the compass to form a new satellite tournament.
- f. *Specific procedures for draw of 32.* (See **Figure 6**.)  
At the end of round 1:
  - The winners go east;
  - The losers go west.At the end of round 2:
  - The east losers go north;
  - The west losers go south.At the end of round 3:
  - The east losers go northeast;
  - The north losers go northwest;
  - The west losers go southwest;
  - The south losers go southeast.At the end of round 4:
  - The losers are out of the tournament (unless it is announced in writing before the start of the first match of the tournament that there will be semifinal playoffs);
  - The winners play the finals on each draw sheet.
- g. *Specific procedures for draw of 16.* Use only the top half of the draw at each compass point. Follow the same procedure as in a draw of 32 except that round 4 is the finals instead of the semifinals.
- h. *Specific procedures for draw of 64.* Use two 32 draw sheets. Round 4 becomes the quarterfinals of each tournament instead of the semifinals. At the end of round 4 all losers are out of the tournament. The draw sheet tournaments continue with their own semifinal matches until there is an eventual winner for each. (The losers of the semifinals are out of the tournament.)

# COMPASS DRAW SHEET

## UNITED STATES TENNIS ASSOCIATION COMPASS DRAW

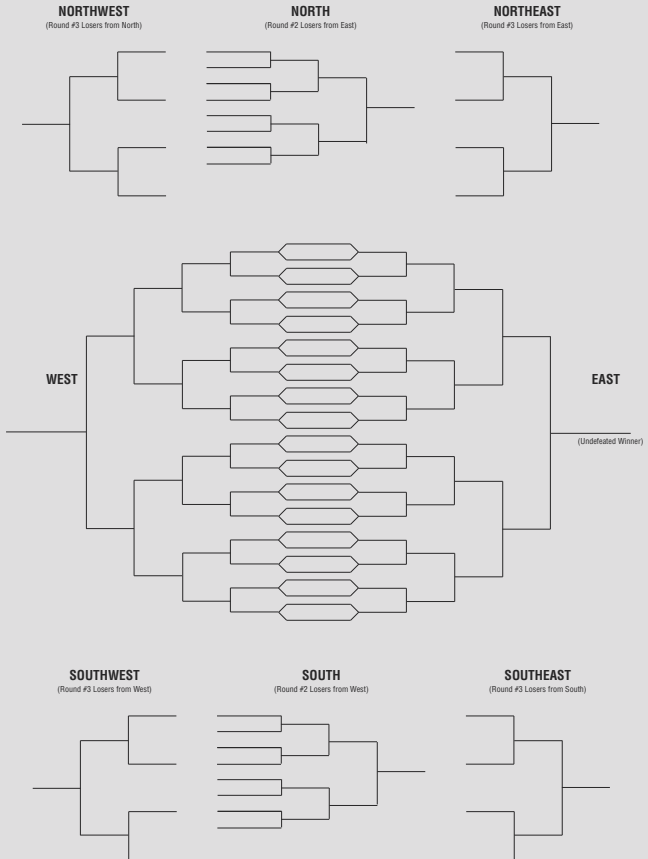


Fig. 6

- i. *Prizes.* The major prizes go to the east winner (who is undefeated) and to the east runner-up (who has lost only to the east winner). Next in order are the winners and runners-up from: northeast, north, northwest, west, southwest, south, and southeast. The Tournament Committee shall determine how many prizes are to be awarded.
8. *Alternate method for making collegiate and scholastic draws.* **USTA Regulation XII.** provides an alternate method for making collegiate and scholastic draws.
9. *Application to team competition.* The provisions of this **USTA Regulation II.B.** except for paragraphs 1. and 2. do not apply to team competition tournaments.

### C. Changes in Draw

1. *Withdrawal of player before play starts.*
  - a. *Withdrawal of seeded player before play starts.* If a seed withdraws after the draw has been made but before the start of play, the Referee may do any of the following:
    - i. Fill the vacated position with a person not already in the draw whose inclusion would not affect the seedings;
    - ii. Remake the draw;
    - iii. Relocate the players whose seeding groups (see **USTA Regulation II.A.8.** for definition) have changed:
      - *16 draw.*  
*Seeds 1-4 withdrawing.* If any of the four seeds withdraws, the next player eligible for seeding becomes the fourth seed, and to the extent necessary the three remaining original top four seeded players are assigned their new seeds, and any player whose seeding group has changed is moved to the correct position.
      - *32 draw.*  
*Seeds 1-4 withdrawing.* If any of the first four seeds withdraws, the fifth seed becomes the fourth seed, and to the extent necessary the three remaining original top four seeded players are assigned their new seeds, and any player whose seeding group has changed is moved to the correct position. The next player eligible for seeding moves into the open fifth seed position.  
*Seeds 5-8 withdrawing.* If the withdrawal is among seeds five through eight, the next player eligible for seeding takes the position vacated by the withdrawing seed.
      - *64 draw.*  
*Seeds 1-4 withdrawing.* If any of the first four seeds withdraws, the fifth seed becomes the fourth seed, and to the extent necessary the three remaining original top four seeded players are assigned their new seeds, and any player whose seeding group (see **USTA Regulation II.A.8.** for definition) has changed is moved to the correct position. The ninth seed takes the position vacated by the fifth seed, and the next player eligible for seeding takes the open ninth seed position.

*Seeds 5-8 withdrawing.* If the withdrawal is among seeds five through eight, the ninth seed takes the position vacated by the withdrawing seed, and the next player eligible for seeding takes the open ninth seed position.

*Seeds 9-16 withdrawing.* If the withdrawal is among seeds nine through 16, the next player eligible for seeding takes the position vacated by the withdrawing seed.

- *Filling a vacant position.* To fill a vacant non-seed position created by any move described in i. through iii. above, the Referee should follow **USTA Regulation II.C.1.b.**

**FAC Comment II.C-1:** Follow the same principles for draws larger than 64.

- iv. Replace the withdrawing seed with the next player who would have been seeded and fill in the newly seeded player's former position in the draw with an alternate or a lucky loser (if there was a qualifying tournament).

**FAC Comment II.C-2:** Principles for replacement of a player also apply to a player who asks to withdraw but is defaulted instead because the player's reason for not playing is based on a reason other than injury, illness, or personal circumstance.

**FAC Comment II.C-3:** In ITF Adult or Senior Circuit tournaments, the ITF requires the use of the method in **USTA Regulation II.C.1.a.iv.** Referees of ITF tournaments should check the ITF website to verify that there are no changes to this ITF procedure.

In all other cases, Referees may choose among the four methods. The second and third methods are more appropriate for withdrawals that occur before the match schedule has been published or several days before the start of play. They also may be more appropriate in cases involving the withdrawal of a high seed. The first and fourth methods are simpler and may be more appropriate in the case of last minute withdrawals because the Referee will have to notify fewer players of the changes. The Referee is not required to change the draw if none of these methods is feasible.

- b. *Withdrawal of player who was not seeded and filling vacant position created by moving seeded players.* The Referee may do any of the following:
  - i. *Add player to draw.* If there are players awaiting entry into the draw, fill the position with a person not already in the draw whose inclusion would not affect the seedings;
  - ii. *Leave player in draw.* If there are no players awaiting entry into the draw, leave the player in the draw and advance the opponent by a withdrawal;
  - iii. *Remove player.* If there are no players awaiting entry into the draw and removing a player from the draw would eliminate a round of play in the:
    - Main draw: Move players using the principle of randomness so that there are no byes; or
    - Consolation draw: Add a bye so that the byes are evenly balanced and move the player displaced by the bye, if any, to the line previously occupied by the withdrawing player.

**FAC Comment II.C-4:** Examples of eliminating a round of play include not only reducing a draw of 33 to 32 or a draw of 17 to 16, but also reducing a draw of 49 to 48 or a draw of 25 to 24 in tournaments with Feed-In Championships.

- iv. *Move unseeded players if there are no players awaiting entry into draw and withdrawal would create double bye.* If there are no players awaiting entry into the draw and the withdrawal would create a double bye, then the Referee may:
  - (A) *Move opponent of highest seed who does not have bye.* Choose the opponent of highest seed who does not have bye and move this player to the proper position in the double bye. (Proper position is the top line of the pairing in the top half and the bottom line of the pairing in the bottom half.);
  - (B) *Move player in adjoining match.* If all seeded players have byes and there is a first-round match in the adjoining match, then move one player from the adjoining match to the vacant position. (This creates a second-round match between the same players who would otherwise play each other in the first round.);

**FAC Comment II.C-5:** Matches are *adjoining* if the winners of these matches will meet in the next round.

- (C) *Move player from another first-round match.* If the adjoining match also contains a bye, first identify the quarter with the most players. If two or more quarters have the most players, then select a quarter in the half opposite the quarter where the vacant position is located. If the quarter is in the top half, select the first pair of players who have a first-round match and move the player on the bottom of the pairing to the vacant position. If the quarter is in the bottom half, select the first pair of players who have a first-round match and move the player on the top of the pairing to the vacant position.
- 2. *Players omitted from draw.* (See **Figure 7.**) Any player whose entry is received on time and is otherwise acceptable will not be denied a place in the draw because of administrative error or oversight by tournament officials unless the Referee determines that play has proceeded too far to make changes in the draw practicable. The entire draw does not have to be remade. Instead, the following procedure should be followed:
  - a. *If play has not begun and if omitted player would not have been seeded,* then the player's place in the draw should be determined by a random drawing. The random drawing includes the omitted player and all unseeded players. The player whose name is drawn is placed on the line previously occupied by the last bye that was placed in the draw. See **USTA Regulation II.B.4.d.** for the order in which byes are placed in the draw. Unless the omitted player's name was the one



drawn, the name is positioned on the line previously occupied by the player whose name was drawn. For example, there is a draw of 27 with eight seeds and five byes. Seeds 5, 6, 7, and 8 were randomly drawn to lines 28, 5, 20 and 13, respectively. Therefore, the last available bye went on line 27 opposite the fifth seed. **Figure 7** illustrates this procedure. Davidson has been omitted from the draw. A drawing including Davidson and all unseeded players is held. The name of Edwards, which is on line 18, is drawn. Edwards' name is removed from line 18 and placed on line 27. Davidson's name is placed on line 18.

If the draw has no byes, use the same random drawing procedure to determine the player who will play a preliminary match. A random drawing is held among the unseeded players to determine the opponent in the preliminary match. For example, there is a 32-draw with no byes. Davidson has been omitted from the draw. A drawing is held among Davidson and the unseeded players to determine who will play a preliminary match. The name of Edwards, which is on line 18, is drawn. Edwards' name is removed from line 18 and Davidson's name is put in its place. A drawing is now held among the unseeded players (including Davidson) to determine who plays a preliminary match against Edwards. Franklin's name is drawn. Franklin's name is removed from line 11. Edwards and Franklin play a preliminary match for the right to occupy line 11. **Figure 7** illustrates this procedure.

- b. *If play has not begun and if omitted player would have been seeded*, then the seeding should be changed. To the extent necessary seeded players are assigned new seeds. Players whose seeding group has not changed remain on the same lines. Only those players whose seeding group has changed are moved to new lines corresponding to their new seeding group. (See **USTA Regulation II.A.8.** for a definition.) The original lowest seed will no longer be seeded. This player's new position is determined by using the procedure for an unseeded player who has been omitted from the draw. For example, there are four seeds, including Brown on line 9, who is seeded third, and Jones on line 24, who is seeded fourth. Davidson was omitted from the draw and should have been seeded third. Davidson is placed on line 24, which was previously occupied by Jones; Jones is no longer seeded. Use the procedure in sub-paragraph 2.a. above to reposition Jones.
  - c. *If play has begun or if scheduling problems make it impossible to use the foregoing procedures*, then one method for determining the omitted player's place in the draw would be for the omitted player to play one of the non-seeded players holding a place opposite a bye. The specific place would be determined by lot. If only seeds have byes, then the omitted player shall play the lowest seed who received a bye.
3. *Substitutions in draw.*
    - a. *When to make substitutions.* The Referee may substitute a new player or team into the draw if the Referee (preferably assisted by a Tournament Committee member) determines that the ability of a new player or team does not invalidate the seedings. The Referee and Tournament Committee should keep in mind that it is generally desirable to encourage play.

## Players Omitted from Draw

### 32-Place Draw Sheet

(With 27 entries, as here, maximum seeding would be 8)

First Round	Second Round	Quarterfinals	Semifinals	Final
1. Seed 1 _____				
2. Bye _____				
3. _____				
4. _____				
5. Seeds 5 thru 8 _____				
6. _____				
7. _____				
8. _____				
9. Seed 3 or 4 Brown _____				
10. Bye _____				
11. Franklin _____				
12. _____				
13. Seeds 5 thru 8 _____				
14. _____				
15. _____				
16. _____				
17. _____				
18. Edwards replaced by Davidson _____				
19. _____				
20. Seeds 5 thru 8 _____				
21. _____				
22. _____				
23. Bye _____				
24. Seed 4 or 3 Jones _____				
25. _____				
26. _____				
27. Insert Edwards _____				
28. Seed 5 thru 8 _____				
29. _____				
30. _____				
31. Bye _____				
32. Seed 2 _____				

**Fig. 7**

- i. In an elimination-type tournament no player or team who has lost a match may be reinstated into the draw.
  - ii. A substitution may be made for a player or team who has reached the second round by virtue of a bye, disqualification, default for a reason other than misconduct, or withdrawal.
  - iii. A substitution may be made for a player who is injured during the warm-up of the player's first-round match or a second-round match that the player has reached by virtue of a bye.
  - iv. If the Tournament Committee has provided the Referee with a priority list, the Referee shall use this list in filling places in the draw before accepting any late entry.
  - v. Except in extremely unusual circumstances, the draw will not be remade after it has been announced.
- b. *Positioning player or team.* The Referee shall place the new player or team in the draw by using whichever of the following principles is the first to apply.
  - i. If one member of each of two doubles teams withdraws, then the Referee may combine the two remaining players into a new team. If one of the original doubles teams drew a bye, then the new team should be placed opposite this bye. If both of the original doubles teams or if neither of the original doubles teams drew a bye, then the new team should be placed in the draw in one of the slots occupied previously by the two original teams with the position being determined by lot.
  - ii. If a singles player or one member of a doubles team withdraws, then the Referee shall substitute another player for the withdrawing player.
  - iii. If no player or team withdraws, then the Referee shall place the entry on the line previously occupied by the last bye that was placed in the draw. See **USTA Regulation II.B.4.d.** for the order in which byes are placed in the draw.
4. *Correcting error in seeding.* If the Tournament Committee decides that it has made an error in seeding the players, it may correct the error only if no affected seeded player has begun a match. If the Tournament Committee decides to correct the error, it should first redo the list of seeded players. The seeded players' names are moved to new lines corresponding to the number of their new seeded positions only when the seeding group (see **USTA Regulation II.A.8.** for definition) into which the player falls changes. If after the revision any original seed is no longer seeded, then the displaced seed is moved to the line vacated by the newly seeded player. In some cases this procedure will result in byes not being distributed in numerical order to the seeded players.

**FAC Comment II.C-6:** Here is an example of correcting an error when it is discovered that an unseeded player already in a draw of 64 should be the first seed.

- The Committee assigns a new number to each seed according to the new seeding order. The former number 16 seed is no longer seeded.
- Move the new number 1 seed to line 1.

- The former number 1 seed becomes the number 2 seed and is moved to the line previously occupied by the former number 2 seed.
- The former number 2 seed becomes the number 3 seed and is moved to the line previously occupied by the former number 4 seed.
- The former number 4 seed becomes the number 5 seed and is moved to the line previously occupied by the former number 8 seed.
- The former number 8 seed becomes the number 9 seed and is moved to the line previously occupied by the former number 16 seed.
- Move the former number 16 seed to the line previously occupied by the new number 1 seed.
- All other seeds remain on their current lines.

**FAC Comment II.C-7:** In some cases the procedure for correcting an error in seeding in **USTA Regulations II.C.4.** will result in the byes not being distributed in numerical order to the seeded players. For example, in a draw of 25 with eight seeds there will be only seven byes available for eight seeds. When the Tournament Committee realizes that it left unseeded a player who should have been seeded fifth, it uses the procedure to place the new number five seed on the line previously occupied by the former number eight seed. This leaves seeds six through eight with byes even though the number five seed does not receive a bye. This result is mandated by **USTA Regulation II.B.4.e.**, which does not allow a bye to be moved after the draw has been made.

**D. Scheduling Matches.** Scheduling should balance fairness to the players and the need to assure that the tournament is completed on time. This involves making maximum use of available courts, minimizing “dead time” between matches, and assuring appropriate rest for competitors. The referee should schedule matches based on the principles in **Table 7.**

TABLE 7 Scheduling Matches
<p><b>A. Factors Referee Should Consider</b></p> <ul style="list-style-type: none"> <li>Conditions affecting the draw</li> <li>Regulations affecting the schedule</li> <li>Match timing</li> <li>Weather and available light</li> <li>Players’ requests for special scheduling</li> <li>Equalizing, to the extent possible, rest between matches for opponents</li> </ul> <p><b>B. Conditions Affecting Initial Scheduling</b></p> <ul style="list-style-type: none"> <li>Size of the draws</li> <li>Draw format including consolation</li> <li>Number of courts and hours they are available</li> <li>Scheduling guidelines and rest period requirements, including Recovery Rule</li> <li>Number of players who are in more than one division</li> <li>Match format used in each event</li> </ul>

**TABLE 7**  
**Scheduling Matches (cont'd)**

**C. Average Length of Best of Three Set Match without Match Tiebreak**

- *Indoors:* 75 minutes
- *No-Ad Scoring:* 75 minutes
- *Outdoor hard:* 90 minutes
- *Outdoor clay (early rounds):* 90 minutes
- *Outdoor clay (later rounds):* 105 minutes

**D. Garman Scheduling System**

This system is based on a mathematical analysis of national level tournaments and is designed to reduce player waiting times and to maximize court usage. The system makes statistical assumptions about the number of defaults, retirements, and variability in length of matches. The system results in a steady stream of check-ins rather than large numbers of players checking in at one time.

**FAC Comment II.D-1:** A USTA publication on the Garman System is available online.

1. *Players' requests for special scheduling.* A player may request special scheduling of a player's match. The Referee has the discretion to honor or deny the request. The Referee should honor the request if it is reasonably possible to do so, if fairness to all players can be assured, and any required schedule change can be communicated in a timely manner to everyone affected by the change.
2. *Scheduling matches of players with long commutes.* When possible, avoid scheduling matches of players with long commutes for very early or late matches.
3. *Players are responsible for obtaining starting times.* The players are solely responsible for learning the time and place of their matches. A player's request for information as to the scheduled time of the player's first match shall be honored. The players should not rely on being told the time of their matches and should check the draw.
4. *Posting and changing schedule.* The Referee shall post the schedule on the official draw sheet. Before beginning any round, normally the Referee should post the time of the next round (for both winners and losers) on the official draw sheet. Once the schedule has been posted, it should not be changed except for a compelling reason. If amended, the Referee shall promptly notify all affected players.
5. *Scheduling matches by sections of draw.* The Referee should schedule matches so that players in the same section of the draw will start all matches in each round at about the same time.

**FAC Comment II.D-2:** *Should the Referee make reasonable effort to give comparable rest to winners of matches who will play each other in the next round?* Yes. The Referee's primary way of providing comparable rest is the scheduling of matches in the same section of the draw to start at about the same time. When possible the Referee should also coordinate the scheduling of main draw matches with the corresponding match in the Feed-In Championship.

6. *Singles and doubles.* The Referee should keep in mind possible conflicts that may develop because of players who are competing in singles and doubles. When possible in Adult, Senior, Family, and Wheelchair Divisions, the Referee should schedule singles matches before doubles.

**FAC Comment II.D-3:** In deciding whether to schedule singles or doubles matches first in Junior tournaments, the Referee should consider many factors including:

- Whether the singles draw is for endorsement spots;
- Whether ranking points are awarded for doubles for combined rankings;
- Whether differences in match formats for singles and doubles and their effect on minimum rest periods;
- Impact of the Recovery Rule; and
- The weather.

7. *Required rest between matches.* The Referee shall offer players the minimum rest between matches that are set out in **USTA Regulation III.C.1.** and **Table 11.** The Referee shall take into consideration these rest periods when the Referee prepares the schedule.
8. *Required rest between sets.* The Referee shall follow **USTA Regulation III.C.2.** and **Table 12** that provides for rest periods between sets.
9. *Scheduling guidelines.* When possible, the Referee should comply with the scheduling guidelines in **Tables 8** and **9.** These guidelines are mandatory for all USTA Junior National Championships and USTA Regional Tournaments. See **USTA Regulation IX.A.12.** These guidelines are mandatory for Category I USTA Adult, Senior, and Family National Championships. See **USTA Regulation X.A.3.f.** These guidelines are also mandatory for Category I USTA Wheelchair National Championships other than divisions that are required to use ITF tournament regulations. See **USTA Regulation XIII.A.3.g.** These guidelines are also mandatory for Super Category II USTA Wheelchair Tournaments and Category II USTA Wheelchair Tournaments, other than divisions that are required to use ITF tournament regulations. See **USTA Regulations XIII.A.1.b.** and **XIII.A.3.g.**
  - a. *Junior Divisions.* In addition to the guidelines in **Table 8:**
    - All players should be given at least 12 hours of rest between the completion of their last match of any day and the start of play of their first match of the following day;
    - In the 12 and 14 divisions, no match should start nor should a suspended match resume after 8 p.m.; and
    - In the 16 and 18 divisions no match should start nor should a suspended match resume after 10 p.m.

**FAC Comment II.D-4:** The guidelines in **USTA Regulation II.D.9.** and **Table 8** are mandatory for USTA Junior National Championships and USTA Regional Tournaments. The guidelines in **USTA Regulation II.D.9.** and **Table 9** are mandatory for all Category I USTA Adult, Senior, and Family National Championships, all Category I USTA Wheelchair National Championships, all Super Category II USTA Wheelchair Championships, and all Category II USTA

<b>TABLE 8</b> <b>Scheduling Guidelines for Junior Divisions:</b> <b>Maximum Number of Matches per Day</b>		
<b>Match Format</b>	<b>12s Divisions</b>	<b>14s, 16s, &amp; 18s Divisions</b>
<b>All Matches:</b> Best of 3 tiebreak sets	2 singles	2 singles + 1 doubles
	1 singles + 2 doubles	1 singles + 2 doubles
	3 doubles	3 doubles
<b>All Matches:</b> Tiebreak in lieu of 3rd set or short sets	3 singles	3 singles + 1 doubles
	2 singles + 1 doubles	2 singles + 2 doubles
	3 doubles	1 singles + 3 doubles
		4 doubles
<b>Main Draw Singles:</b> Best of 3 tiebreak sets  <b>Doubles and/or Consolation Singles:</b> 8-game pro sets	2 main draw singles	2 main draw singles + 2 doubles and/or consolation singles
	1 main draw singles + 3 doubles and/or consolation singles	1 main draw singles + 3 doubles and/or consolation singles
	4 doubles and/or consolation singles	4 doubles and/or consolation singles
<b>Main Draw Singles:</b> Tiebreak in lieu of 3rd set or short sets  <b>Doubles and/or Consolation Singles:</b> 8-game pro sets	3 main draw singles	3 main draw singles + 2 doubles and/or consolation singles
	2 main draw singles + 2 doubles and/or consolation singles	2 main draw singles + 3 doubles and/or consolation singles
	1 main draw singles + 3 doubles and/or consolation singles	1 main draw singles + 4 doubles and/or consolation singles
	4 doubles and/or consolation singles	5 doubles and/or consolation singles
Combinations of singles, doubles, and consolation singles not shown above. *	117 games, no more than 88 of which should be singles	117 games, no more than 115 of which should be singles
<p>If a player enters more than one division, these guidelines apply separately to each division.</p> <p><i>Completion of suspended matches.</i> When a match is carried over from a preceding day, it is counted as a match for these scheduling guidelines if the player so elects and if 16 or more games of singles or 20 or more games of doubles are required to finish the match.</p> <p>* Calculate the maximum number of games for specific combinations of formats not shown in the chart. The maximum number of games for a best of 3 sets match is 39; the maximum number for a match with a tiebreak in lieu of the 3rd set is 27; and the maximum number for an 8-game pro set is 17.</p>		

**TABLE 9**

**Scheduling Guidelines for Adult, Senior, Family, and Wheelchair Divisions:  
Maximum Number of Matches per Day**

Divisions	Any Format	Best of 3 Tiebreak Sets	Tiebreak in lieu of 3rd Set
<b>25 through 60</b>	3 matches, no more than two of which should be singles		
<b>65 through 80</b>	2 matches		
<b>85 &amp; 90</b>	2 matches, only one of which should be singles		
<b>Wheelchair</b>		3 matches, no more than 2 of which should be singles	4 matches, no more than 3 of which should be singles
<b>All other divisions</b>		No more than 2 singles; no guideline for doubles	No more than 3 singles; no guideline for doubles
<p>If a player enters more than one division, these guidelines apply separately to each division.</p> <p><i>Completion of suspended matches.</i> When a match is carried over from a preceding day, it is counted as a match for these scheduling guidelines if the player so elects and if 16 or more games of singles or 20 or more games of doubles are required to finish the match.</p>			

Wheelchair Tournaments, *except* for those divisions playing under ITF Regulations. If the Referee has difficulty scheduling matches without violating a guideline, the Referee should consult the appropriate USTA staff and USTA competition committee for assistance.

- b. *Sectional and district limitations.* Sectional Associations and District Associations may further limit the number of matches played in one day to reflect local considerations.
10. *Court surfaces.* The Tournament Committee shall not arrange for playing any division on more than one type of court surface. When a tournament cannot be finished on this surface, the Committee may use available courts of any type. However, **USTA Regulation X.A.2.e.** requires that the semifinals and finals of Category I USTA Adult, Senior, and Family National Championships normally be played on the surface specified in the title and **USTA Regulation XIII.A.3.f.** requires that the semifinals, finals, and playoffs for third place of Category I USTA Wheelchair Championships normally be played on the surface specified in the title.



### III. CONDUCTING THE TOURNAMENT

#### A. Tournament Committee Responsibilities Once Tournament Starts

1. *Keep order.* The Tournament Committee shall keep order on the grounds.
2. *Provide supplies, including singles sticks.* The Tournament Committee shall assure that each court is provided with singles sticks for singles matches using a doubles net and all supplies that it deems necessary for a match.
3. *Provide balls.* At least three new balls shall be used to start a match at tournaments above the sectional championship level and are recommended for use to start a match at the sectional championship level.
4. *Make arrangements to finish tournament.* The Tournament Committee shall arrange for enough courts so that under normal conditions the tournament can be completed on time and so that the Referee can schedule matches in each division on the specified surface.

When a tournament cannot be finished on the specified surface, the Committee may use available courts of any type. However, **USTA Regulation X.A.3.e.** requires that the semifinals and finals of Category I USTA Adult, Senior, and Family National Championships normally be played on the surface specified in the title; **USTA Regulation XIII.A.3.f.** requires that the semifinals, finals, and third place playoffs of Category I USTA Wheelchair National Championships normally be played on the surface specified in the title.

If there is no practical way to finish on time, the Committee shall either:

- Make arrangements mutually agreeable to the players involved to finish at a later date, *unless* prohibited by applicable national, section, or district regulations; or
- In the absence of such arrangements, declare the tournament unfinished and distribute the prizes equitably among the still-involved players.

#### B. Referee Responsibilities After Draw Made

1. *Generally supervise all aspects of play.* The Referee (or in the Referee's absence the Deputy Referee) shall be present during play. The Referee exercises general supervision over all aspects of play, including, but not limited to, the conduct and actions of players, coaches, parents, spectators, officials, ballpersons, groundskeepers, and the administrative crew. The Referee shall use judgment in all situations not specifically covered by the **ITF Rules of Tennis** or **USTA Regulations**.
2. *Schedule matches.* The Referee is responsible for scheduling matches and assigning courts (including moving a match in progress to another court at any time). Normally a player's singles match will precede the player's doubles match, but this is not mandatory. A player's request for information as to the scheduled time of the player's first match shall be honored. See **USTA Regulation II.D.3.** The Referee shall not schedule any division to play on more than one surface type, *except that* the Referee may do so when necessary to complete the tournament on time.
3. *Disqualify ineligible players.* Upon presentation of proof, the Referee shall disqualify a player who has entered a tournament for which the player is ineligible because of age or other restrictions that apply for that tournament. The Referee may reinstate the loser of the last match won by the disqualified player.

4. *Determine who may enter playing area.* The Referee shall determine who may enter the playing area.

**FAC Comment III.B-1:** The playing area is the area inside the fences or backstops. Any designated spectator seating or standing area is not part of the playing area.

5. *Default players.* The Referee may default a player for cause, which includes, but is not limited to, tardiness, misconduct, or failure to follow the Referee's instructions or those of the Chair Umpire or Roving Umpire.
6. *Consider appeals.* The Referee rules on appeals from players defaulted by other officials. **USTA Regulation IV.E.4.** refers to this situation.

**FAC Comment III.B-2:** The Referee should not reverse a default issued by another official unless there is a compelling reason.

7. *Handle infractions observed in non-umpired matches.* In non-umpired matches, the Referee and Deputy Referees may take appropriate action with respect to any infraction of the **ITF Rules of Tennis** or **USTA Regulations** they observe.
8. *Suspend or postpone play.* The Referee may suspend or postpone play pursuant to **USTA Regulation III.D.**
9. *Determine ball-change pattern.* The Referee determines the ball-change pattern subject to the right of the Chair Umpire to order a ball change at other than the normal time when in the Chair Umpire's opinion abnormal conditions warrant so doing.
10. *Assign and replace umpires.* The Referee appoints and replaces or reassigns, when necessary, Roving Umpires, Chair Umpires, Line Umpires, and Net Umpires. The Referee shall not remove an umpire from a match because of a player's request. These duties may be delegated to the Chief Umpire, if there is one.
11. *Decide questions of law.* The Referee decides any question of law that another official is unable to decide or that is referred to the Referee as an appeal by a player. The Referee's decision in these cases shall be final. (See **Appendix V, Case 1, of ITF Rules of Tennis** and **USTA Comment V.1.**)
12. *Appoint Deputy Referee.* The Referee or Tournament Committee shall appoint a Deputy Referee to act as the Referee whenever the Referee will be away from the Referee's normal post and out of touch with it for any reason whatsoever, including participation in a match as a player or as an official. Either the Referee or Deputy Referee shall be present during play.
13. *Permit special grass court shoes.* When playing on grass, the Referee may permit the use of special grass court shoes.

**FAC Comment III.B-3:** Until TDM is reprogrammed, use the old code for personal emergency. [emerg]

**TABLE 10**  
**Draw Sheet Terminology and Procedures**

**A. Definitions**

<b>Actions Initiated by Player or an Adult on behalf of a Junior</b>	Withdrawal	A withdrawal occurs when a player decides not to play all matches in an event. A withdrawal occurs only before (or during the warm-up for) a player's first match in an event. If the withdrawal occurs before entries close, the withdrawal may be for any reason. If the withdrawal occurs after entries close (regardless of whether the draw has been made), it must be for injury, illness, or personal circumstance. A withdrawal also may occur as a result of a tournament administrative error. Refusal to play for any other reason is treated as a default.
	Walkover	A walkover occurs when there has been an administrative error or when a player decides not to play a match in an event because of injury, illness, or personal circumstance. Refusal to play for any other reason is treated as a default. When a player decides not to play the player's first match but is allowed to play in the consolation, the first match is treated as a walkover.
	Retirement	A retirement occurs when a player is unable to continue playing a match or resume a suspended match because of injury, illness, personal circumstance, or adult discipline. Refusal to play for any other reason is treated as a default.
	Injury, Illness, or Personal Circumstance	The terms illness and injury should be understood in their everyday sense and include situations in which an adult makes the decision that the junior should not play because of illness or injury. Other bona fide reasons for not playing should be treated as a personal circumstance. Personal circumstance generally describes a situation in which a player has a serious or genuine reason for not playing. A Referee should give a player the benefit of the doubt when deciding whether the reason is bona fide. A personal emergency should be treated as a personal circumstance. Thus, being emotionally distressed over the breakup of a relationship or over the death of a relative or friend should be treated as a personal circumstance. The inability of a junior to play because an adult who is not the junior's parent or coach decides to go home is a personal circumstance. On the other hand, wanting to get home to play in another sporting event or for the convenience of the junior or an adult who is the junior's parent or coach is not a personal circumstance.
	Adult Discipline	Adult discipline occurs when a parent, coach, or other person responsible for a Junior <i>disciplines</i> the Junior by not allowing the Junior to start or finish a match. Adult disciplines are treated as defaults if the match has not started and as retirements if the match has started. There is no such thing as a withdrawal or walkover because of an adult discipline.
<b>Actions Initiated by an Official</b>	Default	A default occurs when the Referee does not allow a player to begin or continue a match. Examples of this kind of default include a player who does not show up, a player who is defaulted for lateness, and a player who is defaulted for receiving an injection, infusion, or supplemental oxygen.
	Default for Refusal to Play	A default also occurs when a player refuses to play or continue to play for reasons other than injury, illness, or personal circumstance. Examples of this type of default include refusing to play in order to get home sooner or in order to participate in another sporting event.
	Abandoned Match	An abandoned match refers to a match that has started, but the Referee has decided that the match will not be completed. There is no winner or loser of the match.
	Unplayed Match	An unplayed match refers to a match that the Referee decides will not be started for any reason other than a withdrawal, walkover, default, or retirement. There is no winner or loser of the match.
	Disqualification	A disqualification occurs when the Referee removes a player from an event because the player was not eligible for the event.

**TABLE 10**  
**Draw Sheet Terminology and Procedures (Cont'd.)**

**B. After Entries Close But Before Draw Is Made**

Withdrawal because of injury, illness, or personal circumstance	Withdrawals because of injury, illness, or personal circumstance normally do not need to be reported but should be documented in tournament records.
Refusal to play for other reasons	<p>A player who withdraws for a reason other than injury, illness, or personal circumstance must be reported. See <b>USTA Regulation V.A.3</b>. The withdrawal will not be shown on the draw sheet and will need to be reported separately.</p> <p>Withdrawals in order to play in another tournament or because the player was entered in two overlapping tournaments must be reported unless both tournaments agreed to the arrangement.</p>

**C. After Draw Is Made But Before Play Begins**

	What Happened	TDM Code
<b>Defaults</b>	Disqualification	Def [dq]
	Misconduct before first match	Def [cond]
	Refusal to start match for reason other than injury, illness, personal circumstance, or adult discipline	Def [refsl]
	Adult discipline for a Junior to not start a match for disciplinary reasons	Def [ad]
<b>Withdrawals</b>	Tournament administrative error (e.g., tournament entered player in wrong division)	Wd [admin]
	Injury	Wd [inj]
	Illness	Wd [ill]
	Personal circumstance	Wd [pc]
	Double withdrawal	Wd/Wd

Note: In a tournament without any alternate available to play, the Referee may allow a player who does not play a first match in the main draw because of injury, illness, or personal circumstance to play in the consolation draw. In this case the first match in the main draw is treated as a walkover instead of a withdrawal.

Note: If an alternate is placed in the draw, the default or withdrawal will not be shown on the draw. The default or the withdrawal must be reported separately, if the action would result in assessment of suspension points under the USTA Suspension Point System or penalties by any of its Sectional Associations or District Associations.

**TABLE 10**  
**Draw Sheet Terminology and Procedures (Cont'd.)**

**D. After Play Starts**

	<b>What Happened</b>	<b>TDM Code</b>
<b>Walkovers</b>	Injury	Wo [inj]
	Illness	Wo [ill]
	Personal circumstance	Wo [pc]
	Tournament administrative error (e.g., tournament told player incorrect time)	Wo [tae]
	Double walkover	Wo/Wo
<b>Defaults</b>	Disqualification for cause or ineligibility	Def [dq]
	Misconduct before or between matches	Def [cond]
	Failure to start match because of adult discipline	Def [ad]
	Refusal to start match for reason other than adult discipline, injury, illness, or personal circumstance. (After the Referee has conclusively confirmed that a player refuses to play a match, the Referee need not wait until the scheduled time of the match to record the result.)	Def [refsl]
	Not showing up	Def [ns]
	Lateness for match including, but not limited to, intending to play but mistakenly arriving at the wrong time, location, or without proper equipment	Def [late]
	Lateness for resumption of suspended match or after rest period	Score + Def [late]
	Double default	Def/Def
	Refusal to continue playing a match for reason other than injury, illness, personal circumstance, or adult discipline	Def [refsl]
	Default for receiving an injection, IV, or supplemental oxygen	Def [med]
	Default under Point Penalty System	Score + Def [pps]
<b>Retirements</b>	Injury	Score + Ret [inj]
	Illness	Score + Ret [ill]
	Personal circumstance	Score + Ret [pc]
	Retirement because of adult discipline	Score + Ret [ad]
	A player who retires from a match remains eligible for consolations, place playoffs, doubles and subsequent round robin matches.	
<b>Other</b>	Abandoned match	Abandoned
	Unplayed match	Unplayed

Note: When a player is unable to play because of injury, illness, or personal circumstance, it is good practice to document on the draw the nature of the injury, illness, or personal circumstance. If a trainer or doctor is present at the tournament, it is good practice to have the trainer or doctor examine the player to confirm the injury or illness.

C. Rest

1. *Rest between matches.* The Referee shall offer the players at least the minimum rest between matches as set forth in **Table 11**.

<b>TABLE 11</b> <b>Minimum Rest Between Matches</b>		
<b>The Referee, Sectional Association, or District Association should permit additional rest when any of them concludes that the length of a match, heat, humidity, total time on the court during the day, or other condition justifies more rest. *See also Recovery Rule</b>		
<b>Divisions</b>	<b>Best of 3 or best of 5 tiebreak set matches (including No-Ad scoring)</b>	<b>Pro set formats</b>
<b>Juniors</b>	60 minutes <i>except</i> 30 minutes in doubles only tournaments*	30 minutes
<b>Senior 35-45 &amp; Parent-Child</b>	60 minutes*	30 minutes
<b>Senior 50-90</b>	90 minutes*	45 minutes
<b>Parent-Child with minimum parental age</b>		
<b>Grandparent-Grandchild</b>		
<b>Husband &amp; Wife (Combined Ages)</b>		
<b>Wheelchair</b>	<ul style="list-style-type: none"><li>• Match of 60 minutes or less: 30 minutes*</li><li>• Between 60 &amp; 90 minutes: 45 minutes*</li><li>• 90 minutes or more: 60 minutes*</li></ul>	<ul style="list-style-type: none"><li>• Match of 60 minutes or less: 30 minutes</li><li>• Between 60 &amp; 90 minutes: 45 minutes</li><li>• 90 minutes or more: 60 minutes</li></ul>
<b>NTRP without age restrictions</b>	60 minutes*	30 minutes
<b>NTRP 35-45</b>	60 minutes*	30 minutes
<b>NTRP 50-90</b>	90 minutes*	45 minutes
<b>All other divisions</b>	30 minutes*	30 minutes
<b>Red, Orange, or Green Ball Tennis formats:</b> The Referee shall offer the players reasonable rest, which in many cases will be no more than 15 minutes. See <b>USTA Regulations VI.C.8., VI.D.8., and VI.E.8.</b> and <b>FAC Comments VI.C-2, VI.D-2, and VI.E-3.</b>		
For any other format (including matches played with a 10-Point Match Tiebreak in lieu of a third set), the rest is at least 30 minutes.		
A player is governed by the amount of rest allowed for the division in which the player plays.		
Players who participate in more than one division are entitled to at least 30 minutes rest between matches in different divisions, unless the Recovery Rule applies in which case the Referee shall offer the player a rest of two hours.		
<b>*Recovery Rule:</b> After all singles matches in Junior, Adult, Senior, NTRP and Wheelchair Divisions in which the match format is two out of three standard tiebreak sets or more, the Referee shall offer a rest of two hours before the player's next singles match. This rule does not apply to short set matches, matches that play a tiebreak in lieu of a final third set, nor to any match played indoors where the duration of the match is less than 120 minutes.		

**FAC Comment III.C-1:** *A player plays a short best of three tiebreak sets singles match outdoors. The player's next match is another best of three set singles match. Is the Referee obligated to offer the player a minimum rest of two hours?* Yes.

**FAC Comment III.C-2:** *A player's opponent retires before the end of the first set of a best of three tiebreak set singles matches that was played outdoors. The player's next match is another best of three set singles match. Is the Referee obligated to offer the player a minimum rest of two hours?* Yes.

**FAC Comment III.C-3.** *A player plays a long and competitive best of three set match with a Match Tiebreak in lieu of the third set. The player's next match is a singles match. Is the Referee obligated to offer the player a minimum rest of two hours?* Although the two-hour rest provision of the Recovery Rule does not apply, the conditions justify more rest and the Referee should offer more than the minimum rest in **Table 11**.

**FAC Comment III.C-4:** *A player plays a long and competitive best-of-three tiebreak sets singles match. The player's next match is doubles. Is the Referee obligated to offer the player a minimum rest of two hours?* Although the two-hour rest provision of the Recovery Rule does not apply, the conditions justify more rest and the Referee should offer more than the minimum rest in **Table 11**.

**FAC Comment III.C-5.** *A player plays a long and competitive match. May the Referee offer the player more than two hours rest?* When conditions justify more rest, the Referee should offer additional rest.

**FAC Comment III.C-6:** *A player has entered two singles divisions, each with the best of three tiebreak sets as the format. The player's first match is in one division and the next match is in another division. Is the Referee obligated to offer the player a minimum rest of two hours between the matches?* Yes.

**FAC Comment III.C-7:** *A player plays a long and competitive best-of-three tiebreak sets singles match indoors that lasts fewer than 120 minutes. Is the Referee obligated to offer the player a minimum rest of two hours?* Although the two-hour rest provision of the Recovery Rule does not apply, these conditions may justify more rest and the Referee should offer more than the minimum rest in **Table 11**. Other indoor conditions that may justify additional rest include high temperature or humidity.

**FAC Comment III.C-8:** *May the Referee shorten the rest between matches in tournaments using No-Ad scoring?* No.

**FAC Comment III.C-9:** *A junior player enters the 16 singles and the 18 doubles divisions. The player is scheduled to play two singles followed by one doubles match. How much rest must the Referee offer the player between the matches?* The Referee must offer the player 60 minutes between the singles matches because the matches are in the same division. The Referee is required to offer the player only 30 minutes between the second singles match and the first doubles match because these matches are in different divisions.

**FAC Comment III.C-10:** *Same situation as in FAC Comment III.C-9 except that the player is scheduled to play a singles match, the doubles match, and the*

*singles match. How much rest must the Referee offer the player between the matches?* The Referee is required to offer the player only 30 minutes between each match because in each case the matches are in different divisions.

**FAC Comment III.C-11:** *How does the Referee determine the length of an indoor match to decide whether the recovery rule applies?* When officials are available, they should record the time when the first ball is struck and when the last point is over. When this is not possible, the Referee should record the time that the match was sent to the courts and the time that the players report the scores. This time should be reduced by the ten minutes for the warmup and by the additional time that can reasonably be expected for the players to get to and from the court.

2. *Rest between sets.* The set breaks and rest periods between sets are set forth in **Table 12**.

#### **D. Suspension or Postponement of Play**

The Referee or Deputy Referee in charge of a site may suspend or postpone play when weather, inadequate light, surface conditions, health concerns, safety concerns, or other circumstances justify doing so. When, in the opinion of the Chair Umpire or Roving Umpire, playing conditions justify suspension of the match, the official may advise the Referee or Deputy Referee. When possible the official shall obtain the Referee's or Deputy Referee's approval before suspending play. Suspension of a match because of darkness should be done at the end of an even number of games in a set or at the end of a set.

When a match has been suspended, a player may not leave the tournament area until the player has obtained from the Referee or Deputy Referee either the time the player is next to play or clearance to leave.

**FAC Comment III.D-1:** *An incomplete match* refers to a match that was started but not completed. It is anticipated that the match will be completed. The Referee shall record the time and score when the match was stopped, who was serving and from which end, and the reason for the interruption.

**FAC Comment III.D-2:** *If a junior player needs a toilet/change of attire break before the start of a 10-Point Match Tiebreak, should the player take it before or after the 3-minute rest period?* A junior player who needs a toilet/change of attire break should take it after the 3-minute rest period. However, a player who chooses to take a toilet/change of attire break during the 3-minute rest period waives the right to further coaching. A player has reasonable time to complete a toilet/change of attire break. Any player who does not take a toilet/change of attire break shall remain on court or in an area near the court designated by an official. No player may receive coaching during the toilet/change of attire break because coaching is limited to the 3-minute rest period.

**FAC Comment III.D-3:** *Where may coaching in a junior tournament take place during a 3-minute rest period before the start of a 10-Point Match Tiebreak?* Coaching is allowed only on the court or in a designated area near the court where an official can easily time the rest period. A player may receive coaching by cell phone or other electronic device. However, a player shall turn off the phone or device at the end of the rest period.



**TABLE 12**  
**Time Allowed Between Sets**

At the end of each set, there shall be a set break of a maximum of two minutes, except as provided below.

	Divisions	After 2nd set in a best of 3 set match	After 2nd set when Match Tiebreak is played in lieu of 3rd set	After 3rd set in a best of 5 set match
Junior	Boys' & Girls' 12-16	Mandatory 10-minute rest period during which no player shall practice	Mandatory 3-minute rest period during which no player shall practice	
	Boys' & Girls' 18			Mandatory 10-minute rest period
Adult	Men's Open, 25, & 30	2-minute set break	2-minute set break	Tournament may allow 10-minute rest period
	Women's Open, 25, & 30	10-minute rest period at option of any player unless tournament gives notice that it will eliminate break.	2-minute set break	
	Mixed Doubles			
	(Open, 25, & 30) Husband-Wife Mixed Doubles			
Senior	Senior Mixed Doubles (35 & older)	10-minute rest period at option of any player	2-minute set break	
	Husband-Wife Mixed Doubles (Combined Ages)			
	Senior 35-90			
Family & NTRP	Parent-Child, Senior Parent-Child, & Grandparent-Grandchild			
	NTRP			
Wheelchair	Wheelchair Open	2-minute set break*	2-minute set break	
	All other Wheelchair Divisions	10-minute rest period at option of any player	2-minute set break	

A player is governed by the rest period in the division in which the player plays.

Coaching is not allowed during a 2-minute set break. Coaching is allowed during any 3-minute or 10-minute rest period.

When play is suspended for 10 minutes or more, any authorized rest period may be taken only after two consecutive sets in a best of 3 set match (three consecutive sets in a best of 5 set match) have been played without interruption. Completion of an unfinished set counts as one set.

\* When there is heat, humidity, or other adverse conditions and after notice before the match, the Referee may grant 10 minutes rest, during which no player shall practice.

## E. Medical Timeout and Bleeding Timeout

Medical timeouts and bleeding timeouts are administered pursuant to **Table 13**. Medical conditions that may not be treated are listed in **USTA Regulation III.E.4**.

1. *Medical timeout.* A medical timeout consists of evaluation time as determined by the Referee plus a maximum of three minutes treatment time for a treatable medical condition. The maximum time allowed for evaluation and treatment is 15 minutes.

**FAC Comment III.E-1:** The Tournament Committee should familiarize itself with the *USTA Emergency Care Guidelines*. (See **Part 4**.)

2. *Bleeding timeout.* A bleeding timeout consists of up to 15 minutes to stop visible bleeding, clean up the court, and dispose of contaminated items.
3. *Medical condition.* Medical condition includes, but is not limited to, an injury, illness, or heat-related condition or cramping, or any condition that the player believes requires diagnosis or treatment. Medical condition also includes aggravation of a pre-existing condition.
4. *Non-treatable medical conditions.* Players may not receive a medical timeout or treatment any time during a match or a warm-up for the following medical conditions:
  - a. Any medical condition that cannot be treated appropriately during a match, such as degenerative conditions not helped or eased by on-court treatment;
  - b. General player fatigue, such as fatigue not accompanied by cramps, vomiting, dizziness, blisters, or other similar treatable conditions; and
  - c. Any medical condition requiring injection, intravenous infusion, or supplemental oxygen. A player who receives *any* injection, intravenous infusion, or supplemental oxygen, *except* under circumstances specifically authorized by **USTA Regulations**, shall be immediately defaulted. Diabetics may use devices to check blood sugar, may administer subcutaneous injections of insulin, and may use battery-powered insulin pumps. Asthmatics may use only hand-held, non-battery, or non-electrical inhalers.

**FAC Comment III.E-2:** A player who receives an injection, IV infusion, or supplemental oxygen during a rest period shall be defaulted. However, there is no penalty for these actions during a suspension of play in which the Referee allows the players to leave the tournament area.

5. *Request for medical timeout.* A request for a medical timeout may be made by a player to the Referee, Chair Umpire, or other official at any time during the match or warm-up.

**FAC Comment III.E-3:** If a player has two medical conditions for which the player requests two medical timeouts, the player should make both requests at the same time. The maximum time that play may be interrupted for evaluation and treatment of the two conditions is 15 minutes.

6. *Leaving playing area.* A player requesting a medical timeout or bleeding

timeout may leave the playing area to contact the Referee, the Deputy Referee, or other official in charge of the match. If an official is present, the player may not leave the playing area without the official's permission.

7. *Coaching not permitted.* No coaching shall be permitted during the suspension of play for a medical timeout or bleeding timeout.

**FAC Comment III.E-4:** The time that it takes the player to get clothing and equipment (other than a racket) back into adjustment and the time it takes to walk back and forth to the court is not included in the treatment time but is included in the overall 15-minute limit.

**FAC Comment III.E-5:** If an official becomes aware that a player has a medical condition that is being treated on odd-game changeovers, the official should carefully monitor the changeovers to make certain that no player receives coaching.

**FAC Comment III.E-6:** *Early in the third set a player felt woozy and requested a medical timeout. The Referee told the player that the player was not entitled to a medical timeout because the player was suffering from non-treatable loss of physical condition. Was the Referee's decision correct?* No. The Referee should have allowed enough time for a qualified medical person or trainer to evaluate whether the player was suffering from general fatigue that was not accompanied by a treatable medical condition such as cramps, vomiting, some forms of dizziness, or blisters. If the player's general fatigue was accompanied by a treatable medical condition, then the Referee should have allowed it to be treated. Otherwise no treatment is allowed.

**FAC Comment III.E-7:** *Same situation as in FAC Comment III.E-6 except no qualified medical person or trainer is on site.* The Referee's decision was not correct. The Referee should have explained the medical timeout rule to the player and allowed treatment.

**FAC Comment III.E-8:** *What happens if a player aggravates an old hamstring injury during the warm-up and then re-aggravates it during the match?* The player is entitled to a medical timeout during the warm-up and again during the match.

**FAC Comment III.E-9:** *What happens if a player takes a medical timeout during the warm-up because the player has just pulled a hamstring muscle and then aggravates that injury during the match?* The player is entitled to another medical timeout during the match.

**FAC Comment III.E-10:** *A player requested a medical timeout for treatment of leg cramps. The Referee granted the request. Can a player receive treatment for loss of physical condition?* Yes. A player may be treated as long as the condition is treatable. The **ITF Rules of Tennis** no longer distinguish between injuries and natural loss of physical condition.

**FAC Comment III.E-11:** *A player's left leg started cramping so the player requested and received a medical timeout. One-half hour later the player's right forearm cramped up and the player requested another medical timeout. The Referee gave the player another medical timeout. Was the Referee's decision correct?* No. A player is entitled to only one medical timeout for all cramping and heat-related conditions during a match.

TABLE 13 Medical Timeouts, Bleeding Timeouts, and Toilet/Change of Attire Breaks		
A. When Timeout May Be Taken		
Medical condition during warm-up	<ul style="list-style-type: none"> <li>• Immediately after request</li> </ul>	<ul style="list-style-type: none"> <li>• At the end of warm-up</li> </ul>
Medical condition during match	<ul style="list-style-type: none"> <li>• Immediately after request</li> <li>• After qualified medical person or trainer evaluates and is prepared to treat the medical condition</li> </ul>	<ul style="list-style-type: none"> <li>• Next changeover</li> <li>• After Referee or other official arrives and explains the medical timeout provision</li> </ul>
Visible bleeding	Mandatory bleeding timeout begins when player notifies official or acknowledges that there is visible bleeding	
Toilet/change of attire breaks	When official determines need is genuine. Change of attire breaks are limited to set breaks unless there is a clothing malfunction	

B. Administration of Timeout	Medical Timeout When Qualified Medical Person Available	Medical Timeout When No Qualified Medical Person Available	Bleeding Timeout
Evaluation time begins	When qualified medical person arrives at court	Not applicable	
Treatment time begins	After evaluation by qualified medical personnel	After official explains medical timeout regulation to player and supplies are located and brought to the court	When player notifies official or acknowledges that there is bleeding
Maximum amount of treatment time	3 minutes, which includes the time to determine whether the player is able to continue playing		15 minutes
Treatment time ends	When treatment ends and it has been determined that player is able to continue playing		When bleeding has stopped, playing area has been cleaned up, & someone has disposed of contaminated items
Maximum total time	15 minutes (regardless of number of conditions treated during the stoppage in play)		
If maximum total time is exceeded	Penalize under PPS, <i>except that</i> if the medical timeout was taken during the <i>warm-up</i> and the player is unable to compete, the match does not begin		<ul style="list-style-type: none"> <li>• If bleeding has not stopped, the player must retire</li> <li>• If bleeding has stopped, but playing area is not cleaned up, Referee may move match or continue cleaning up the court</li> </ul>

<b>Who may treat</b> (Player may always treat self.)	Qualified medical person or trainer	Any person who player selects. Official may provide supplies	Qualified medical person, trainer, or any person who player selects
<b>Treatment during 90-second Changeover, Set Break, or 3-Minute Rest Period</b>	<ul style="list-style-type: none"> <li>• A player may receive on-court evaluation, treatment, or supplies from anyone for any treatable medical condition</li> <li>• A player or someone on behalf of the player shall notify an official before using any supplies for treatment of a medical condition</li> <li>• A player may receive on-court evaluation or treatment no more than two times</li> <li>• A player may treat himself or herself or receive supplies an unlimited number of times. A player may treat his or her doubles partner</li> </ul>		Not applicable
<b>Where treatment may occur during Timeout</b>	<ul style="list-style-type: none"> <li>• On court</li> <li>• Or off court, if authorized by an official (Off-court treatment does not change the time limits)</li> </ul>		

### C. Limit on Number of Timeouts

<b>Cramping and heat-related conditions</b>	One in warm-up and one in match. Limit applies even if a heat-related condition or cramping is in different parts of body
<b>General fatigue</b>	No timeout allowed, but qualified medical person or trainer may evaluate to determine if the problem is general fatigue
<b>Visible bleeding</b>	One in warm-up and one in match. Additional bleeding timeout is allowed if visible bleeding occurs in a different area of the body
<b>Medical condition that is not treatable</b>	No timeout allowed, but qualified medical person or trainer may evaluate to determine if the problem is treatable
<b>Treatable medical condition</b>	<ul style="list-style-type: none"> <li>• One per medical condition</li> <li>• No more than two medical timeouts during one stoppage of play regardless of the number of treatable medical conditions</li> <li>• A player who has a condition that was treated in the warm-up may take an additional medical timeout for that condition during the match</li> </ul>
<b>Toilet/change of attire breaks</b>	A tournament may limit the number of breaks if this is announced in advance of the event. The player has a reasonable amount of time for the break. Toilet breaks are allowed only if an official decides that the need is genuine and not because of general fatigue. Play continues if the request is not granted.

**FAC Comment III.E-12:** *A player's left leg cramped, and the player requested and received a medical timeout to treat this condition. Later the player received a code violation point penalty and a code violation game penalty. With the score 4-4 and 40-30 in the final set, the player's leg cramp recurs. The player loses the point to make the score 4-5. The player hobbles to the chair where the player normally sits on changeovers. Throughout the changeover the player rubs his leg in a futile attempt to get rid of the cramp. After 60 seconds the Chair Umpire announces "time." After 90 seconds the player is still seated in the chair rubbing the player's left leg. What should the Chair Umpire do?* The Chair Umpire should announce "Code violation. Delay of game. Default." The Chair Umpire should not issue a time violation because it is clear that the reason for the delay was related to the player's physical condition. Only if the Chair Umpire had any doubt about whether the delay was caused by the player's physical condition would the Chair Umpire issue a time violation instead of a code violation.

**FAC Comment III.E-13:** *A junior player started bleeding from the nose. The only way that the bleeding could be stopped was by placing a piece of cotton in the player's nose. The player does not want to play with the cotton. What should the Referee do?* The Referee should explain that the player must choose between playing with the cotton and being required to retire.

**FAC Comment III.E-14:** *At 3-2 in the first set a junior player started bleeding from the nose and received a bleeding timeout for this condition. Early in the second set the nose bleed recurred and the player asked for a second bleeding timeout. The official denied the second bleeding timeout, but appropriately allowed the player to be treated briefly to stanch the bleeding by placing cotton plugs in the player's nose. The official also cautioned the player that further treatment of the nose bleed must occur during changeovers or set breaks. Play resumed but two games later the player suffered a massive nose bleed that again stopped play. What action should the official take at this point?* The official should tell the player that he obviously cannot continue play and must retire from the match.

**FAC Comment III.E-15:** *What should an official do after a player vomits on the court?* The player may receive a medical timeout. The official should follow the clean-up procedure for blood.

## **F. Toilet/Change of Attire Breaks**

A toilet/change of attire break consists of a reasonable amount of time when an official determines that there is genuine need. No coaching is allowed during a toilet/change of attire break.

**FAC Comment III.F-1:** When possible these breaks should be taken during a set break. If this is not possible, then the break should be taken at an odd game changeover. Breaks taken at other times should be limited to true emergencies.

**FAC Comment III.F-2:** Gastrointestinal problems are medical problems that are governed by medical timeout provisions and not by the toilet break provisions.

## G. Shortening Tournaments

Inclement weather, health concerns, or safety concerns may require the Referee to take steps to shorten the tournament to keep the tournament on schedule, including, but not limited to:

1. *Switch to No-Ad scoring.* The Referee may switch to No-Ad scoring for any complete round. However, **USTA Regulation IX.A.6.** prohibits No-Ad scoring in USTA Junior National Championships and USTA Regional Tournaments.
2. *Switch to 10-Point Match Tiebreak in lieu of final set or switch to pro sets.* The Referee may switch to the 10-Point Match Tiebreak in lieu of a deciding final set or to pro sets for any complete round with the following exceptions:
  - **USTA Regulation IX.A.7.** requires the Referee of a USTA Junior National Championship or a USTA Regional Tournament to get the permission of the Director of Junior Competition or the Director's designee before switching, even in cases of inclement weather, health concerns, or safety concerns;
  - See **USTA Regulation X.A.3.g.** for when the 10-Point Match Tiebreak or pro sets may be used in Category I USTA Adult, Senior, and Family National Championships; and
  - See **USTA Regulations XIII.A.3.d.** and **h.** for when the 10-Point Match Tiebreak or pro sets may be used in Category I USTA Wheelchair National Championships.
3. *Strictly enforce pre-match warm-up time and breaks between sets.*
4. *Tighten court usage.* Use "to be followed by" scheduling and have players waiting at courtside as soon as matches finish.
5. *Cancel matches.*
  - a. *Junior Divisions.* Referees are encouraged to cancel matches in the following order:
    - consolation doubles;
    - consolation singles;
    - main draw doubles; and
    - main draw singles.This order of canceling matches is mandatory for USTA Junior National Championships and USTA Regional Tournaments. (See **USTA Regulation IX.A.12.**)
  - b. *Other divisions.* If some matches in a division must be canceled, start with consolation rounds. Cancel main draw singles matches last.

If there is no practical way to finish an event, the Tournament Committee shall either make arrangements that are mutually agreeable to the players to finish at a later date, or in the absence of such arrangements, declare the tournament event unfinished.

**FAC Comment III.G-1:** The Referee should cancel matches on a division-by-division basis and should try to avoid a situation in which some, but not all, matches in a round in a division are completed.

**FAC Comment III.G-2:** *What does a Referee do if a tournament event cannot be finished without violating some of the scheduling guidelines?* First, the Referee may *not* violate the minimum rest between matches set forth in **Table 11**. Second, the Referee should remember that the principle behind these guidelines is the

protection of the health of the players. Third, the Referee should keep to a minimum the number of situations in which the guidelines in **USTA Regulation II.D.9.** and **Tables 8 and 9** are not followed. For example, it makes sense to start a 12-and-under match after 8 p.m. if that will prevent several players from having to play three singles matches the following day. This situation might also mean that the Referee may have to delay the start of the next morning's matches in order to give a player enough sleep over night. In short the Referee has to use judgment, keeping in mind the principle of the health of the players.

#### **H. Ball-Change Errors, Ball Replacement, and Practice Balls**

1. *Ball-change errors.* Ball-change errors are handled under **Rule 27i** of the **ITF Rules of Tennis**.
2. *Balls that become unfit for play.* If a ball becomes unfit for play (for example, ball breaks or loses compression), it shall be replaced immediately. If a ball becomes wet, it may be taken out of play temporarily until it dries, or it may be replaced.
3. *Replacement of balls.* If a ball has to be replaced during the warm-up or before the start of the third game after a ball change, the ball shall be replaced with a new ball. At all other times it shall be replaced with a ball of like wear to the remaining balls.
4. *Resuming play after suspension of match.* In matches where a ball change is authorized only at the start of the third set, warm-up after a suspension may be conducted with the match balls.

In all other matches, warm-ups after a suspension should be conducted with balls other than the match balls. If other balls are not available, then the match balls may be used, but the balls should be changed two games sooner than the originally specified number of games.

When an Umpire or Referee determines that the condition of the balls has materially changed since suspension of play, the match shall be resumed with balls whose condition is equivalent to the condition of the balls that were being used before their condition materially changed. If this is not possible, the match shall be resumed with new balls and re-warm-up shall be conducted with the new balls.

5. *Practice during intermission.* Except in junior divisions, the Referee may permit practice on the match court during an intermission, but not with the match balls.



## IV. PLAYER RESPONSIBILITIES AND CONDUCT

### A. Checking in at Tournament

1. *Prove USTA membership.* A player shall provide the Tournament Committee with proof of USTA membership or deposit sufficient funds with the Committee to purchase a membership. A foreign nonresident player who has proof that the player is a member of the player's National Association is exempt from this requirement. The Committee may delegate this duty to the Referee.
2. *Check-in at tournament desk.* A player shall check in at the tournament desk before the scheduled time of each match. The Referee shall designate a place at which players shall check in. A player is not deemed to have arrived until the player checks in at the designated place and the player is properly clothed, equipped, and ready to play. The Referee may penalize a player who is on site for lateness if a court is available and the player has failed to check in at the tournament desk by the scheduled match time. (See **FAC Comment IV.D-2.**)
3. *Obtain time of next match.* The player is responsible for obtaining the time of the player's matches from the Referee, rather than vice versa. Unless specifically authorized by the Referee, schedules of play carried in newspapers or other media are not official, and a player utilizes them at the player's own risk.

### B. Player Responsibilities Under *The Code*

Players shall follow *The Code*, except to the extent an official assumes some of their responsibilities.

*The Code* is a USTA publication originally written by Col. N.E. Powel. *The Code* shall apply in all cases not specifically covered by the **USTA Regulations**.

### C. Standards of Conduct

1. *General.* Players, persons who appear to be associated with a player (including, but not limited to, parents and coaches), officials, and organizers of any tournament are under a duty to encourage and maintain high standards of proper conduct, fair play, and good sportsmanship. They are under an obligation to avoid acts that may be considered detrimental to the game of tennis. Detrimental acts include, but are not limited to, the acts set forth in **USTA Regulation IV.C.**

The Chairperson of any tournament may withhold all or part of any prize money or expenses payable to any player charged by the Chairperson or by the Referee of the tournament with conduct inconsistent with the principles set forth in **USTA Regulation IV.C.**, *provided* a written grievance is filed within three days of the conduct in accordance with **USTA Regulation V.B.** Any prize money or expenses so withheld shall be withheld until a final determination of the charges in the grievance has been made. Immediately after the final determination, the funds withheld, less the amount of any fine, shall be promptly paid to the player.

2. *USTA Anti-Doping Program.* The USTA is committed to ensuring fair and drug free competition. Individual athletes bear the ultimate responsibility for choosing for themselves to compete fairly and drug free. Participation in USTA tournaments constitutes consent to be tested for drugs. Use of banned substances and doping methods is cheating and may result in

penalties, including suspension and permanent disqualification. The USTA in conjunction with the ITF has developed a program of education, testing, research, penalties, and rehabilitation. The USTA Board of Directors (hereinafter “USTA Board”) has adopted and supports the *USTA Anti-Doping Program*. Copies of this program may be obtained from the USTA and its USTA Sectional Associations.

**FAC Comment IV.C-1:** The USTA publishes the *United States Tennis Association Anti-Doping Program*. See this book for the list of prohibited substances. The handbook is available from USTA Coaching Education and Sports Science Department.

3. *Shoes.* A player may not wear shoes that mar or damage the court.
4. *Warm-up.* A player who refuses to warm-up with the opponent forfeits the right to a warm-up. During the warm-up or a re-warm-up, a player may have any person hit with the player if the opponent refuses to do so.
5. *Leaving court.* A player may leave the court to retrieve a ball. A player shall obtain permission from an official to leave the court. If a player is unable to contact an official, a player may leave the court:
  - To contact an official;
  - For a toilet/change of attire break;
  - To obtain water on a changeover or set break when none is available on the court; or
  - To repair or replace broken clothing, shoes, or necessary equipment other than the racket.A player may leave the court to get a replacement racket but is subject to code violations for delay under the Point Penalty System.
6. *Leaving tournament area after match is suspended.* When a match has been suspended, a player may not leave the tournament area until the player has obtained from the Referee either the time the player is next to play or clearance to leave.
7. *Practice during intermission.* During an authorized intermission in all divisions other than juniors, the Referee may permit practice on the match court. In Junior Divisions, no player may practice on any court during an authorized intermission. Practice with the match balls shall never be permitted.
8. *Removal of official.* A player is not entitled to have an official removed from a match.
9. *Inspection of ball marks.* A player shall not pass the net to inspect a ball mark.
10. *No coaching.* Except as permitted under **Rule 30** of the **ITF Rules of Tennis**, a player may not receive coaching during any suspension or interruption of play due to the following:
  - a. Medical timeout or bleeding timeout under **USTA Regulations III.E.1 and III.E.2.**;
  - b. Maladjustment of a player’s clothing, footwear, or equipment under **Rule 29(b)** of the **ITF Rules of Tennis**;
  - c. Toilet/change of attire break under **Rule 29(c)** of the **ITF Rules of Tennis** and **USTA Regulation III.F.**; or
  - d. Seeking the assistance of the Referee under **USTA Regulation IV.C.5.**

Coaching is permitted during an authorized rest period between sets under **Rule 30** of the **ITF Rules of Tennis** and during any other authorized suspension of play under either **USTA Regulation III.D.** or **Rule 30**. A temporary interruption of play during which the players do not leave the playing area is not a “suspension” of play for these purposes. Coaching is not permitted during any warm-up.

11. *No abuse of players or officials.*
  - a. *No person shall abuse official or player.* The Chair Umpire, Referee, and any other official responsible for ensuring that a match is played under conditions that are fair to all players shall make certain that no parent, relative, coach, or other person associated with a player abuses an official or player.
  - b. *Official may request abusive person to desist or leave tournament area.* A player who enters a sanctioned tournament or match consents to being penalized under the Point Penalty System for abusive conduct by a person who appears to be associated with the player. If the official observes the conduct, the official shall suspend play and request that the person desist. If the abuse continues, the official may direct the person to leave the tournament area. In a flagrant case the official may immediately direct the person to leave without requesting the person to desist.
  - c. *Point Penalty System applies to abuse.* If the person refuses to follow the instructions to leave, then the official may penalize the player associated with the person under the Point Penalty System. (**USTA Regulation IV.D.8.** outlines the right to appeal.)
12. *Player shall not enter two tournaments at same time.* When entries close, a player shall not be entered in two or more sanctioned tournaments, if any part of the tournaments overlap unless each Tournament Committee involved understands the situation and concurs in writing. After a player has been eliminated from a tournament whose schedule of play partially overlaps with that of a second tournament, the player may enter the second tournament. See **USTA Regulations IX.A.9.a.ii.**, and **IX.A.10.a.ii.**, which establish a special procedure that allows multiple entries into USTA National Championships (juniors) and USTA National Opens.
13. *Player shall not enter tournament intending to withdraw if player's entry is accepted in another tournament.* A player shall not enter a tournament with the intention of withdrawing if the player's entry is accepted in another tournament, unless the Tournament Committee for the tournament in which the player has entered understands the situation and concurs with the contemplated action.
14. *Player shall not enter tournament player cannot finish.* Player shall not enter a tournament (including mandatory consolation) knowing the player will be unable to finish.
15. *Player shall not transfer entry.* Player shall not transfer entry in a tournament to another player.
16. *Player shall not withdraw from tournament after entries close except for illness, injury, personal circumstance, or previously authorized entry into another tournament.* A player shall not withdraw from a tournament after entries close except for illness, injury, personal circumstance, or previously

authorized entry into another tournament. If the player withdraws for other reasons after the draw has been made and the player has not been replaced with another player, the match shall be recorded as a default.

A withdrawing player shall immediately notify the Tournament Committee or Referee by telephone, electronic communication, or in person that the player will not be playing.

- If the player is not playing because of an injury or illness, the player shall deliver written verification of the injury or illness from a medical professional to the Referee no later than the last scheduled day of the tournament.
- If the player is not playing because of a personal circumstance, the player shall deliver a written description of the situation to the Referee no later than the last scheduled day of the tournament. The Referee shall determine whether the situation is a personal circumstance.

The written verification and written description requirements are mandatory for all USTA Junior National Championships and USTA Regional Tournaments. The Tournament Committee may waive these requirements *unless* prohibited from doing so by applicable national, sectional, or district regulations.

17. *Player shall not fail to appear for reasons other than injury, illness, or personal circumstance.* A player shall not enter a tournament and then fail to appear for a match (whether in the qualifying, main, or consolation draw) *except* for illness, injury, or personal circumstance. If the player's reason for failing to appear is not one of these reasons, or if the player gives no reason for failing to appear, then the match shall be recorded as a default.

**FAC Comment IV.C-2:** A junior player entered in a USTA Junior National Championship or USTA Regional Tournament who withdraws before the draw is made or who withdraws after the draw is made, but is replaced with an alternate, and the reason for the withdrawal is not for injury, illness, personal circumstance, or previously authorized entry into another tournament, is subject to the Suspension Point System. (See **Table 21.**) Sectional Associations and District Associations may have similar systems that penalize or otherwise discipline players.

18. *Player shall not engage in unsportsmanlike conduct.* During the course of a match a player shall not engage in:
- a. *Verbal abuse.* Swear at an official, a spectator, or an opponent in a voice that can be heard by any person;
  - b. *Visible or audible profanity or obscenity.* Use profanity or insulting, abusive, or obscene language in any way that may be heard by any person or use obscene, insulting, or abusive gestures;
  - c. *Racket abuse.* Throw or break a racket other than in the normal course of play;
  - d. *Ball abuse.* Deliberately hitting, throwing, or kicking a ball that is not in play if the ball:
    - leaves the playing area;
    - hits or comes close to hitting any person; or
    - could cause damage or harm.

- e. *Physical abuse.* Threaten or inflict bodily injury to anyone; or
  - f. *Other unsportsmanlike conduct.*
19. *Player shall not engage in gambling activity.* A player shall not:
- a. associate with professional gamblers;
  - b. bet or act as a bookmaker on matches;
  - c. accept money or other consideration for losing a match or for winning by only a particular margin; or
  - d. be a party to any payment of money or other consideration to another person to induce the person to lose a match or to win by only a particular margin.
20. *Point Penalty System.* A player shall not engage in conduct that calls for a penalty under the Point Penalty System. Any player who violates any USTA Tournament Regulation may be penalized under the Point Penalty System.

#### **D. Point Penalty System**

1. *Mandatory use in any sanctioned tournament.* The Point Penalty System applies to violations occurring during the warm-up and the match in any sanctioned tournament *except that* it does not apply to tournaments in the Boys' and Girls' 8 divisions and it only applies when authorized by a Sectional Association in the Boys' and Girls' 10 divisions.
2. *Purposes of System.* The purposes of the System are to:
  - a. deter unsportsmanlike conduct;
  - b. ensure compliance with the continuous play rule; and
  - c. ensure on-time appearance for matches.

The objective of the Point Penalty System is not to punish, but to secure compliance with the **ITF Rules of Tennis** and **USTA Regulations**.
3. *Code violations for misconduct.* Misconduct shall be penalized pursuant to **Table 14**.
4. *Time violations.* Time violations shall be penalized pursuant to **Table 15**.
5. *Delay between points.* When practical in tournaments using a certified official in direct observation of the match, the time that shall elapse from the moment the ball goes out of play until the ball is struck shall not exceed 20 seconds.
6. *Officials authorized to impose penalties.*
  - a. *Referee.* A Referee may impose a penalty only if the Referee has seen or heard enough to be able to determine with reasonable certainty that a violation has occurred. A Referee may also impose a penalty based on the report of what a Court Monitor or official has seen or heard or on an admission from a player whose conduct is in question.
  - b. *Chair Umpire.* The Chair Umpire is primarily responsible for imposing penalties in a chaired match. If a Line Umpire observes a conduct violation or is the object of unsportsmanlike conduct by a player, the Line Umpire shall, as soon as possible and without disrupting play, inform the Chair Umpire. The Chair Umpire shall then make a decision under the Point Penalty System.
  - c. *Roving Umpire.* Roving Umpires are primarily responsible for imposing penalties in matches without Chair Umpires. Roving Umpires may impose a penalty only if they have seen, heard, or otherwise observed enough to be able to determine with reasonable certainty that a violation has occurred.

**FAC Comment IV.D-1:** An official should impose a penalty only when the official is in position to determine what has happened with reasonable certainty. While normally this is based on what the official personally saw or heard, the determination could also be based on the report of a Court Monitor or official or an admission from the player whose conduct is in question.

7. *Flagrant violations.* While the imposition of penalties normally follows the progression of penalties from point to game to default found in **Table 14**, any flagrantly unsportsmanlike act may result in immediate default. If an Umpire imposes the default, the player may appeal to the Referee. If the Referee imposes the default, the player may appeal to the Tournament Appeals Committee.
8. *Appeal of penalty to Referee.* A player has the right to appeal a penalty, but the appeal is limited to questions of law. (See **Appendix V** of the **ITF Rules of Tennis**.)
9. *Penalties to be imposed on doubles team.* A penalty on a member of a doubles team is considered to have been imposed on the team for all purposes *except that* a doubles partner who was not responsible for the penalty:
  - Is not penalized under the USTA Suspension Point System;
  - Does not lose ranking points for the tournament in junior divisions (See **USTA Regulation IX.C.8.e.**); and
  - May play in other events in the tournament (See **USTA Regulation IV.E.6.**).
10. *Penalties treated as if points actually played.* All penalties under the Point Penalty System are treated as though the penalty points or penalty games actually had been played so far as serving order, court occupancy, and ball change are concerned. One exception, as **Table 16** indicates, is that a player penalized for lateness also shall be deemed to have lost the toss provided for in **Rule 9** of the **ITF Rules of Tennis**. The penalized player is allowed to choose an option, but only after the opponent has chosen. A second exception is that the first ball change shall be calculated from the first game played. The choice to serve or receive shall apply to the first game played.
11. *Time delays when each side is responsible.* If both players or teams are equally responsible for delay during a match, any penalty will be imposed upon the server.
12. *Penalties after medical condition develops.* Except during the warm-up, a player suffering from a medical condition may buy time with a penalty.
13. *Penalties imposed between games or before match.* A penalty imposed between games or before the start of a match shall apply to the first point of the next game scheduled to be played.
14. *Point penalties.* A point penalty is scored as though the player had played and lost what would have been the next point.
15. *Player may not decline penalty.* A player who is the beneficiary of a penalty imposed upon the opponent may not decline to accept it. A player who disobeys the instructions of an official in such a case is liable to being defaulted.
16. *Reporting penalties to Referee.* When feasible, a Chair Umpire or Roving Umpire should promptly notify the Referee that a code violation has been assessed. After a match, officials shall report to the Referee each code violation imposed.

TABLE 14

## POINT PENALTY SYSTEM (PPS) Code Violations and Penalties

### Code Violations

#### *Delay*

- Not resuming play within **30** seconds after a medical timeout or bleeding timeout
- Delay caused by obvious cramping (but only if the player has already received a medical timeout for cramping)
- Receipt of evaluation or treatment for one condition on more than two changeovers or set breaks
- Taking more than two medical timeouts during one stoppage of play
- Not resuming play after a changeover or set break during which the player received treatment
- Not playing within **20** seconds of having been directed to resume play
- Passage of **20** seconds after any time violation
- Delay caused by getting a replacement racket that is not on court
- Leaving the playing area for an unauthorized reason

#### *Misconduct*

- Visible or audible profanity or obscenity
- Abuse of racket, balls, or equipment
- Verbal or physical abuse of a player or official
- Receipt of coaching
- Abusive conduct by a player or a person associated with a player (**USTA Regulation IV.C.10.** refers to this situation)
- Retaliatory calls (obviously bad calls made in retaliation for the opponent's calls)
- Unsportsmanlike conduct (any other conduct that is abusive or detrimental to the sport)
- Failure to follow the instructions of an official
- Violation of any USTA Tournament Regulation (**USTA Regulation IV.C.20.**)

### Penalties

Violations shall be penalized as follows:

First Offense .....Point  
Second Offense .....Game  
Third Offense .....Default

A single flagrant unsportsmanlike act may be penalized by defaulting the player. See **USTA Regulation IV.D.7.**

A game penalty assessed during a set tiebreak results in loss of the set. If assessed during a 10-Point Match Tiebreak, this results in the loss of the match.

**TABLE 15**  
**Time Violations**

<b>Time Violations</b>	<b>Penalties</b>
<ul style="list-style-type: none"> <li>• Delay between points or after warm-up</li> <li>• Violation of the 90-second changeover or 120-second set break provisions</li> <li>• Violation of the 3-minute Rest Period</li> </ul>	Violations shall be penalized as follows: First Offense .....Warning Each additional violation .....One Point Penalty

**TABLE 16**  
**Penalties for Lateness**

<p>When a player is late in arriving for the player's scheduled match time and any court is available, the Referee shall start the lateness penalty clock. The Referee is not required to keep a court open while waiting for a player.</p> <p>A player is not deemed to have arrived until the player checks in at the place designated for checking in for matches and is properly clothed, equipped, and ready to play. A team is not deemed to have arrived until both partners have arrived.</p>	
<b>A. Lateness for Start of Match</b>	<b>Penalties</b>
If one player or team is late	5 minutes or less: Loss of toss plus 1 game
	5:01 - 10 minutes: Loss of toss plus 2 games
	10:01 - 15 minutes: Loss of toss plus 3 games
	More than 15 minutes: default
	Except for a default, the Referee shall never assess more than a 3-game penalty for lateness
Both players equally late up to 15 minutes	No penalty
Both players more than 15 minutes late	The Referee may default both players, or the Referee may reinstate the match using the principle in effect when both players are late but arrive at different times.
Both players late but arrive at different times	The penalty accrues on the arrival of the first player (A). The opponent (B) loses the toss and one, two, or three games or is defaulted depending on the time elapsed between A's arrival and B's arrival.



TABLE 16 (Cont'd)	
B. Lateness for Resumption of Suspended Match	Penalties
	The same penalties are assessed as for lateness for the start of a match <i>except</i> there is no loss of toss.
C. Lateness after 10-Minute Rest Period	
Lateness of one player up to 5 minutes	Game penalty
Lateness of one player for more than 5 minutes	Default
Both players equally late up to 5 minutes	No penalty
Both players late but arrive at different times	The last player to arrive loses one game <i>unless</i> the difference in arrival times is more than 5 minutes, in which case the last player to arrive is defaulted.
Both players are more than 5 minutes late	<p>The Referee may:</p> <ul style="list-style-type: none"> <li>• Default both players;</li> <li>• Allow the match to continue without penalty; or</li> <li>• Allow the match to continue and penalize the last player to arrive one game <i>unless</i> the difference in arrivals times is more than 5 minutes, in which case the last player to arrive is defaulted.</li> </ul>

17. *Other disciplinary action.* Nothing in the Point Penalty System rules out a subsequent imposition of monetary fines, suspensions, or other disciplinary actions by whatever governing body has jurisdiction.
18. *Announcing score after penalty.* After a point or game penalty, the new score should be announced. After assessing a penalty that ends the match, the official should delay announcing the score until the official determines whether the penalized player will appeal.
19. *Lateness.* Lateness for a match, lateness for resumption of a suspended match, and lateness after a rest period shall be penalized pursuant to **Table 16**.
20. *Default for failure to arrive on time.*
  - a. *Discretion of Referee.* The Referee is responsible for issuing defaults for failure to arrive on time. After considering all relevant circumstances, the Referee may elect not to default a player or to reverse a default for failure to arrive within 15 minutes of the time when the match was scheduled and called.
  - b. *Appeal of default.* If the Referee defaults a player for failure to arrive within 15 minutes of the time when the match was scheduled and called, the player may appeal the default to the Tournament Appeals Committee. The Committee may reverse the default after consideration of all relevant circumstances. If the Committee reverses the default and if an alternate already has been placed in the draw, the Committee may not reinstate the defaulted player in the main draw or add the player to the consolation unless an opening becomes available.

**TABLE 17**  
**Guidelines for Assessing Penalties**

	<b>Action</b>	<b>Always Penalize</b>	<b>Generally Penalize</b>	<b>Sometimes Penalize</b>
<b>Racket Abuse</b>	<ul style="list-style-type: none"> <li>• Throwing a racket that, even inadvertently, strikes a person</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• Throwing a racket into the fence or net</li> </ul>		x	
	<ul style="list-style-type: none"> <li>• Throwing a racket in anger or in any manner that could or does endanger any person or that damages any part of the court surface or equipment</li> </ul>		x	
	<ul style="list-style-type: none"> <li>• Breaking a racket</li> </ul>		x	
	<ul style="list-style-type: none"> <li>• Tossing a racket into the air</li> </ul>			x
	<ul style="list-style-type: none"> <li>• Tossing a racket at the player's bag</li> </ul>			x
	<ul style="list-style-type: none"> <li>• Bouncing a racket on the court</li> </ul>			x
<b>Ball Abuse</b>	<ul style="list-style-type: none"> <li>• Deliberately hitting, throwing, or kicking a ball that is not in play that hits a person</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• Deliberately hitting, throwing, or kicking a ball that is not in play that leaves the playing area</li> </ul>		x	
	<ul style="list-style-type: none"> <li>• Deliberately hitting, throwing, or kicking a ball that is not in play that comes close to hitting a person</li> </ul>		x	
	<ul style="list-style-type: none"> <li>• Deliberately hitting, throwing, or kicking a ball that is not in play in a manner that could cause damage or harm</li> </ul>		x	
	A player who hits the opponent while legitimately trying to return a ball to the opponent should not be penalized.			
<b>Audible or Visible Obscenity or Profanity</b>	<ul style="list-style-type: none"> <li>• Words or gestures that are considered patently offensive to court personnel, players, or spectators</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• References to sexual functioning</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• References to deities when associated with curses</li> </ul>	x		
<b>Verbal or Physical Abuse</b>	<ul style="list-style-type: none"> <li>• Physical attacks, even if no one is hurt</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• Traditional fighting words</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• Ethnic or racial slurs</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• References to sexual orientation</li> </ul>	x		
	<ul style="list-style-type: none"> <li>• Attacks on the competence of an official</li> </ul>		x	
	<ul style="list-style-type: none"> <li>• Words or gestures that are insulting, abusive, disrespectful, disruptive, or intimidating that are directed at a person</li> </ul>		x	

TABLE 17 (Cont'd) Guidelines for Assessing Penalties				
	Action	Always Penalize	Generally Penalize	Sometimes Penalize
Verbal or Physical Abuse (Cont'd)	<ul style="list-style-type: none"> <li>Words or gestures that are in the face of a person such as a strong pumped fist directed at close range to an opponent</li> </ul>		x	
Coaching	<ul style="list-style-type: none"> <li>Receipt of coaching</li> </ul>	x		
Unsportsmanlike Conduct	<ul style="list-style-type: none"> <li>Retaliatory calls</li> </ul>	x		
	<ul style="list-style-type: none"> <li>Other unsportsmanlike conduct</li> </ul>	x		
<b>An official may immediately default a player for a single flagrant unsportsmanlike act. Examples of acts that <i>may</i> justify a penalty of immediate default include: physical attacks; injury to an official or player through an act of racket or ball abuse; spitting on a person; and racial, religious, or sexual orientation slurs.</b>				

- c. *Penalties assessed before default.* If the Referee elects not to declare a default, or if a declared default is reversed by either the Referee or the Tournament Appeals Committee, any penalties for lateness assessed before the default shall stand unless the lateness was caused by an error of a tournament official. For example, if player A, after having been assessed a penalty of loss of toss plus 3 games, is defaulted for failure to arrive within 15 minutes of the time when the match was scheduled and called, and the default is subsequently reversed, the penalty of loss of toss plus 3 games shall stand, and player A begins play with the score 0-3.

The player who received the benefit of the default shall have no right to appeal the reversal of the default.

**FAC Comment IV.D-2:** When a court is available, the Referee calls the matches on or shortly before the scheduled match time. If a court is not available, the Referee may delay calling the matches until a court is available. When several matches are scheduled at the same time, the Referee shall call all the matches at the same time. For example, if five matches are scheduled at 10:00 a.m. but only one court is available, the Referee should call all matches shortly before 10:00 a.m. and should start the lateness clock on all players who have not checked in by 10:00 a.m.

When more matches are scheduled than there are courts available, the Referee may put the matches out in any order. A player does not escape the lateness penalty because the player's match was one of the last matches to be put on the court.

If one of several matches scheduled at the same time is put out early and when the scheduled time arrives there is no available court, the lateness clock does not start until another court becomes available.

### **FAC Comment IV.D-3: Guidelines for Implementing Point Penalty System**

An accepted method of match control is for an official to caution a player whose behavior is borderline. The official should never caution a player whose misconduct is clear; instead, the official should issue a code violation.

Implementation of the Point Penalty System requires judgment. If the player's action is such that the official believes that the action should not be repeated, then at least a caution is required and a code violation may well be appropriate.

A "yes" answer to any of the following questions requires action under the PPS.

- Is the player's action dangerous to any person on or around the court?
- Is the player's action abusive towards any person on or around the court?
- Is the player's action unreasonably delaying the match?
- Is the player's action unsportsmanlike conduct (for example, making a retaliatory call)?

**Table 17** contains guidelines for assessing penalties.

**FAC Comment IV.D-4:** *A player came back 90 seconds late after the 10-minute rest period, and the Umpire penalized the player one game. Was this the correct ruling?* Yes. The PPS provides a game penalty for any lateness after a rest period, with default after five minutes.

**FAC Comment IV.D-5:** *A player took a medical timeout because of cramping. Later in the match the player cramped again, acknowledged that it was a cramp, and was unable to continue play. The Roving Umpire assessed a code violation point penalty for delay and told the player to resume play. After another 20 seconds passed without the player resuming play, the official assessed a game penalty. Should the player have been defaulted because the player had already received a medical timeout?* No. The Roving Umpire handled the situation correctly. Even after a medical timeout a player may gain additional time through use of the PPS without being immediately defaulted. Once an official directs a player to resume play, the player must play within 20 seconds or be assessed another code violation.

**FAC Comment IV.D-6:** *Player A was assessed a point penalty because of misconduct. In the next game, Player B, who was Player A's partner, committed a conduct violation and was assessed a penalty of one game. Player A and Player B protested, saying that since this was Player B's first offense they should have been assessed only a point. Is this correct?* No. An offense by either partner counts as a team offense, cumulatively.

**FAC Comment IV.D-7:** *A player took a medical timeout. Later the player received additional treatment for that condition during a changeover. The treatment lasted more than 90 seconds, and the player was late in resuming play. The Chair Umpire assessed a warning against the player for a time violation. Was the Umpire's decision correct?* No. A player may be treated during any changeover. But if the treatment lasts more than 90 seconds, the player is subject to a code violation for delay.

**FAC Comment IV.D-8:** *A player received a code violation point penalty. Shortly thereafter, when the player was assessed a game penalty for a second code*

violation, the player demanded that the Referee be called. Must this demand be met? If the appeal involves a question of law, the Referee must be called. Most appeals involving the PPS will involve questions of law. If the appeal involves only a factual issue, the Referee should not be called.

**FAC Comment IV.D-9:** *Player A is penalized loss of toss and a game penalty for lateness; Player B elects to serve. Player A then asks to serve, claiming that the penalty game counts as the first game of the match. Decision?* Player B will serve the first game actually played. Player B's decision to serve applies to the first game actually served, not the penalty game.

**FAC Comment IV.D-10:** *Player A is penalized loss of toss and a game penalty for lateness. Player B asserts that Player A has lost the right to a warm-up. Is this correct?* No. Player A is entitled to a warm-up.

**FAC Comment IV.D-11:** *The score is 2-0 and 15-15 in the third set with Player A serving. Player B has already received a medical timeout for a heat-related condition. Player B appears to be cramping and is unable to play within 20 seconds. The official issues a time violation warning and orders Player B to resume play. Player B is not able to play within an additional 20 seconds. The official issues a code violation for delay and penalizes Player B with a point penalty. The score is now 30-15. Player B is not able to play within an additional 20 seconds. What should the official do?* The official should treat this as another code violation for delay and penalize Player B with a game penalty.

**FAC Comment IV.D-12:** *Same situation as in FAC Comment IV.D-11 except that the official has assessed Player B the game penalty, the score is now 3-0, and the players are taking their 90 seconds on the changeover. What happens if Player B does not resume play after the changeover?* The official does not have to advise Player B to resume play. Instead the official should default Player B under the Point Penalty System.

**FAC Comment IV.D-13:** *Same situation as in FAC Comment IV.D-11 except that with the score 30-15 after being assessed a point penalty, Player B asks for another medical timeout. What should the official do?* If the cramping is obvious and if Player B does not resume play within the allotted time between points, the official shall immediately assess a game penalty. Otherwise the official should ask Player B why player B needs the medical timeout. If Player B says cramping, then the official should advise Player B that Player B is not entitled to a medical timeout and should order Player B to resume play. The official should assess a game penalty against Player B if Player B is not ready to play within 20 seconds. If Player B states that the problem is a pulled muscle, the official must allow a medical timeout. If it is determined that Player B did not pull a muscle but instead is cramping, the medical timeout ends. The official tells Player B to resume play. If Player B does not resume play within 20 seconds, the official should assess a game penalty.

**FAC Comment IV.D-14:** *What should happen when one player repeatedly fails to call out the score and officials are called to the court repeatedly to settle scoring*

*disputes?* The official should tell the player that **The Code § 31** requires the server to call out the score. In a particularly egregious case, the official may treat subsequent incidents as violations under the Point Penalty System for failure to follow the instructions of an official.

**FAC Comment IV.D-15:** *What should an official do when a player has been overruled more than once during a match?* At some point, an official may caution the player (or team) that future overrules will be penalized under the Point Penalty System as unsportsmanlike conduct. There is no hard and fast rule as to how many overrules is “too many.” An official should consider all factors including:

- the age and experience of the player;
- the closeness of the calls;
- the importance of the points on which the overrules have occurred;
- the factors that might have caused the player to miss the call (For example, a sudden gust of wind blew the ball in at the last minute versus apparent outright cheating or a retaliatory call); and
- the frequency with which the overrules occur.

An official should consider cautioning a player if there have been two overrules during the same set. Once an official cautions a player that subsequent overrules will be penalized under the Point Penalty System, the official must penalize subsequent overrules.

**FAC Comment IV.D-16:** *What should an official do when a player grunts so loudly that play on nearby courts is affected?* The official may treat loud grunting as a hindrance. If the official concludes that the grunting affected the outcome of the point on the court where the grunting occurred, the official shall order that the point be replayed. The official shall advise the player that subsequent loud grunting that affects the outcome of a point will be treated as an intentional hindrance and will result in loss of the point. An official should not order a point replayed on an adjacent court even if the grunting may have affected the outcome of a point on that court.

**FAC Comment IV.D-17:** *What should an official do when a player engages in loud outbursts in a foreign language?* If the loud outburst is in a language that the official does not understand, the official should caution the player that further foreign language outbursts that are not understood by the official will be penalized under the Point Penalty System as unsportsmanlike conduct.

## **E. Defaults and Disqualifications**

1. *Default of player for cause.* The Referee, Deputy Referee, Chair Umpire, or Roving Umpire may default a player. A player may be defaulted for cause including, but not limited to, tardiness or misconduct (which includes failure to follow the instructions of an official).

**FAC Comment IV.E-1:** The Referee should use care in deciding whether a default has been issued because of misconduct. For example, a default under the Point Penalty System resulting from delay because of cramping should not be treated as a default for misconduct because the default is generated by the player’s good faith unsuccessful attempt to play through cramps. A default under the Point Penalty

System in which all penalties issued were for delay is not a default for misconduct. The most difficult case is a default under the Point Penalty System in which there was a code violation for delay and for misconduct. In these cases the Referee should exercise discretion in deciding whether the principal cause of the default was misconduct and in doing so should give the player the benefit of the doubt.

2. *Disqualification of ineligible player.* Upon presentation of proof, the Referee shall disqualify a player who has entered a tournament for which the player is ineligible because of age or other restrictions that apply for that tournament. The Referee may reinstate the loser of the last match won by the disqualified player.
3. *Appeal to Tournament Appeals Committee of disqualification or unilateral default issued by Referee.* A player who has been disqualified or unilaterally defaulted by the Referee may appeal the decision by notifying the Referee. The Tournament Appeals Committee hears the appeal, and its decision is final. The time in which to appeal is limited.
  - If the default was issued when the player was on court, the player must appeal before leaving the playing area.
  - If the default was not issued when the player was on court, the player has 15 minutes to appeal from the time that the player learns of the decision.
4. *Appeal to Referee of default issued by official other than Referee.* A player who has been defaulted by an official other than the Referee may appeal the default to the Referee.
  - If the default was issued when the player was on court, the player before leaving the playing area must notify the official who issued the default (or the Referee if the Referee was present) that the player wishes to appeal
  - If the default was not issued when the player was on court, the player has 15 minutes to appeal from the time that the player learns of the decision.

The Referee's decision shall be final. In the absence of the Referee, a Deputy Referee shall decide the appeal unless it was that Deputy Referee who issued the default, in which case the Tournament Appeals Committee shall decide the appeal.
5. *Players shall not leave playing area while appeal is pending.* When a player appeals an on-court disqualification or default, the player must appeal before the players leave the playing area. When an appeal has been made, no player shall depart the playing area until the appeal has been acted upon.
6. *When defaulted player may play in other events.* A player who has been defaulted for misconduct shall not participate in any other events in that tournament. Tardiness is not considered misconduct. A default for misconduct assessed against a doubles player shall not prohibit the participation of the partner in other events in that tournament *unless*, in the judgment of the Referee, the conduct of both partners contributed to the default. A player who has been defaulted for a reason other than misconduct is entitled to play in all other events in the tournament, *provided that* once a player has been replaced in the main draw by an on-site alternate, the player is not entitled to play in the consolation. (See **USTA Regulation II.B.5.d.**)
7. *Betting.* If a player bets on any match, the Referee shall immediately default the player from all events and remove the offender from any further connection with the tournament.

## V. POST-TOURNAMENT REGULATIONS

### A. Responsibilities of Tournament Committee and Referee After Completion of Tournament

1. *Submit draw sheets to USTA within seven days.* Within seven days after the completion of a tournament, the Tournament Committee shall submit to the body issuing the sanction complete, legible draw sheets containing first and last names of all players, match scores, and other information that is required by the body issuing the sanction. Failure to submit these reports may bring about disciplinary action by the sanctioning body. The foregoing also applies to Feed-In Championships and consolations conducted as part of the tournament.
2. *Reports on players whose prize money was withheld.* Within three days after the completion of a tournament, the Tournament Committee shall file a report with the USTA Grievance Committee on any player whose prize money was withheld pursuant to **USTA Regulation IV.C.1**. Failure to submit these reports may bring about disciplinary action by the sanctioning body.
3. *Referee reports violations of USTA Regulations within three days.* Within three days after the end of a tournament, the Referee shall file a written report of all violations of **USTA Regulations** and penalties imposed with the Sectional Association where the tournament was held and with the Sectional Association where the player is domiciled. If the tournament was a junior tournament published on the National Junior Tournament Schedule, the Referee also shall file the report with the USTA Junior and Collegiate Competition Department. A Referee's failure to file the written report within three days after the end of a tournament shall not invalidate any post-tournament disciplinary action based on violations of **USTA Regulations** nor shall it invalidate any penalties imposed.

### B. Grievances

Any official, volunteer, player, or person associated with a player (including, but not limited to, a parent or coach) who observes a violation of **USTA Regulations**, standards of conduct, fair play, or good sportsmanship may file a written grievance according to the grievance procedures of the Sectional Association where the tournament was held; *except* if the conduct arises out of any of the following tournaments, the grievance shall be filed with the Chairperson of the USTA Grievance Committee, c/o USTA Executive Director, 70 West Red Oak Lane, White Plains, New York 10604:

- Junior tournaments on the National Junior Tournament Schedule;
- Adult, Senior, and Family tournaments on the National Adult, Senior, and Family Tournament Schedule;
- USTA National Intersectional Team events;
- Category I USTA Wheelchair National Championships, or
- International competitions in which USTA players participate.

(See **USTA Bylaw 43** for procedures and time frames for the filing of grievances and appeals.)

### C. Suspensions by Sectional Associations

A Sectional Association that imposes a suspension from participation in tournaments as the result of a grievance arising out of a sectional matter or as a result of a suspension imposed under its suspension point system shall



report the suspension to the USTA National office within seven days of the suspension becoming final under the Sectional Association's procedures. If the suspension involves Adult, Senior, Family, or Wheelchair tournaments, the Sectional Association shall forward a copy of the notice of suspension to the USTA National Competitive Tennis Department. If the suspension involves Junior tournaments, the Sectional Association shall forward a copy of the notice of suspension to the USTA Junior and Collegiate Competition Department. The failure to forward the notice of suspension within seven days after the suspension becomes final shall not invalidate the suspension.

## VI. RED, ORANGE, AND GREEN BALL TENNIS

### A. Purpose

Red, Orange, and Green Ball Tennis helps players of all ages learn tennis by utilizing specialized equipment, a smaller court, and modified scoring.

**FAC Comment VI.A-1:** A 78-foot court with permanent Red Ball Tennis and Orange Ball Tennis lines, often referred to as “blended lines,” is suitable for USTA sanctioned play in divisions that require a 78-foot court. See **Figure 8** and **Figure 9**.

**FAC Comment VI.A-2:** Through 2013, the ITF is conducting a trial that permits the use of the stage 1 (green) ball in all levels of competition, *except* world ranking professional tennis events, Davis Cup and Fed Cup, Junior Tournaments and Team events sanctioned by the ITF and affiliated regional associations, ITF Senior circuit and team events and ITF wheelchair circuit and Team events.

### B. Divisions Required to Use Red, Orange and Green Ball Tennis

1. *8 Division.* Red Ball Tennis shall be used in sanctioned tournaments in the 8 Division and is recommended for other competitions in this division.
2. *10 Division.* Either Orange Ball Tennis or Green Ball Tennis shall be used in sanctioned tournaments in the 10 Division and is recommended for other competitions in this division.

**FAC Comment VI.B-1:** Green Ball Tennis is recommended at the entry level in the 12 Division.

### C. Red Ball Tennis

1. *Court.* The court shall be a 36-foot court as described in **Figure 8**. The aonger than 23 inches (58.5 cm).
2. *Ball.* The ball shall be a stage 3 (red) ball of any composition as described in **Appendix VI** of the **ITF Rules of Tennis**.
3. *Racket.* The racket shall be no longer than 23 inches (58.5 cm).
4. *Events.* Boys', Girls', and Coed events may be held.

**FAC Comment VI.C-1:** Coed events are recommended at the entry level and when a small number of entries is anticipated.

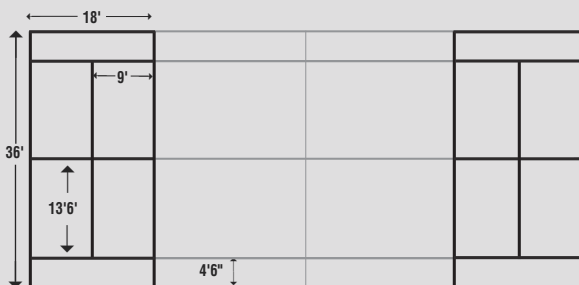
5. *Scoring.* The match shall consist of one of the following formats:
  - a. *One tiebreak game.*
    - i. *First to 7 by a margin of 1.* A tiebreak game whereby the first player or team to win 7 points wins the game; or
    - ii. *First to 7 by a margin of 2.* A tiebreak game whereby the first player or team to win 7 points wins the game, provided there is a margin of 2.

- During the tiebreak game, the players shall not change ends.
- b. *Best of 3 tiebreak games.* The best of 3 tiebreak games. Either tiebreak game format described in **USTA Regulation VI.C.5.a.** may be used. Players shall change ends at the end of each tiebreak game.
  - c. *Timed matches.* To ensure equal playing time for each match, the Tournament Committee may set a specified time period for matches as follows:
    - i. *Point format.* The point format consists of an ongoing tiebreak game during which the players do not change ends and continue playing points until the end of the specified period of time. The player who has won the most points wins the match. If the score is tied one point is played to determine the winner. The player due to serve the next point shall serve the final point.
    - ii. *Game format.* The game format consists of an ongoing set of tiebreak games using either tiebreak format described in **USTA Regulation VI.C.5.a.** during which the players continue playing games until the end of the specified period of time. The players change ends at the end of each tiebreak game. The player who has won the most completed games wins the match. If the games score is tied, the player ahead in the game in progress is the winner. If a game is not in progress or the score in the game in progress is tied, one final point is played to determine the winner. The player due to serve the next point shall serve the final point.
  6. *Draw format and size.* Tournament draws shall be any non-elimination format such as a compass draw or round robin. The maximum draw size shall be 32. Tournaments should not last more than one-half day.
  7. *Continuous play.* When players change ends at the end of a tiebreak game, a maximum of 90 seconds is allowed.
  8. *Rest between matches.* The Referee shall offer reasonable rest to players between matches.

**FAC Comment VI.C-2:** Because Red Ball Tennis matches are relatively short, the minimum rest period of 30 minutes in **Table 11** does not always apply. In most cases a rest period of less than 30 minutes is appropriate. Additional rest should be offered when the length of a match, heat, humidity, total time on court during the day, or other conditions justify more rest.

9. *Limit on play.* A player should play no more than 18 tiebreak games in a day.
10. *Point Penalty System.* The Point Penalty System is not used.

## 36-Foot Court



**Fig. 8**

### **Instructions for Laying Out 36-Foot Court on Top of Regulation 78-Foot Court**

#### *The Court*

The court shall be a rectangle that is 36 feet long and 18 feet wide. This size shall be used for singles and doubles.

#### *The Net*

The court shall be divided across the middle by a net suspended by a cord that shall pass over or be attached to two net posts at a height of 33 inches. The net shall be fully extended so that it completely fills the space between the two net posts and must be made of sufficiently small mesh so that a ball cannot pass through it. The height of the net at its center shall be 33 inches.

#### *Marking the Lines on the Court*

- Create two baselines by drawing lines that are 36 feet apart at the ends of the court. (This places the baselines on top of the doubles sidelines of a 78-foot court.)
- Create two sidelines by drawing lines that are 18 feet apart and perpendicular to the baselines. They are used for singles and doubles. (This places the sidelines on the baseline and service line of a 78-foot court.)
- Create two service lines by drawing lines between the sidelines that are parallel to each baseline and 4 feet 6 inches inside each baseline.
- Create the center service line by starting at one service line and drawing a line parallel to the sidelines that is halfway between the sidelines and that ends at the other service line. (The center service line results in the formation of two service courts on each side of the court.)
- Divide each baseline in half by a 2-inch wide center mark that is drawn inside the baseline and parallel to the sidelines.

### Instructions for Laying Out 36-Foot Court on Top of Regulation 78-Foot Court (cont'd)

- All lines shall be 1.5 inches wide, the same color, and shall clearly contrast with the color of the surface. If the lines are painted on a 78-foot court, they shall be of a different color than the lines on the 78-foot court and shall stop 3 inches from the standard lines.
- All measurements shall be made to the outside of the lines.

**FAC Comment VI.C-3:** Teaching and maintaining high standards of good sportsmanship are important. When the Point Penalty System is not used, the Tournament Director, Referee, and other officials have the obligation to promote good sportsmanship, including addressing inappropriate behavior by players and persons associated with the players (parents, coaches, and associated spectators).

11. *Results not considered for ranking.* Results shall not be considered for ranking.
12. *Ball usage.* Tournaments must start with new stage 3 (red) balls. There is no limit to the number of matches for which the balls may be re-used.

#### D. Orange Ball Tennis

1. *Court.* The court shall be a 60-foot court as described in **Figure 9**. The net shall be 36 inches (0.914m) high at center.
2. *Ball.* The ball shall be a stage 2 (orange) ball of any composition as described in **Appendix VI** of the **ITF Rules of Tennis**.
3. *Racket.* The racket shall be no longer than 25 inches (63.5 cm).
4. *Events.* Boys', Girls', and Coed events may be held (see **FAC Comment VI.C-1**).
5. *Scoring.* No-Ad scoring should be used. The match shall consist of one of the following formats:
  - a. *One short set.*
    - i. *First to 4 games by a margin of 1.* A short set whereby the first player to win 4 games wins the set; or
    - ii. *First to 4 games by a margin of 2.* A short set whereby the first player to win 4 games wins the set, *provided* there is a margin of 2. If the score reaches 4 games all, a 7-Point Tiebreak shall be played.
  - b. *Best of 3 short sets.* The best of 3 short sets with a 7-Point Tiebreak played in lieu of the 3rd set. Either set format described in **USTA Regulation VI.D.5.a.** may be used.
  - c. *Timed matches.* To ensure equal playing time for each match, the Tournament Committee may set a specified time period for matches as follows:

- i. *Point format.* The point format consists of an ongoing tiebreak game during which the players do not change ends and continue playing points until the end of the specified period of time. The player who has won the most points wins the match. If the score is tied, one point is played to determine the winner. The player due to serve the next point shall serve the final point.
- ii. *Game format.* The game format consists of an ongoing set during which the players continue playing games until the end of the specified period of time. The player who has won the most completed games wins the match. If the game score is tied, the player ahead in the game in progress is the winner. If a game is not in progress or the score in the game in progress is tied, one final point is played to determine the winner. The player due to serve the next point shall serve the final point.

**FAC Comment VI.D-1:** In the 10 Division, No-Ad scoring is recommended at all tournaments and competitions below the advanced level.

6. *Recommended draw format.* Tournament draws should be any non-elimination format such as a compass draw or round robin. Tournaments should not last more than one day, *unless* a longer period is authorized by the tournament sanction.
7. *Continuous play.* When the players change ends at the end of a game, a maximum of 90 seconds is allowed *except that* no rest is allowed after the first game of each set and players shall change ends without delay. At the end of each set there shall be a set break of a maximum of 2 minutes.
8. *Rest between matches.* The Referee shall offer reasonable rest to players between matches.

**FAC Comment VI.D-2:** Because some Orange Ball Tennis matches are relatively short, the minimum rest period of 30 minutes in **Table 11** does not always apply. The guidelines in **Table 11** apply when the best of 3 short sets match format described in **USTA Regulation VI.D.5.b.** is used, in which case the rest offered shall be at least 30 minutes. In most other cases a rest period of less than 30 minutes is appropriate. Additional rest should be offered when the length of a match, heat, humidity, total time on court during the day, or other conditions justify more rest.

9. *Limit on play.* A player should play no more than 75 games in a day, no more than 60 of which should be singles.
10. *Limited use of Point Penalty System.* The Point Penalty System is used only when authorized by the Sectional Association.

## 60-Foot Court

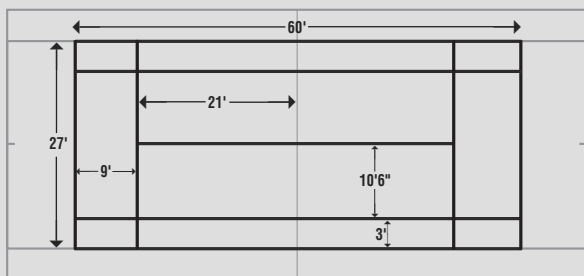


Fig. 9

### Instructions for Laying Out 60-Foot Court on Top of Regulation 78-Foot Court

#### *The Court*

The court shall be a rectangle that is 60 feet long and 21 feet wide for singles and 60 feet long and 27 feet wide for doubles.

#### *The Net*

The court shall be divided across the middle by a net suspended by a cord that shall pass over or be attached to two net posts at a height of 42 inches. The net shall be fully extended so that it completely fills the space between the two net posts and must be made of sufficiently small mesh so that a ball cannot pass through it. The height of the net at its enter shall be 36 inches. The net may be held down by a strap. The strap and band shall be primarily white.

#### *Marking the Lines on the Court*

- Create two baselines by drawing lines that are 60 feet apart at the ends of the court.
- Create two singles sidelines by drawing lines that are 27 feet apart and perpendicular to the baselines. Leave a 2-inch gap in each sideline immediately behind each service line.
- Create two doubles sidelines by drawing lines that are 33 feet apart and perpendicular to the baselines. (This places the doubles sidelines on top of the singles sideline for a 78-foot court.)
- Use the existing service lines of the 78-foot court as the service lines.
- Use the existing center service line of the 78-foot court as the center service line.
- Divide each baseline in half by a 2-inch wide center mark that is drawn inside the baseline and parallel to the sidelines.

### Instructions for Laying Out 60-Foot Court on Top of Regulation 78-Foot Court (Cont'd)

- All lines shall be 1.5 inches wide, the same color, and shall clearly contrast with the color of the surface. If the baselines and singles sidelines are painted on a 78-foot court, they shall be of a different color than the lines on the 78-foot court.
- All measurements shall be made to the outside of the lines.

**FAC Comment VI.D-3:** Teaching and maintaining high standards of good sportsmanship are important. When the Point Penalty System is not used, the Tournament Director, Referee, and other officials have the obligation to promote good sportsmanship, including addressing inappropriate behavior by players and persons associated with the players (parents, coaches, and associated spectators). Use of the Point Penalty System is recommended in advanced-level tournaments in the 10 Division.

11. *Ball usage.* Tournaments must start with new stage 2 (orange). The balls may be re-used. The following are guidelines for re-usage:
  - Balls should be in use for no more than four hours, *except that* balls are not changed when a match is in progress; and
  - Balls should not be used for more than eight short sets.

#### E. Green Ball Tennis

1. *Court.* The court shall be a 78-foot court as described in **Figure 1**. The net shall be 36 inches (0.914m) high at center.
2. *Ball.* The ball shall be a stage 1 (green) ball of any composition as described in **Appendix VI** of the **ITF Rules of Tennis**.
3. *Racket.* The racket shall be no longer than 29 inches (73.3 cm).
4. *Events.* Boys', Girls', and Coed events may be held (see **FAC Comment VI.C-1**).
5. *Scoring.* No-Ad scoring should be used (see **FAC Comment VI.D-1**). The match shall consist of one of the following formats:
  - a. *Orange Ball Tennis scoring formats.* Any Orange Ball Tennis scoring format listed in **USTA Regulation VI.D.5**.
  - b. *One 6-game set.* A set whereby first player to win 6 games wins the set, *provided* there is a margin of 2. If the score reaches 6 games all, a 7-Point Set Tiebreak shall be played.
  - c. *Best of 3 tiebreak sets with a 10-Point Match Tiebreak played in lieu of 3rd set.* The best of 3 tiebreak sets with a 10-Point Match Tiebreak played in lieu of the 3rd set.

**FAC Comment VI.E-1:** The use of the Green Ball Tennis scoring formats set forth in **USTA Regulations IV.E.5.b.** and **c.** are recommended only at advanced-level tournaments and competitions in the 10 Division.



6. *Recommended draw format.* Tournament draws should be any non-elimination format such as a compass draw or round robin. Tournaments should not last more than one day, *unless* a longer period is authorized by the tournament sanction.
7. *Continuous play.* When the players change ends at the end of a game, a maximum of 90 seconds is allowed, *except that* no rest is allowed after the first game of each set. At the end of each set there shall be a set break of a maximum of 2 minutes, *except that* when the best of 3 Tiebreak sets with a 10-Point Match Tiebreak played in lieu of a 3rd set match format is used, there shall be a 3-minute rest period during which coaching may take place (see **Table 12** and **FAC Comment III.D-3** and **4**).
8. *Rest between matches.* The Referee shall offer reasonable rest to players between matches.

**FAC Comment VI.E-2:** Because some Green Ball Tennis matches are relatively short, the minimum rest period of 30 minutes in **Table 11** does not always apply. The guidelines in **Table 11** apply when:

- The best of 3 short sets match format described in **USTA Regulation VI.D.5.b.** is used, in which case the rest offered shall be at least 30 minutes; and
- The best of 3 tiebreak sets with a 10-Point Match Tiebreak played in lieu of a 3rd set match format described in **USTA Regulation VI.E.5.c.** is used, in which case the rest offered shall be at least 60 minutes.

In most other cases a rest period of less than 30 minutes is appropriate. Additional rest should be offered when the length of a match, heat, humidity, total time on court during the day, or other conditions justify more rest.

9. *Limit on play.* A player should play no more than 75 games in a day, no more than 60 of which should be singles.
10. *Limited use of Point Penalty System.* The Point Penalty System is used only when authorized by the Sectional Association (see **FAC Comment VI.D-3**).
11. *Ball usage.* Tournaments must start with new stage 1 (green) balls. The balls may be re-used. The following are guidelines for re-usage:
  - Balls should be in use for no more than four hours, *except that* balls are not changed when a match is in progress; and
  - Balls should not be used for more than eight short sets or six 6-game sets.

## **F. Special Provisions for 8 and 10 Divisions**

The Tournament Director of a Red, Orange, or Green Ball Tennis tournament in the 8 and 10 Divisions may also serve as the Referee. The recommendation for the use of a USTA-certified Referee in these Divisions does not apply.

**FAC Comment VI.F-1:** When a person serves as both Tournament Director and Referee, that person shall not be a member of the Tournament Appeals Committee. See **USTA Regulation I.D.**

**FAC Comment VI.F-2:** When a Sectional Association authorizes the use of the Point Penalty System in an Orange or Green Ball Tennis tournament, it is recommended that the Tournament Director not serve as or assume the responsibilities of the Referee and that the Referee be certified by the USTA.

## VII. OFFICIATING REGULATIONS

### A. Referee

The Referee is the official who is responsible for assuring that the competition is fair and played under the **ITF Rules of Tennis** and the **USTA Regulations**. The Referee supervises all aspects of play including, but not limited to, the conduct and actions of players, coaches, parents, spectators, officials, groundskeepers, and administrative crew. (See **USTA Regulations I.C.4.** and **III.B.-H.** and **Table 3.**)

#### **FAC Comment VII.A-1: Referee Procedures**

1. The Referee should be present whenever matches are being played. Because of the requirement of being present, an official shall not simultaneously serve as Referee or Deputy Referee of multiple tournaments unless they are held at the same site. When the Referee is not present or is playing a match, the Referee or Tournament Committee shall appoint a Deputy Referee who shall be present.
2. The Referee and other officials shall settle scoring disputes in non-officiated matches by using their best judgment. They should first attempt to get the players to reconstruct the score so that they agree on it. Thereafter, the following options are listed in order of preference:
  - Counting all points or games agreed on by the players, with only the disputed points or games being replayed;
  - Playing from a score mutually agreeable to the players; and
  - Deciding the score by a coin toss.(See **USTA Comments 5.4-6.**)
3. The Referee shall obtain and prepare the scorecards unless the Referee delegates this duty to the Chief Umpire or the Chair Umpire.

#### **FAC Comment VII.A-2: Deputy Referee Procedures**

A Deputy Referee is an official appointed by the Tournament Committee or the Referee who:

- Assumes the duties of the Referee when the Referee is absent;
- Assists the Referee in the performance of the Referee's duties;
- Makes the draw when that responsibility has been delegated by the Referee; or
- Serves as a Site Referee.

#### **FAC Comment VII.A-3: Site Referee Procedures**

A Site Referee is a Deputy Referee at a secondary site or part of a large site who shall:

1. Act as the Referee at the site assigned *except that* the Deputy Referee shall not decide appeals of defaults issued by officials. Decisions are final to the same extent that the Referee's decisions are final;
2. Provide information to the players or officials regarding the scoring system, ball change, warm-up, and rest periods;
3. Advise the other officials of their responsibilities including enforcement of the Point Penalty System and the foot fault rules;
4. Oversee the conduct of play for all courts at the site, enforce the **ITF Rules of Tennis**, Point Penalty System, **USTA Regulations**, and *The*

*Code*, and take appropriate actions with respect to any infractions that the Referee or the other officials observe;

5. Assure that the singles sticks are installed for singles matches and removed for doubles matches and that the net is measured and properly tensioned at the start of the day and at appropriate times;
6. Penalize a player who is late under the Point Penalty System; and
7. Avoid umpiring matches whenever possible.

**FAC Comment VII.A-4:** *May the Referee authorize a player to wear a cell phone in vibrate mode?* Yes. Unless the Referee specifically authorizes a player to wear a cell phone in vibrate mode, any cell phone that is brought to the court must be turned off and placed so that it can be neither seen nor heard. A Referee might authorize a doctor or emergency medical responder who is on call to wear a cell phone in vibrate mode. The Referee should advise the opponent that the player is authorized to wear the cell phone. If the authorized cell phone vibrates during a point, play continues unless the opponent claims a let based on an unintentional hindrance. If a player's cell phone rings, the opponent may stop the point and claim the point on the grounds of a deliberate hindrance.

**FAC Comment VII.A-5:** *May an official control the location of devices capable of receiving information?* Yes. An official may require that these devices be placed where they can neither be seen nor heard.

## **B. Chief Umpire**

The Chief Umpire appoints and replaces or reassigns, when necessary, Chair Umpires, Line Umpires, Roving Umpires, and Net Umpires in those tournaments where the Referee has delegated these duties to the Chief Umpire.

### **FAC Comment VII.B-1: Chief Umpire Procedures**

1. Obtain from the Referee the court availability, the number of officials to be used for each match, the ball change, and other pertinent information.
2. Recruit the necessary competent umpires, establish their availability, and schedule their daily court assignments.
3. After coordinating the time and place with the Referee, schedule a pre-tournament meeting of all umpires in order to discuss the general conditions involved in working the tournament.
4. Conduct a meeting of the involved umpires before each session of the tournament to give direction, inform them of changes in procedures or to review previous matches as a means to improve future performance.
5. Evaluate the work of all umpires and offer constructive criticisms and suggestions.
6. Prepare the scorecard for each match and maintain a file of all completed scorecards.
7. Furnish the media with any factual information they may request, subject to the approval of the Referee.
8. Designate the method of deployment of on-court officials during a match, following USTA standard procedures.

### C. Chair Umpire

1. *Enforces rules and regulations.* The Chair Umpire conducts the match in accordance with the **ITF Rules of Tennis** and the **USTA Regulations**.
2. *Calls lines when no Line Umpire is assigned.* In the absence of any Line Umpire or Net Umpire, the Chair Umpire assumes all their duties except those delegated to another Umpire or to the players.
3. *Assigns officials to lines.* When the Referee or Chief Umpire has not given specific assignments to the various Line Umpires, then the Chair Umpire makes these assignments in the way best to utilize their services.
4. *Reassigns officials and for good cause requests their replacement.* The Chair Umpire may reassign umpires. If and only if the Chair Umpire determines that there is good and sufficient cause, the Chair Umpire may request that the Referee or Chief Umpire replace an umpire. Play shall continue pending the decision.
5. *Enforces instructions as to who enters playing area.* The Chair Umpire shall enforce the Referee's instructions as to who may enter the playing area, and in the absence of instructions the Chair Umpire shall determine who may enter the playing area.
6. *Calls score.* The Chair Umpire calls the points, games, and sets at the end of each, respectively, and when asked to call them.
7. *Sees that players change ends and play continuously.* The Chair Umpire sees that the players change ends in accordance with the rules (allowing them no delay or rest during a tiebreak). The Chair Umpire sees that they resume play promptly at the end of an authorized rest period and sees that play is continuous.
8. *Scorecard.* The Chair Umpire records the points, games, and sets on the scorecard. The Chair Umpire signs the scorecard at the end of the match and delivers it without delay to the Chief Umpire or the Referee. The failure of the Chair Umpire to sign or deliver the scorecard does not invalidate the match.
9. *When Line Umpire is unable to make call.* When a Line Umpire is unable to make a call, the Chair Umpire may make the call. While a replay is to be avoided if at all possible, the Chair Umpire shall order a point replayed if a valid call cannot be made.
10. *Suspension of match.* The Chair Umpire shall advise the Referee when playing conditions justify suspension of the match. When practicable, the Chair Umpire shall obtain the Referee's approval before suspending play.
11. *Alters ball change.* The Chair Umpire may call for a ball change at other than the prescribed time when abnormal conditions warrant so doing.
12. *Final decision on fact questions; overrules clear mistakes.* The Chair Umpire makes the final decision on every question of fact in the match, including the overruling of the Net Umpire or Line Umpire if required to correct a clear mistake.

**FAC Comment VII.C-1:** *What is the difference between a "Question of Fact" and a "Question of Law"? "Questions of Fact" involve whether a specific event happened. Examples include whether a ball is in; whether a ball touched a player, whether a ball bounced twice, and whether a server's foot touched the baseline before the serve was struck. "Questions of Law" involve*

the application of the rules or regulations to facts that have already been determined. Examples include determining whether an act was a hindrance; whether a player should have been assessed a code violation for misconduct; and the procedure for correcting errors in serving order, serving and receiving position, and ends.

13. *Decisions on questions of law subject to appeal.* The Chair Umpire decides all questions of law, subject to an appeal by a player to the Referee. **Appendix V, Cases 1 and 2 of the ITF Rules of Tennis, USTA Regulation IV.D.8., and USTA Regulation IV.E.4.** refer to this situation.) The Referee also decides any question of law that a Chair Umpire is unable to decide. When the matter under consideration affects the scoring of a match, the Chair Umpire shall immediately bring it to the Referee's attention and suspend play pending the decision. In all other cases of appeal, play shall be continued while the matter is being considered. The Referee's decision in such cases shall be final.
14. *Defaults player for cause.* The Chair Umpire may default a player for cause, which includes, but is not limited to, tardiness after an intermission, misconduct, or failure by the player to comply with instructions.
15. *Calls service lets and "walking and running" foot faults.* The Chair Umpire calls service lets and foot faults that are in violation of the "walking or running" prohibition.
16. *Balls that touch ceiling.* On an indoor court where part of the ceiling area is obstructed from the view of the Chair Umpire and the Net Umpire, the Chair Umpire may assign the calling of touches in that area to one of the other Umpires.
17. *Miscellaneous violations.* The Chair Umpire calls any violations during play in which:
  - a. A ball in play touches a player;
  - b. A player touches the net or the opponent's court while the ball is in play;
  - c. A player strikes the opponent's return before it has passed the net;
  - d. A not-up (double-bounce) occurs;
  - e. An illegal carry, double-hit, or other illegal stroke is made; and
  - f. A ball in play passes through the net.These duties, or portions thereof, may be delegated to the Net Umpire.

#### **FAC Comment VII.C-2: Chair Umpire Procedures**

1. Learn the basic facts about the match, such as name of tournament, event (men's, women's, singles, doubles, etc.), the round, match format, correct names, and residences of the players, and the ball change. This information should be on the scorecard. If it isn't, write the information on the card.
2. Your supplies should include a stopwatch, measuring device, and eraser-equipped pencils. Optional equipment includes a cap or visor, safety pins, adhesive tape, and bandages.
3. When you go to the court (preceding the players), check the height of the net. Ensure that singles sticks are in place on opposite sides of

the net, or removed for doubles; check the ball supply (including used balls) and equipment for the Umpire's chair. Also, confirm the availability of players' supplies, water, towels, sawdust, etc.

4. Have a pre-match conference with players. Be brief and informative. Always bring the players (in doubles at least one member of each team) together at the net near the center strap. Discuss only necessary information; for example, the number of balls in use, the ball change pattern, and anything unusual such as longer warm-ups or reduced crews with the chair calling some of the lines. An example of such a discussion: "Gentlemen, we're using 4 balls; change at 9 and 11." Toss a coin for winner's choice according to **Rule 9** of the **ITF Rules of Tennis**.
5. Alert the players to the remaining warm-up time with announcements of "Three minutes," "Two minutes," and "One minute." The pre-match introduction, which is made after the one or two minute announcement, should be brief: "Ladies and gentlemen, this third round match will be the best of three tiebreak sets. To the left of the chair, from Rye, NY, Alex Adams and from White Plains, NY, Jerry Baker; to the right of the chair, from Greenwich, CT, Chris Clark and from Hicksville, NY, Don Dunn. Adams and Baker won the toss and chose to receive." "Time." (Glance to see that the players and officials are ready.) "Don Dunn to serve...Play!" If the match will use No-Ad scoring and a 10-Point Match Tiebreak in lieu of the third set, the introduction should be: "Ladies and gentlemen, this third round match will consist of two tiebreak sets. No-Ad scoring will be used. A 10-Point Match Tiebreak will be used at one set all." At one set all the Umpire should announce: "A 10-Point Match Tiebreak will now be played to decide the match."
6. If there is any doubt, ask the players how to pronounce their names before the pre-match conference. Announce each player only as the player serves for the first time and again after any intermission. In announcing a match involving players from another country, introduce the visitor(s) first.
7. Titles, such as Mr., Mrs., or Ms. are used when directly communicating with a player or issuing a penalty. Use only the players' surnames on scoring. For example, "Advantage Adams."
8. In doubles, use the server's name when the server's team has the advantage and use the name of the player receiving when the receiver's team has the advantage.
9. Call games as follows:
  - "Game, Smith; first game."
  - "Game, Smith; three all, first set."
  - "Game, Jones; first game, second set."
  - "Game, Jones; Jones leads 3-2 and 1 set to love."
  - "Game, Jones; Jones leads 3-2, second set; first set, Smith."
  - "Game, Jones; three all, second set."
  - "Game, Smith; first game, final set."

Call sets as follows: "Game and second set, Smith, 7-5. One set all." The scores of previous sets are never given.

10. When announcing a tiebreak, use the following: "Game, Smith. 6-all, first set. Tiebreak."
11. In calling the score in a tiebreak, give the leader's score, then the score of the opponent, then the name of the leader, for example, "4-zero, Scott", or "3-2, Jones-Smith" or "3-all." In tiebreaks use "zero" instead of "love."
12. When the score reaches "Deuce" in No-Ad scoring in events other than mixed doubles, announce "Deuce, Deciding Point, Receivers' Choice."
13. To prevent play from starting, say: "Wait, please." To interrupt play, say: "Let." Say: "Replay the point," if the interruption requires it. Don't say: "Play a let."
14. If there is an unusual interruption in play (medical timeout, equipment repair, etc.) be sure to inform the opposing player(s) and the crowd. Keep your announcement very brief and simple: "Ladies and gentlemen, Mr. Adams is taking a medical timeout." The objective is to keep everyone informed of what is occurring on court.
15. When a player takes a medical timeout, be sure to start your watch. Announce: "2 minutes," "1 minute," then "30 seconds" to let the players know the time remaining.
16. The call for first serve let is: "Let, first serve"; on a second serve: "Let, second serve."
17. Remember at changeovers to call "Time" at 60 seconds. On set breaks, call "Time" at 90 seconds. If a player is late leaving the courtside chair, you may call "15 seconds" at the end of 75 seconds. This warning should be used sparingly and is not mandatory.
18. A code violation should be announced in accordance with the following examples: "Code violation, delay of game, point penalty, Mr. Adams"; "Code violation, ball abuse, game penalty, Mr. Adams"; "Code violation, racket abuse, default, Mr. Adams." Always know what you are going to say before announcing a violation.
19. Time violations should be announced in accordance with the following example: "Time violation, warning, Mr. Baker." Each subsequent delay: "Time violation, point penalty, Mr. Baker." Always know what you are going to say before announcing a violation.
20. An accepted method of match control is for an official to caution a player whose behavior is borderline. The official should never caution a player whose misconduct is clear; the official should issue a code violation.
21. An official may caution a player on a changeover to avoid a future time violation. For example, the official may quietly tell a player: "Watch the 20 seconds," if the player is getting close to a time violation between points. The player is now on notice that if the player is not ready within 20 seconds, the official will issue a time violation.
22. The following is an example of announcing the outcome of a match: "Game, set, match, Adams; She wins two sets to love: 7-6, 6-2."
23. In announcing the outcome of a match, use the term "default" when a player fails to appear on time or is guilty of misconduct. For example "Game, set, match; Jones wins 6-4, 2-4, default." When a player is



unable to continue a match because of a medical condition, the record should show the score at the time followed by the word “retired” and a description of the medical condition. For example, “6-1, 2-4, retired, cramps.” When a player is unable to continue a match because of a medical condition, announce the score followed by the term “retired.” For example, “Mr. Jones is retiring due to an injury (illness). Smith wins, 6-1, 2-4, retired.”

### **FAC Comment VII.C-3: Chair Umpire Techniques**

1. Promptness in announcing the score at the end of a game is one trait of a competent Chair Umpire. It gives the players confidence in the official.

Applause plays an important part in the timing of your score calling. Except for game ending points, you should always try to announce the score just as the applause is subsiding. An example for game ending points: “Game Smith,” (pause and let applause begin to subside), “Jones leads 2-1”. Occasionally, in an exciting match there will be prolonged applause that continues up to the instant the server is ready to start the next point. If the players look to you for the score, of course give it. But even in matches where there is frequent applause there will be very brief and routine points. You will be able to quickly announce the new score before the few scattered handclaps start. That’s one place where variety and promptness are important.

Keep the score and the server in mind to more accurately announce the score and mark your card at the end of the point. **WARNING:** don’t let your scorecard marking become so extensive that it keeps you from seeing something important between points. Remember the two most important things to keep in mind:

- a. Look at the point-losing player and
  - b. Check the receiver as the server is preparing to serve.
2. The Line Umpire’s calls should be so loud and clear that normally no repetition is necessary. It may be necessary to repeat an occasional call because of crowd noise or as an affirmation of a close call. In such cases your comment should be: “The ball was out” (or “good,” as the case may be). Don’t say: “The Line Umpire called it out,” obviously disclaiming responsibility for the call.
  3. If there is not a Net Umpire you will call lets. Don’t announce let unless the serve actually is good. You also make the calls for any uncovered lines. Do so in a clear voice so both players can hear you.
  4. Always keep the point score in your head, using your scorecard to confirm it. Repeating the score silently to yourself is a good habit, particularly when a controversy is brewing.
  5. Where a call of good is overruled, the approved wording is “Out, (give the correct score).” Where an out call is overruled, the approved wording is “Correction, the ball was good, replay the point (or give the correct score).”
  6. Be alert to the players on close calls. Judicious use of a hand signal or a positive nod with eye contact will confirm a call. If verbal confirmation is required, the phrasing should be: “I saw the ball good (or out)” or “I agree with the call.” **DO NOT SAY** “It was not a clear mistake.”

7. Four characteristics mark the delivery of a good Chair Umpire: a natural sounding but well-projected voice; proper inflection in calling the score; promptness; and some variety in timing and intensity. Avoid the monotony of having all your announcements sound alike. Always know what you are going to say before you open your mouth. Use a conversational tone of voice and avoid a sing-song delivery.
8. When all the players agree that a line or let call is in error, accept the players' version.
9. Do not cross your legs during play.

#### **FAC Comment VII.C-4: Solo Chair Umpire Procedures**

1. When only a Chair Umpire is available to conduct a match, the official is called a Solo Chair Umpire. A Solo Chair Umpire will, in general, have the same duties and authority as the Chair Umpire with Line Umpires.
2. The responsibilities of the Solo Chair Umpire and the players are described below. Depending on the sophistication of the players, the Solo Chair Umpire should cover some or all of these responsibilities in a brief pre-match meeting.
  - a. The Solo Chair Umpire is there to help the players by assuring that the match is played under the fairest circumstances possible.
  - b. The players shall call all lines promptly and loudly. Any doubt about a line call should be resolved in favor of the opponent.
  - c. The Solo Chair Umpire overrules clear mistakes. This includes "good" balls that are called "out." This includes obviously "out" balls that are called "good." It does not include close "out" balls that are called "good." If the Solo Chair Umpire overrules an "out" or "fault" call, then the player who made the call loses the point.
  - d. The Solo Chair Umpire makes all other calls including, but not limited to, foot faults, lets, not-ups, and code violations.
  - e. The Solo Chair Umpire should explain the ball change policy and should conclude the meeting by asking if there are any questions.
3. The Referee or the Solo Chair Umpire may modify the above line-calling procedure with the exception that the overrule shall continue to be exercised. Two examples are that the Solo Chair Umpire might call all the lines or the service line only.
4. Sections may authorize Solo Chair Umpires to call all lines in designated tournaments.
5. In certain professional and ITF tournaments, the Solo Chair Umpire may be required to call all lines.

#### **FAC Comment VII.C-5: Scorecard Marking Procedures**

Keep scorecard marking to a minimum. This allows you to spend more time watching the players and coaches for possible conduct violations. Brief examples of a modified scorecard are shown. Before going to court complete

# Example of Scorecard Marking



UNITED STATES TENNIS ASSOCIATION

## OFFICIAL SCORECARD

### EVENT

Tournament <i>Friend at Court Invitational</i>				Date <i>Jan 1, 1998</i>			
				Court # / Match # /			
Round <i>F</i>	Division <i>Mens Singles</i>	No. of sets <i>3</i>	Format	Average	1	2	3
Serving: <input checked="" type="checkbox"/> Regular <input type="checkbox"/> Hand				Submits	<i>1</i>	<i>2</i>	<i>3</i>
				Other	1	2	3
Supervisor <i>Bill Barber</i>			Ball Change <i>7-9</i>	(occasionally)	<i>16</i>	# of Officials <i>7</i> Chair (1)	
Referee <i>Jay Snyder</i>			Chair Umpire <i>Rebel Good</i>				

### MATCH

Player(s) <i>James Jones</i>	From <i>Jacksonville,</i>	Win	Shot
	<i>Florida</i>	<i>X</i>	<i>Rec</i>

### VS.

TOGS

Player(s) <i>Sam Smith</i>	From <i>Syracuse</i>	Win	Shot
	<i>NY</i>		

### RESULT

Time called <i>1:05</i>	Time started <i>1:10</i>	Time finished <i>3:29</i>	Duration <i>2:19</i>												
Winner(s) <i>Sam Smith</i>			<table border="1"> <tr> <td>1</td> <td><i>7</i></td> <td><i>3</i></td> <td><i>9</i></td> </tr> <tr> <td>2</td> <td><i>5</i></td> <td><i>6</i></td> <td><i>6</i></td> </tr> <tr> <td>3</td> <td></td> <td></td> <td><i>7</i></td> </tr> </table>	1	<i>7</i>	<i>3</i>	<i>9</i>	2	<i>5</i>	<i>6</i>	<i>6</i>	3			<i>7</i>
1	<i>7</i>	<i>3</i>	<i>9</i>												
2	<i>5</i>	<i>6</i>	<i>6</i>												
3			<i>7</i>												

### CHAIR UMPIRE

Signature <i>R. E. Good IV</i>	Certification <i>USTA</i>
-----------------------------------	------------------------------

Fig. 10

## Scorecard Set 3

To Court		Ball No. 2		Ball No. 4		Men not engaged?		Gates	
1st	2nd	1st	2nd	1st	2nd	1st	2nd	1st	2nd
10	T	1	A	1	A	1	A	1	0
11	T	1	A	1	A	1	A	1	0
12	T	1	A	1	A	1	A	1	0
13	T	1	A	1	A	1	A	1	0
14	T	1	A	1	A	1	A	1	0
15	T	1	A	1	A	1	A	1	0
16	T	1	A	1	A	1	A	1	0
17	T	1	A	1	A	1	A	1	0
18	T	1	A	1	A	1	A	1	0
19	T	1	A	1	A	1	A	1	0
20	T	1	A	1	A	1	A	1	0
21	T	1	A	1	A	1	A	1	0
22	T	1	A	1	A	1	A	1	0
23	T	1	A	1	A	1	A	1	0
24	T	1	A	1	A	1	A	1	0
25	T	1	A	1	A	1	A	1	0
26	T	1	A	1	A	1	A	1	0
27	T	1	A	1	A	1	A	1	0
28	T	1	A	1	A	1	A	1	0
29	T	1	A	1	A	1	A	1	0
30	T	1	A	1	A	1	A	1	0
31	T	1	A	1	A	1	A	1	0
32	T	1	A	1	A	1	A	1	0
33	T	1	A	1	A	1	A	1	0
34	T	1	A	1	A	1	A	1	0
35	T	1	A	1	A	1	A	1	0
36	T	1	A	1	A	1	A	1	0
37	T	1	A	1	A	1	A	1	0
38	T	1	A	1	A	1	A	1	0
39	T	1	A	1	A	1	A	1	0
40	T	1	A	1	A	1	A	1	0
41	T	1	A	1	A	1	A	1	0
42	T	1	A	1	A	1	A	1	0
43	T	1	A	1	A	1	A	1	0
44	T	1	A	1	A	1	A	1	0
45	T	1	A	1	A	1	A	1	0
46	T	1	A	1	A	1	A	1	0
47	T	1	A	1	A	1	A	1	0
48	T	1	A	1	A	1	A	1	0
49	T	1	A	1	A	1	A	1	0
50	T	1	A	1	A	1	A	1	0
51	T	1	A	1	A	1	A	1	0
52	T	1	A	1	A	1	A	1	0
53	T	1	A	1	A	1	A	1	0
54	T	1	A	1	A	1	A	1	0
55	T	1	A	1	A	1	A	1	0
56	T	1	A	1	A	1	A	1	0
57	T	1	A	1	A	1	A	1	0
58	T	1	A	1	A	1	A	1	0
59	T	1	A	1	A	1	A	1	0
60	T	1	A	1	A	1	A	1	0
61	T	1	A	1	A	1	A	1	0
62	T	1	A	1	A	1	A	1	0

The tiebreak score is reported as 7-6(x) or 6-7(x) with (x) being the number of points won by the loser. For example, 7-6(4) means the tiebreak score was 7-4, and 6-7(14) means the tiebreak was 14-16.

**Fig. 11**

**CODE VIOLATIONS (POINT PENALTY SCHEDULE)**

Step	Set	Games	Points	Player	Code	Description
W						
P	3	1-0	15-15		VA	yelled "you stupid idiot" at baseline umpire
G	3	1-0	Ad Smith		VA	screamed at chair: "you're worse than the baseline umpire"
D						

**ALL PLAYERS MUST FOLLOW THE FOLLOWING RULES**

GA Unsportsmanlike Behavior	SA Ball Abuse	PA Physical Abuse
JA Jarring Comments	MA Misconduct Abuse	CC Coaching, Comments
UA Unsportsmanlike Conduct	AA Abuse Abuse	UC Unsportsmanlike Conduct

**TIME VIOLATIONS**

Team / Player(s) Jones							Team / Player(s) Smith						
Step	Set	Games	Points	Player	20	90	Step	Set	Games	Points	Player	20	90
W	3	1-0	15-40		X		W						
P	3	1-0	3rd Deuce		X		P						
P							P						

**INTERRUPTIONS/TOILET BREAKS, ETC.**

Set	Games	Points	From	To	Player	Reason
		-				
		-				
		-				
		-				
		-				

**Fig. 12**

as much of the scorecard as possible including the players' names in cumulative game columns, first ball change, and ball number.

1. *Serves.* Aces are shown with A's, double faults with D's and missed first serves with a small dot in mid-line.
2. *Code violations.* A point penalty for a code violation is shown by a "C" in the box of the player who was not penalized. (See third point of second game.) A game penalty is shown by writing "Game Penalty" on the line of the player who was not penalized. (See second game.) (**Figure 11**)
3. *Time violations.* A warning given for the first time violation is shown by a "T" in the box of the offender. You should circle the "T". (See fifth point of second game.) Subsequent time violations are shown by a "T" in the box of the player who was not penalized. (See eleventh point of second game.) (**Figure 11**)
4. *Placement of the server's initials and names.* The placement of the server's initials indicates the end of the court (for example the Chair Umpire's right or left) from which the service is delivered.
5. *Cumulative game score.* The cumulative score is shown in the example with each player's score written after each game. You may also write only the score of the winner of each game.
6. *Service breaks.* An "X" through the game number in the column captioned "GAME" indicates a service break. (See example in the third game.)
7. *Ball changes.* Underline the server's column and the set score column to indicate a ball change. The wavy line after the fourth game means that a ball change should follow game 4. Some Umpires also lightly shade the set score boxes or use a highlighter.
8. *Significant events.* You should make notes about significant events such as an injured player, cramps, toilet breaks, and the precise nature of a conduct violation. Use the appropriate table on the back side of the card. (**Figure 12**)
9. *Service order in tiebreak.* As soon as you know who serves first in the set, place the initials of the players in proper order over each box on the tiebreak section.

#### **FAC Comment VII.C-6: Clay Court Ball Mark Inspection Procedures**

To the extent applicable, the Ball Mark Inspection Procedures for a Chair Umpire in **Appendix V** of the **ITF Rules of Tennis**, also apply to a Referee, Deputy Referee, or Roving Umpire. The following additional procedures apply when one of these officials is called to the court. The official shall find out if the players agree on which mark is the ball mark.

- If the players agree on the mark but disagree on the reading of the mark, the official shall decide if the mark is in or out.
- If the players disagree on the mark, the official shall find out from the players the kind of shot that was played and the direction in which the ball was hit. If this enables the official to determine which mark is the ball mark, the official shall decide if this mark is in or out.

- If the official is unable to determine which mark is the ball mark, then the determination of the player at the end with the mark stands. The official then decides if this mark is in or out.

**FAC Comment VII.C-7:** *What happens if the receiver does not play a second serve because the Line Umpire calls “fault” and immediately corrects the call?* The server is entitled to two serves. But note that if the serve was a clear ace and that the Line Umpire’s call did not affect the receiver’s ability to play the ball, then the server wins the point.

**FAC Comment VII.C-8:** *The first service is a fault. The server has begun the service motion for the second service when an official calls “wait, please” because a ball from another court has rolled onto the court or because there is some other interference. Is the server entitled to two serves?* Yes. The server was in the service motion, and this delay was caused by outside interference so the server receives two serves.

**FAC Comment VII.C-9:** *What should an official do when the official sees a player’s hat fly off?* The first time a hat flies off, a ball drops from a pocket, or some other item of clothing (including a towel) falls off, a let shall be called regardless of whether the item lands in or out of the court. The official shall caution the player that any subsequent similar incident shall result in a loss of point for deliberate hindrance.

**FAC Comment VII.C-10:** *Player A drives a hard ball to Player B’s baseline. The Baseline Umpire calls “out,” then reverses the call to “good.” What should the Chair Umpire do?* The Chair Umpire should accept the reversed call and allow the point to stand as won by Player A if the Chair Umpire is convinced beyond any doubt that the erroneous call did not affect Player B’s chance to make a play, otherwise the point is replayed as official’s hindrance.

**FAC Comment VII.C-11:** *On a second service, a Line Umpire calls “fault” and immediately corrects it. Meanwhile the receiver tries to return the serve but fails to make a good return. Is the server entitled to two serves?* Yes. The server is entitled to two serves on the grounds that, assuming the corrected call to be the correct one, the server had in fact put the ball in play. Once the ball is in play and a let is called for any reason, the point must be replayed.

**FAC Comment VII.C-12:** *A serve that has landed in the proper court bounces and strikes a Line Umpire. The receiver asks that a let be called on the ground that the receiver was hindered in playing the ball because it struck the Line Umpire.* If the Line Umpire was in proper position (in the chair or standing near it in the customary position for judging the sideline on a service), the point stands as played with the server winning the point. If the Line Umpire had left the assigned position, either in an attempt to avoid being hit or for any other reason, the Chair Umpire may call a let if the Chair Umpire feels that the receiver could have played the ball if the Line Umpire had been properly positioned.

**FAC Comment VII.C-13:** *Player A hits a fast serve near the far sideline. The Line Umpire is unable to make a call on it. Player A appeals to the Chair Umpire for a decision. The Chair Umpire says: "I know it was good, but unless the Line Umpire calls it, I can't."* Was the Chair Umpire's decision correct? No. If the Chair Umpire knew the ball was good, the Chair Umpire must make the call. If the Chair Umpire cannot make the call with certainty, the point should be replayed.

## **D. Roving Umpire**

A Roving Umpire is an official who exercises jurisdiction over more than one court. Duties are similar to those of a Chair Umpire and include, but are not limited to, the following:

1. Ensuring that assigned courts are ready for play;
2. Enforcing the warm-up time and Point Penalty System;
3. Promptly reporting to the Referee any code violations;
4. Resolving scoring disputes;
5. Overruling line calls and calling foot faults when the Roving Umpire is in direct observation of the court;
6. Defaulting players for cause; and
7. Controlling spectators.

### **FAC Comment VII.D-1: Roving Umpire Procedures**

The Roving Umpire is a USTA certified official, preferably a certified Chair Umpire, who exercises officiating jurisdiction over the courts assigned.

The Referee or Site Referee shall advise the Roving Umpires of the courts to which they are assigned, and their responsibilities, the scoring system, ball use, ball change, warm-up and rest periods, and Point Penalty System. The Referee or Site Referee, taking into consideration court configuration and the regulations that apply to the tournament, shall advise the Roving Umpires on how to call foot faults, overrules, and Point Penalty System violations.

The Roving Umpire shall:

1. Exercise discretion when calling foot faults or dealing with any infractions so as not to disturb neighboring courts.
2. Install singles sticks when needed and when time permits, measure the net.
3. Enforce the warm-up time limit by advising the players when they have two minutes before the start of play.
4. Help resolve scoring disputes by using judgment. First attempt to get the players to reconstruct the score so that they agree on it. Thereafter, the following options are listed in order of preference:
  - Counting all points and games agreed on by the players, with only the disputed points or games being replayed;
  - Playing from a score mutually agreeable to the players; and
  - Deciding the score by a coin toss.
5. Control spectators.
6. Enforce the Point Penalty System (code and time violations).
7. Overrule a player's line call only when in direct observation of that one court. When a Roving Umpire overrules a player's out call, that player loses the point. The Roving Umpire may not overrule as a result of a player appeal.



8. Avoid staying on one court and officiate all courts uniformly.
9. Be highly visible, but not spy on the players.
10. Help resolve on-court disputes according to *The Code*.
11. Allow any player to call a let.

**FAC Comment VII.D-2:** *The receiver's cell phone rings just as the server tosses the ball. The receiver makes no attempt to return the ball and instead answers the phone and does not resume playing for about one minute. A Roving Umpire discovers the situation. What should the official do?* The server wins the point because the ringing cell phone does not entitle the receiver to get unready. The official shall also penalize the receiver with at least one penalty under the Point Penalty System for delay. If the official can determine with certainty that the delay was more than 40 seconds, the official shall assess two penalties.

**FAC Comment VII.D-3:** It is improper for an official to warn a player that the player is in danger of footfaulting.

**FAC Comment VII.D-4:** A Roving Umpire should never overrule an extremely close ball. Players are playing under *The Code* and are expected to give their opponents the benefit of the doubt. Therefore, a Roving Umpire should be reluctant to call a ball out that has been played as good. The overrule of an out ball that has been played as good may be made only when a Roving Umpire is stationed on court.

## E. Net Umpire

1. *Calls "net" on lets.* The Net Umpire makes a call of "net," followed by a hand signal, any time a served ball touches the net in passing it.
2. *Makes calls delegated under USTA Regulation VII.C.17.* The Net Umpire calls, if asked to do so by the Chair Umpire, any violations set forth in **USTA Regulation VII.C.17**.
3. *Ball change.* The Net Umpire sees that balls are changed at the proper times and checks each new ball for suitability.
4. *Checks net.* The Net Umpire adjusts the net to the proper height before play begins, on set breaks, and at such other times as the Chair Umpire may desire, and makes sure that singles sticks are in place or removed, as required.
5. *Alternate scorecard.* The Net Umpire keeps an alternate scorecard as a check for the Chair Umpire if requested.

### FAC Comment VII.E-1: Net Umpire Procedures

1. The Net Umpire's primary duty is to call "nets." The Net Umpire sees that balls are changed at the proper times. The Chair Umpire may assign other duties such as setting up the court and calling throughs. The Net Umpire should sit comfortably, feet slightly apart but not protruding into the court. The Net Umpire should place a hand along the side of the net band and ahead of the net post (or singles stick) and

sight along the top of the band. The hand away from the net should be used to touch the net. The hand should be raised and a verbal “net” call made to indicate a “net.” The hand should be removed from the net once the ball is in play. Eyes and ears are by far the key elements in detecting nets.

2. **Net Adjustment:** Stand on the side opposite the buckle of the strap, so if you have to change the height you can depress the net with your body as you lean over it. This relieves any strain on the strap while you change the setting.

## **F. Line Umpire**

1. ***Calls line.*** The Line Umpire calls all shots relating to the assigned lines.
2. ***Un sighted signal.*** The Line Umpire promptly indicates to the Chair Umpire by an unsighted signal when the official is unable to make a call.
3. ***Corrections.*** When a Line Umpire calls a ball out in error, the Line Umpire shall immediately make a correction. If a ball that was out was not called out immediately, the Line Umpire shall remain silent.
4. ***Foot faults.*** When assigned to a baseline, a sideline, or a centerline, the Line Umpire calls foot faults that pertain to a player’s touching the line or the imaginary extension thereof. The Line Umpire should answer when a player asks what occasioned a call of foot fault.
5. ***Code violations.*** The Line Umpire shall report to the Chair Umpire any code violations that the Line Umpire saw or heard that were not heard or seen by the Chair Umpire.

### **FAC Comment VII.F-1: Line Umpire Procedures**

1. A Line Umpire’s only calls are: “out,” “fault,” “foot fault,” “correction,” “safe,” and “unsighted.” “Safe” and “unsighted” are indicated by visual signals only. “Fault” is used only with respect to a serve that is out. “Correction” is used to indicate immediately that an erroneous call has been made. Not making any call on a ball is tantamount to calling it good.
2. Visual signals for “out” and “fault” follow the oral calls and are made by extending the arm with the palm of the hand held vertically, fingers together, shoulder high, in the direction in which the ball is out. If the Line Umpire and the Chair Umpire are located on the same side of the court, the Line Umpire’s hand should be extended forward (not to the side) to facilitate the Chair Umpire seeing the signal. A “foot fault” is signaled by raising either arm to the vertical position. A “correction” is signaled by raising either arm to the vertical position followed by the corrected signal. A call of good or “safe” is signaled by holding both hands together, backs of hands to chair, approximately knee high in front of the body; the Line Umpire’s hands should be tilted in the direction of the Chair Umpire when both officials are located on the same side of the court. “Unsighted” is signaled by placing the back of the hands to the Chair in a vertical position just below the eyes.

3. Make “out,” “fault,” “foot fault,” and “correction” calls loudly and crisply followed by the proper hand signal. This is particularly important on balls that are hit at a high rate of speed or land near the line. A “safe” signal is used to reassure the Chair Umpire of a call when the ball has hit on, or within approximately 18 inches inside of a line. An “unsighted” call is used to tell the Chair Umpire that the Line Umpire was unable to see a shot.
4. To be valid, a Line Umpire’s out call on A’s shot to B’s court that B plays must be made before B’s shot has either gone out of play or has been hit by A.
5. The Line Umpire should give the server the benefit of any doubt in calling a foot fault. Do not make any call until the ball has touched the racket of the server.
6. If play continues after a fault, foot fault, or out call, the Line Umpire should immediately repeat the call. If the score that the Chair Umpire announces varies from the Line Umpire’s call or if the Chair Umpire is making a procedural error such as allowing the wrong player to serve, the Line Umpire shall immediately bring this to the attention of the Chair Umpire.
7. A Line Umpire who observes a code violation not seen or heard by the Chair Umpire shall report this to the Chair Umpire without interrupting a point. This should be done before the start of the next point.
8. With the exception of shoes and socks, white is a prohibited color for the clothing of a Line Umpire.
9. A Line Umpire is accountable to the Chair Umpire only.
10. A Line Umpire who has been overruled should accept the overrule without comment. Any query from a player concerning a line call should be referred to the Chair Umpire.
11. A Line Umpire shall not call a “touch,” “not-up,” “carry,” “double-hit,” “through,” “invasion,” or “foul shot.”
12. When a Line Umpire’s relief does not appear on schedule, the Line Umpire shall continue working until the relief arrives.

#### **FAC Comment VII.F-2: Line Umpire Techniques**

1. Any hand signal should be given with a fully extended arm and should be held momentarily to give the Chair Umpire the opportunity to observe the signal. A ball should never be called out until it has touched the ground or a permanent fixture.
2. *All Line Techniques (Except Service).* Look to where the action is; as the ball passes the net and you have the possibility of a call, turn your eyes and head to the line in the area where the ball is going to land, thereby giving yourself the best chance to make the correct call. Focus directly on the line.

*Baseline.* Chair angled properly, maximum angle not farther than the intersection of the service line and the far side line. Body positioned so that head is directly on the line. Sit forward to look alert. Watch for foot faults.

*Long Lines.* Assume “ready position” as server prepares to toss

ball. Maintain ready position throughout point. The ready position should not be rigid or uncomfortable. Calls and signals are made from the ready position. Assume “at ease” position at point’s end. When calling the serve from behind the receiver, select the best position from which to make the call. The following are acceptable:

- a. On the line, crouched low;
- b. Inside the line in a normal ready position; and
- c. Outside the line in a normal ready position.

Choose the option most comfortable for you which allows you to make the most accurate call. After the serve, move quickly into position to call the long line during play. The center service Line Umpire goes to “at ease” position just after the serve hits the court unless this Umpire makes a call.

*Service Line.* Chair is straight on the line. Do not angle the chair. Lean forward to get best angle and view of server preparing to serve. When server is ready to toss ball, turn your head and focus your eyes directly on the near quarter of the line being served to. Lean forward if this will enable you to call the line with accuracy. If you still have a problem with served balls slipping under your vision, focus closer to you. Focus directly on the line.

3. A Line Umpire should sell calls with decisiveness, a crisp tone of voice, and a prompt hand signal following the voice. The Line Umpire should make some intelligent differentiation in the relative volume and urgency of calls. Obviously, it is not necessary for a service Line Umpire to shout “fault” loudly on a serve that hits the top of the net and lands 10 feet beyond the service line. This is not to encourage anyone to be overly casual or tardy in making calls.
4. In doubles, the Line Umpire calling the side line also calls the side service line. In making these calls, a Line Umpire should be in a position with an unobstructed view.
5. A Line Umpire who is in a player’s way should make a definite effort to avoid the player while maintaining his or her position.
6. A Line Umpire should not assume the role of a ballperson. However this does not preclude handing a ball within easy reach to a player or a ballperson or catching a towel thrown by a player and handing it to a ballperson.
7. A Line Umpire’s biggest problem is to maintain concentration and alertness. When seated, a Line Umpire should never relax completely or cross the legs during play. A Line Umpire should avoid distractions such as conversing with a spectator.
8. Line Umpires should warm-up their eyes before going on court or during the warm-up.

### **FAC Comment VII.F-3: Clay Court Ball Inspection Procedures**

Line Umpires are not responsible for inspecting ball marks, but the Chair Umpire may ask for help in locating a mark. If a Line Umpire thinks that the Chair Umpire may need help in locating a mark, the Line Umpire should keep the Umpire’s eyes on the ball mark instead of giving immediate eye contact to

the Chair Umpire. If a Line Umpire is working on a short crew, the Line Umpire should stay in position until the Umpire is sure that the mark does not have to be checked.

Do not get into a discussion with the player about the mark.

**FAC Comment VII.F-4:** *On the second service, the server has tossed the ball in the air and is about to strike it when the Line Umpire calls “foot fault,” whereupon the server catches the ball instead of striking it. What should the Line Umpire do?* The Line Umpire should call “correction” (as there could be no fault since the ball was not struck, or struck at). The Chair Umpire should then inform the player that, as a result of an interrupted serve, the server has two serves to come.

## **G. Considerations for All Officials**

1. *Aiding player.* No official, *unless* the official is a qualified medical person or athletic trainer, shall aid a player who is suffering from a medical condition. An official should manage a medical condition consistent with the *USTA Emergency Care Guidelines*. Nonetheless, any official may provide supplies.

**FAC Comment VII.G-1:** An official needs to maintain impartiality. When no other person is available, it is appropriate for the official to manage care pursuant to the *USTA Emergency Care Guidelines*. (See **Part 4.**)

2. *Applauding prohibited.* No official shall applaud a player.
3. *Infractions observed in non-umpired matches.* In non-umpired matches, the officials may take appropriate action with respect to any infraction of the rules or regulations they observe.
4. *Enforcing instructions as to who enters playing area.* The official in charge of the match shall enforce the Referee’s instructions as to who may enter the playing area, and in the absence of instructions shall determine who may enter the playing area.
5. *Betting.* No official or member of the Tournament Committee shall bet on any match in the tournament. If an infraction occurs, the Tournament Committee shall immediately remove the offender from any further connection with the tournament.

## **FAC Comment VII.G-2: Court Monitors**

Court Monitors are not certified officials. They assume limited duties to help ensure fair and sportsmanlike play at sites lacking a sufficient number of certified officials. Based on the experience and training of the Court Monitors, the Referee or Site Referee shall advise them of the courts to which they are assigned and their responsibilities, which may include some or all of the following:

1. Maintain control over assigned courts;
2. Measure the net at the beginning of the first match and at other appropriate times;
3. Time warm-ups and, when possible, announce “two minutes” at two minutes before the end of the warm-up, and announce “time” when play is to begin;

4. Call foot faults, remembering that there is never a warning;
5. Stop play when the monitor observes a code violation and seek assistance from the Referee or other official;
6. File suspension point reports with the Referee concerning offenses they personally observed or heard;
7. Settle scoring disputes on a limited basis;
8. Overrule clear mistakes;
9. Time rest periods;
10. Record scores of matches;
11. Inform the desk of open courts and of the progress of matches in play;
12. Send for a certified official if there is a question of rule interpretation;
13. Call the score if assigned to only one court.

## **H. Officials Council**

The Officials Council operates on the district, sectional, and national levels for the purpose of teaching, developing, evaluating, and certifying officials. Any individual USTA member who complies with the certification requirements of this regulation becomes a member of the Officials Council.

## **I. USTA Officials Committee**

The USTA Officials Committee shall administer and supervise the Officials Council. The Committee shall assure that an adequate number of approved schools are held each year. It shall also prepare the annual certification application and test for each category of officials. It shall be responsible for developing officiating techniques and procedures and for evaluating officials.

## **J. Sectional and District Officials Committees**

Each Sectional Association and District Association shall designate an officials committee with a chairperson and a vice chairperson. These committees shall assist the USTA Officials Committee with the administration of the Officials Council. The sectional and district committees may establish their own requirements for non-members of the Officials Council; that is, officials from outside the covered geographic area or honorary members.

## **K. Definitions for Certification**

1. *Certified Officials:* Provisional Umpire, Associate Official, Sectional Umpire, Sectional Chair Umpire, Sectional Referee, USTA Roving Umpire, USTA Line Umpire, USTA Chair Umpire, USTA Referee, USTA Chief Umpire, National Line Umpire, National Chair Umpire, National Referee, National Chief Umpire, Professional Line Umpire, Professional Chair Umpire, Professional Referee, Professional Chief Umpire, and Intercollegiate Official.

**FAC Comment VII.K-1:** The USTA requires criminal background checks as a pre-condition for certification.

2. *Year:* The calendar year.
3. *National Chairperson of Officials:* The Chairperson of the USTA Officials Committee appointed by the USTA President.
4. *Evaluation:* The rating of an official's performance by a trained USTA Trainer-Evaluator.

5. *Satisfactory evaluation:* A satisfactory evaluation is an evaluation that evidences acceptable performance at the certification level for which the official is applying.

**FAC Comment VII.K-2:** The purpose of the Training-Evaluation Program is to improve the standards of officiating by achieving consistency in procedures and general conduct of officials. This concentration on technique is intended to improve accuracy which dictates on-court performance. The Training-Evaluation Program also assists in the classification of officials for certification purposes and in selection for succeeding rounds of a tournament. National and Sectional Trainer-Evaluators are selected to perform evaluations and a certain amount of training is required for their selection. National Trainer-Evaluators are a very small cadre of officials who are sent to selected tournaments to instruct and evaluate officials in the proper and current methods of officiating. All Sectional Associations should have Sectional Trainer-Evaluators in their area who have fulfilled the training requirements.

6. *National evaluation:* An evaluation by a National Trainer-Evaluator who is approved by the National Chairperson of Officials.
7. *Sectional evaluation:* An evaluation by a Sectional Trainer-Evaluator who is approved by the Sectional Chairperson of Officials and the National Chairperson of Officials.
8. *Tournaments:* USTA sanctioned or approved tournaments, ITF, ATP, WTA, intercollegiate tournaments, high school tournaments, and generally recognized foreign tournaments. Tournaments with more than one event and tournaments with a qualifying, main draw, or consolation count only as one tournament for certification purposes. The same tournament may not be used to meet the requirements for being certified as a Chief Umpire and Referee. A collegiate dual match may not be used as a tournament for the purpose of certification as a Referee.
9. *On-court officials:* Certified officials excluding Referees and Chief Umpires.
10. *Friend at Court (FAC):* The USTA Officials Handbook.

## **L. Certification**

Certification is from January 1 to December 31. Tests and dates of schools shall be available through the District, Sectional, and National Chairpersons of Officials.

## **M. General Certification Requirements**

1. *Vision.* Each year, each on-court official shall attest to having vision of 20/20, either corrected or uncorrected. Every even year, each on-court official (excluding Provisional Umpires) shall submit to the USTA a physician's or an optometrist's statement attesting that the official has 20/20 vision, either corrected or uncorrected.
2. *Tests.* Each certified official shall pass the written test for each category in which the official is certified.
3. *Schools.* Each certified official shall successfully complete an approved school for each certification category. The National Chairperson of Officials has the right to approve all training schools. The Sectional Chairperson may approve schools as delegated by the National Chairperson. The USTA Officials Committee determines the school requirements for each category, and the National Chairperson of Officials shall approve all instructors.

4. *Number of tournaments.* Every year an official shall meet the certification requirements by serving in the preceding year in, at minimum, the specified number of tournaments, matches, or days.
5. *USTA membership and certification.* Each certified official shall be a USTA member. Site Referees, Roving Umpires, Sectional Trainer-Evaluators and National Trainer-Evaluators shall be certified officials.
6. *Work record.* Each official shall submit the official's work record to the USTA on a continuous basis. The Sectional Chairperson shall approve or disapprove certification based on the work record and also shall obtain the approval or disapproval of certification by the official's District Chairperson, if there is one. Review of the work records start on October 15. Certification as a National or Professional Official shall require the approval of the National Chairperson of Officials.
7. *Code of Conduct.* All officials shall adhere to the standards in the Officials Code of Conduct as set forth in *Friend at Court*.

## **N. Specific Certification Requirements**

1. *Provisional Officials.*
  - a. *Provisional Umpire.* A Provisional Umpire is exempt from the school requirement but must pass a written test. A person becomes a Provisional Umpire immediately upon passing the written test for Provisional Umpires.
    - i. *Advancement to Sectional Official.* If later during the same calendar year the official meets the work requirements for becoming certified as a Sectional Official, the official may become certified as a Sectional Official by passing the applicable test or tests. The official is excused from meeting the school requirement only during the first year as a Provisional Umpire.
    - ii. *Certification in year following advancement to Sectional Official.* If an official advances from Provisional Umpire to a Sectional Official during the year, the official will not be re-certified during the next year as a Sectional Official until the official has passed the applicable test or tests and has successfully attended an approved school.
  - b. *Provisional Referee.* A Provisional Referee shall have attended the required school or schools conducted by an approved USTA Trainer/Evaluator and shall have passed all applicable tests required of a Referee. A Provisional Referee may serve as a Referee for tournaments below the Sectional Championship level. If during the same calendar year the Provisional Referee meets the work requirements for a Sectional Referee, the Provisional Referee may then become certified as a Sectional Referee.
2. *Associate Umpire:*
  - a. Shall be certified by a foreign national tennis association recognized by the International Tennis Federation;
  - b. Does not have to fulfill the general certification requirements except the USTA membership requirement; and
  - c. Shall pay a yearly fee to offset expenses of mailings.



3. *Sectional and USTA Officials.* Each year Sectional and USTA Officials shall pass the written tests for the categories in which they are certified. Tests shall apply for certification in the calendar year following the year in which they are taken. Each year these officials shall successfully complete a school for the categories in which they are certified. Schools apply toward certification in the calendar year following the year in which they are completed.
  - a. *Sectional Umpire:* Shall have officiated for a total of five of the following:  
Line Umpire days;  
Chair Umpire matches; or  
Roving Umpire days.  
Chair Umpire matches used to qualify for this category may not be used to also qualify for Sectional Chair Umpire.
  - b. *Sectional Chair Umpire:* Shall have served as a Chair Umpire in five matches.
  - c. *Sectional Referee:* Shall have served as a Referee or as a Deputy Referee for an entire tournament.
  - d. *USTA Roving Umpire:* Shall have satisfied the requirements for a Sectional Umpire, shall have served as a Roving Umpire for at least 15 days, and shall have successfully completed the Referee school.
  - e. *USTA Line Umpire:*
    - i. Shall have officiated as a Line Umpire for 10 days; and
    - ii. Shall have received satisfactory evaluation(s) as a Line Umpire.
  - f. *USTA Chair Umpire:*
    - i. Shall have officiated as a Chair Umpire in ten matches;
    - ii. Shall have been previously certified as a Sectional Chair Umpire; and
    - iii. Shall have received satisfactory evaluation(s) as a Chair Umpire.
  - g. *USTA Referee:* Shall have served as a Referee for three entire tournaments, one of which may have been as a Deputy Referee.
  - h. *USTA Chief Umpire:* Shall have served as a Chief Umpire for one tournament.
4. *National and Professional Officials.* Tests and schools for the National Officials and Professional Officials shall be required only at the direction of the USTA Officials Committee.
  - a. *National Line Umpire:*
    - i. Shall have officiated as a Line Umpire for 20 days in matches at USTA National Championships, Intercollegiate National Championships, ITF International Championships, or professional tournaments with prize money of at least \$10,000. At least ten of these days shall have been in USTA, ITF, ATP, or WTA tournaments with prize money of at least \$50,000;
    - ii. Shall have been certified as a USTA Line Umpire or higher line category within the previous two years; and
    - iii. Shall have received satisfactory national evaluations as a Line Umpire.

- b. *National Chair Umpire:*
  - i. Shall have officiated in 20 matches as a Chair Umpire at USTA National Championships, Intercollegiate National Championships, ITF International Championships, or professional tournaments with prize money of at least \$10,000.
    - No more than eight of these matches may be Intercollegiate National Championships;
    - The remaining matches must be in at least two tournaments;
  - ii. Shall have been certified as a USTA Chair Umpire or higher chair category within the previous two years; and
  - iii. Shall have received satisfactory national evaluations as a Chair Umpire.
- c. *National Referee:* Shall have served as a Referee in four entire tournaments, two of which shall have been in USTA National Championships, ITF International Championships, Intercollegiate National Championships, or professional tournaments with prize money of at least \$10,000.
- d. *National Chief Umpire:* Shall have served as a Chief Umpire of two USTA National Championships, ITF International Championships, Intercollegiate National Championships, or professional tournaments with prize money of at least \$10,000. One of these tournaments shall have been a USTA, ITF, ATP, or WTA tournament with prize money of at least \$50,000.
- e. *Professional Line Umpire:*
  - i. Shall have officiated as a Line Umpire for 50 days in professional tournaments with prize money of at least \$50,000. Thirty of these days shall have been in USTA, ITF, ATP, or WTA tournaments with prize money of at least \$150,000;
  - ii. Shall have been certified as a National Line Umpire or higher line category within the previous two years; and
  - iii. The National Chairperson of Officials shall consider reports and evaluations from tournament supervisors and Referees in certifying these officials.
- f. *Professional Chair Umpire:*
  - i. Shall have officiated as a Chair Umpire in 40 matches in professional tournaments with prize money of at least \$50,000. Twenty-five of these matches shall have been in USTA, ITF, ATP, or WTA tournaments with prize money of at least \$150,000;
  - ii. Shall have been certified as a National Chair Umpire or higher Chair Umpire category within the previous two years; and
  - iii. The National Chairperson of Officials shall consider reports and evaluations from tournament supervisors and Referees in certifying these officials.
- g. *Professional Referee:* Shall have served as a Referee in five tournaments, all of which shall have been USTA National

Championships, ITF International Championships, Intercollegiate National Championships, or professional tournaments with prize money of at least \$10,000. At least three of these tournaments shall have been USTA, ITF, ATP, or WTA tournaments with prize money of at least \$10,000.

5. *Professional Chief Umpire:* Shall have served as a Chief Umpire of three USTA National Championships, ITF International Championships, Intercollegiate National Championships or professional tournaments with prize money of at least \$10,000. One of these tournaments shall have been a USTA, ITF, ATP, or WTA tournament with prize money of at least \$150,000.
6. *Intercollegiate Official:* Shall have officiated for a total of five days, which may be any combination as a Chair Umpire, Roving Umpire, or Referee for intercollegiate dual matches or tournaments. (An official who has successfully completed an ITA Training School and passed all appropriate tests may be certified as a Provisional Intercollegiate Official.)
7. *Waivers:* The National Chairperson of Officials may waive any certification requirement, except the vision and USTA membership requirements.

#### **O. Denial of Certification and Decertification**

1. The District, Sectional, or National Chairperson of Officials, for good cause, may refuse to approve an official's application or certification category, even though that official has met the technical certification requirements.
2. The National Chairperson of Officials may decertify an official for cause.
3. Recertification of a decertified official shall have the approval of the National Chairperson of Officials.

#### **P. Appeal Procedure**

1. An official whose District Chairperson of Officials refuses to approve the official's application or certification category may appeal that decision to the Sectional Chairperson of Officials. If the Sectional Chairperson of Officials denies the appeal, the official may appeal to the National Chairperson of Officials. The decision of the National Chairperson shall be final.
2. An official whose Sectional Chairperson of Officials has refused to approve the official's application or certification category may appeal that decision to the National Chairperson of Officials. The decision of the National Chairperson shall be final.
3. If the National Chairperson of Officials refuses to approve an official's application or certification category that has been previously approved by the official's Sectional Chairperson, that official may appeal to the USTA Officials Committee. The decision of the Committee shall be final.
4. If an official has been decertified by the National Chairperson of Officials, the official may appeal to the USTA Officials Committee. The decision of the Committee shall be final.
5. Any appeal shall be in writing and shall be sent by certified mail.
6. Any person submitting a written appeal shall be entitled to prompt written notification of all decisions. All decisions shall be sent by certified mail.

#### **Q. Honorary Officials**

The USTA Officials Committee, with the approval of an individual's District and Sectional Chairmen of Officials, may honor an individual as follows:

1. *Life Umpire*: An official who has been certified for 20 years, plus all those who have been designated as “Life Umpire” before March 19, 1982.
2. *Umpire Emeritus*: An official who through long or special service as a certified official deserves a special place of recognition.
3. *Honorary Umpire*: A person who has made a major contribution to areas related to officiating, even though the person may not have been active as an on-court official.

**R. Records on Officials**

1. The USTA Officials Committee shall maintain records for each official, including the official’s address, certification, and evaluations.
2. Evaluations shall be provided annually to the appropriate Sectional Chairperson of Officials.
3. Upon request, a district chairperson, sectional chairperson, or Sectional President shall receive copies of records for the chairperson’s respective District Association or Sectional Association.
4. An official shall receive a copy of the official’s record yearly.

**S. Notification to Members of Officials Council**

The USTA Officials Committee shall periodically notify members of the Officials Council of rules, regulations, changes by the USTA, ITF, and ITA in rule interpretations, and other pertinent information.

## VIII. GENERAL RANKING REGULATIONS

### A. Definition of Ranking Period

Ranking data for all divisions shall be obtained from all designated tournaments (see **USTA Regulation VIII.D.1.**), and the ranking period for all players shall be January 1 through December 31 *unless* the applicable national, sectional, or district regulations provide for a different ranking period.

### B. USTA Membership Required

Only persons enrolled with the USTA as an Adult, Junior, Family, Life, or Honorary member and in good standing on the last day of the ranking period shall be considered for a ranking.

### C. Ranking Systems

1. *Head-to-Head Ranking System.* The Head-to-Head Ranking System is a method of ranking players or teams that is based on wins, losses, and exposure. In this ranking system a strong win record is essential for a high ranking, and significant wins are required for any ranking. A strong record is generally preferred over a long record. Exposure is important and should be measured by the number of good quality opponents that a player plays, rather than solely by the number of tournaments played. Comparative scores may be considered only when records are otherwise equal. Under this system, defaults, withdrawals, walkovers, and retirements are treated as follows:
  - a. *Defaults before first service, walkovers, and withdrawals.* The following are not considered for ranking purposes:
    - A default that occurs before the first service of a match;
    - Withdrawals; and
    - Walkovers.
  - b. *Defaults during a match.* All completed matches and all matches in which a player is defaulted during the course of the match are considered for ranking purposes. Any default is a loss for the player defaulted and a win for the player who advances.
  - c. *Retirements.* A retirement is a loss for the player retiring and a win for the player advancing.
2. *Points Per Round Ranking System.* The Points Per Round Ranking System is a method of ranking players or teams that is based on earning ranking points for the round that a player or team reached and, in some cases, wins over highly ranked players or teams.
3. *Sectional Association ranking systems.* A Sectional Association shall determine which of the two ranking systems, the Head-to-Head Ranking System or the Points Per Round Ranking System, is used to rank players or teams at the sectional level and shall publish its ranking system. A Sectional Association may also allow its District Associations or subdivisions to make this decision. In this case, the District Association or subdivision shall publish its ranking system.

### D. Results Considered for Rankings

1. *Sectional and district tournaments.* All matches from any tournament that has been sanctioned by the applicable Sectional Association or District Association shall be considered for ranking in that Sectional Association or District Association *unless* prohibited by the applicable sectional or district

ranking regulations or **USTA Regulation VI.C.11**. (Red Ball Tennis format in the 8 Divisions). Regulations for a Sectional Association or District Association may authorize additional tournaments for consideration for ranking.

The applicable Sectional Association or District Association or the applicable committee shall determine in which ranking period the ranking data from tournaments that begin in one ranking period but are not completed until after the beginning of the next ranking period shall be considered.

2. *Matches generally may count in only one division.* No match may count for ranking in more than one division, *unless* the applicable national, sectional, or district ranking regulations provide for a match to count in more than one division.
3. *Results from tournaments based on race, creed, color, or national origin not counted.* Results of any tournament from which entrants have been excluded by reason of race, creed, color, national origin, or sexual orientation shall not be considered for ranking purposes.
4. *Exhibitions not counted.* Exhibitions shall not be considered for ranking purposes.

#### **E. Ranking Considerations**

1. *Rankings based on records.* Rankings shall be based solely upon players' results for the ranking period under consideration.
2. *Insufficient data.* An insufficient data category may be used for those players or teams with an outstanding record but who do not meet the minimum ranking requirements of the applicable national, sectional, or district ranking regulations.
3. *Class A category and numerical groupings.* A Class A category or numerical groupings may be used when considered appropriate by a ranking committee for giving recognition to players or teams that qualified for a ranking but did not receive specific numerical rankings, or in the case of a tie.
4. *Ranking lists in Junior Divisions shall show birth dates of players.* All ranking lists for the junior age divisions, whether national, sectional, or district, shall show the date of birth of each ranked player as officially recorded in the USTA membership records.

## IX. JUNIOR NATIONAL TOURNAMENTS AND NATIONAL RANKINGS

### A. Junior National Tournament Regulations

1. *Overview of Junior National Tournament System.* Annually the USTA shall publish the National Junior Tournament Schedule, which shall include the following junior tournaments:
  - a. *USTA Junior National Championships.* The USTA shall sanction the following USTA Junior National Championships pursuant to **USTA Regulation XVII.D.**:
    - i. *USTA National Championships.* Each year the USTA shall hold four groups of USTA National Championships for boys and girls in each age division (18, 16, 14, 12).
    - ii. *USTA National Doubles Championships.* Annually the USTA shall hold USTA National Doubles Championships for boys and girls in the 16 and 14 divisions.
    - iii. *USTA National Masters.* Annually the USTA shall hold a USTA National Masters for boys and girls in the 18, 16 and 14 divisions.

**FAC Comment IX.A-1:** Ordinarily, USTA National Championships in each group in all age divisions are held during the same time period. Occasionally USTA National Championships that are grouped together are not held during the same time period. For example, the USTA Spring National Championships in the Boys' and Girls' 18 Divisions are held about three weeks before the other age divisions.

- iv. *USTA National Opens.* Four times each year the USTA shall hold four concurrent USTA National Opens for boys and girls in each age division at different sites. This makes a total of 16 USTA National Opens in each age division.
      - v. *USTA Team Championships.* The USTA shall sanction and hold the following USTA Team Championships:
        - USTA Boys' 18 National Team Championships
        - USTA Girls' 18 National Team Championships
        - USTA Boys' and Girls' 16 Intersectional Team Championships
        - USTA Boys' and Girls' 16, 14, and 12 Zone Team Championships
    - b. *USTA Regional Tournaments.* The Junior Competition and Sportsmanship Committee in consultation with the USTA Player Development Division shall determine criteria for sanctioning USTA Regional Tournaments pursuant to **USTA Regulation XVII.D.** to be placed on the National Junior Tournament Schedule.
    - c. *USTA International Tournaments.* USTA International Tournaments are USTA sanctioned tournaments played under ITF tournament regulations, but not sanctioned by the ITF. The Junior Competition and Sportsmanship Committee in consultation with the USTA Player Development Division shall determine criteria for sanctioning USTA International Tournaments pursuant to **USTA Regulation XVII.D.** to be placed on the National Junior Tournament Schedule. These tournaments are played under ITF tournament regulations.

- d. *ITF tournaments on National Junior Tournament Schedule.* The Junior Competition and Sportsmanship Committee in consultation with the USTA Player Development Division shall determine criteria for selecting ITF tournaments to be placed on the National Junior Tournament Schedule. These tournaments are played under ITF tournament regulations.
2. *Eligibility for USTA Junior National Championships and USTA Regional Tournaments.*
  - a. *Players eligible.* USTA Junior National Championships and USTA Regional Tournaments shall be open to:
    - i. *U.S. citizens.* Citizens of the United States.
    - ii. *Certain aliens.*
      - *Permanent resident aliens.* Permanent resident aliens of the United States.
      - *Diplomats.* Aliens who have resided in the United States continuously for more than one year and who are members of families of persons in the diplomatic or consular corps.
      - *Aliens with Refugee Status.* Aliens who have been granted Refugee Status.
      - *Aliens with Asylee Status.* Aliens who have been granted Asylee Status.
      - *Aliens with Temporary Protected Status.* Aliens who have been granted Temporary Protected Status.
      - *Aliens with Adjustment Status.* Aliens whose I-485 (Application to Register Permanent Resident or Adjust Status) has been accepted for filing.
      - *British Columbians.* Canadian citizens and landed Canadian immigrants who reside in British Columbia, *provided that* they were USTA members for at least one month during 2010 and so long as they do not allow their USTA memberships to lapse for a period of more than six months. (These players may be excluded from wild card selection.)

**FAC Comment IX.A-2:** Documents commonly used to support a particular alien status include a permanent resident alien card (green card), Form I-94, or a receipt confirming filing of the I-485.

- b. *Loss of eligibility.* Players (including U.S. citizens) who have made a declaration of citizenship to a foreign country or who have accepted endorsement from another federation to an ITF international team competition shall not be eligible to participate in USTA Junior National Championships or USTA Regional Tournaments, *except that*:
  - Those players from British Columbia listed in **USTA Regulation IX.A.2.a.ii.**; and
  - Players from Puerto Rico, U.S. Virgin Islands, American Samoa, Guam, and the Northern Mariana Islands may play in USTA Junior National Championships and USTA Regional



Tournaments if they meet the eligibility criteria of **USTA Regulation IX.A.2.a.**

- c. *Reinstatement of eligibility.* A player who has previously qualified to play in these tournaments and who lost this right by:

- Declaring citizenship to a foreign country or accepting endorsement from another federation to an ITF international team competition; or
- No longer being classified by the Department of Homeland Security as an alien with a status listed in **USTA Regulation IX.A.2.a.ii.**

may apply for reinstatement. The Director of Player Development or the Director's Designee, the Senior Director for Junior and Collegiate Competition, the Director of Junior Competition, the Chairperson of the Junior Competition and Sportsmanship Committee, and the Coordinator of Junior Rankings, in consultation with the USTA General Counsel, shall rule on the request. The player may appeal the ruling to the USTA Board.

3. *National Standings List.* At least monthly, the USTA shall publish National Standings Lists for individual players in each Junior Division. The Junior Competition and Sportsmanship Committee shall determine the dates on which the lists are published and the criteria for inclusion on the lists. Annually it shall publish the criteria.

**FAC Comment IX.A-3:** Amateur players with an ATP, WTA, or ITF ranking are eligible to appear on National Standing Lists.

4. *Seeding criteria and procedure.* The Junior Competition and Sportsmanship Committee shall determine the seeding criteria and procedure for USTA National Championships, USTA National Doubles Championships, USTA National Masters, USTA National Opens, and USTA Regional Tournaments. The seeding criteria and procedure, which shall be based on the standing, ranking, and selection systems, is published in **Table 18**. The Director of Junior Competition or the Director's designee shall seed each tournament after consulting with the Tournament Committee.
5. *Match formats for USTA National Doubles Championships, USTA National Masters, USTA National Opens, USTA Team Championships, and USTA Regional Tournaments.* The Junior Competition and Sportsmanship Committee shall determine the match formats to be used in USTA National Doubles Championships, USTA National Masters, USTA National Opens, USTA Team Championships, and USTA Regional Tournaments. These formats shall be published on the USTA website and in **Table 19**. (See **USTA Regulation IX.A.9.o.** for match formats used in the USTA National Championships.) The match formats for USTA International Tournaments and ITF tournaments on the National Junior Tournament Schedule are controlled by ITF tournament regulations.
6. *No-Ad scoring prohibited in USTA Junior National Championships and USTA Regional Tournaments.* No-Ad scoring may *not* be used in USTA Junior National Championships and USTA Regional Tournaments even

**TABLE 18**

**Seeding Criteria for USTA National Championships (Juniors Only),  
USTA National Doubles Championships, USTA National Masters, USTA  
National Opens, and USTA Regional Tournaments**

Tournament Committees shall use the criteria in this table to submit their proposed seeding to the Director of Junior Competition.

**A. Number of Seeds**

<b>Draw Size</b>	<b>Required Number of Seeds</b>
192 or 128	32 seeds: top 16 seeded numerically; next 16 seeded alphabetically
96 or 64	16 seeds: top 8 seeded numerically; next 8 seeded alphabetically
32	8 seeds: all seeded numerically
16	4 seeds: all seeded numerically
8	2 seeds: all seeded numerically

**B. Singles Seeding Criteria for USTA Boys' and Girls' 18 and 16 National Championships and USTA National Masters**

- Seeding shall be done using the most recently published National Singles Seeding List of the division.
  - Players may be moved within major groups (1-4, 5-8, 9-16, 17-32) due to direct wins if players are separated by fewer than 100 ranking points.
  - Players may be moved between major groups due to direct wins if players are separated by fewer than 50 ranking points.
- Players not previously seeded who are ranked in the:
  - Top 500 on the most recently published WTA ranking list or Top 1000 of the most recently published ATP ranking list,
  - Top 100 on the most recently published ITF ranking list, and
  - Top 100 on the most recently published ITA ranking list
 shall also be considered for seeding.

**C. Singles Seeding Criteria for USTA Boys' and Girls' 14 and 12 National Championships**

- Seeding shall be done using the most recently published National Singles Seeding List of the division in the order in which players appear.
- Players may be moved within major groups (1-4, 5-8, 9-16, 17-32) due to direct wins if players are separated by fewer than 100 ranking points.
  - Players may be moved between major groups due to direct wins if players are separated by fewer than 50 ranking points.

**D. Singles Seeding Criteria for USTA National Opens and USTA Regional Tournaments**

Seeding shall be done using the most recently published National Singles Seeding List of the division in the order in which players appear.

**TABLE 18 (Cont'd)**

**D. Singles Seeding Criteria for USTA Boys' and Girls' 14 and 12 National Championships (cont'd)**

- Players who are accepted based on their standing in the next younger age division may be seeded in the last group of seeds (5-8, 9-16, or 17-32, depending on the draw size) if they are in the top 50 of the most recently published National Singles Seeding List of the next-younger age division.
- Players from the National Singles Seeding List of the division may be moved within major groups (1-4, 5-8, 9-16, 17-32) due to direct wins if players are separated by fewer than 100 ranking points.
- Players from the National Singles Seeding List of the division may be moved between major groups due to direct wins if players are separated by fewer than 50 ranking points.

**E. Doubles Seeding Criteria**

Seeding shall be done using the most recently published National Individual Doubles Seeding List of the division.

- Teams shall be seeded in the order of their combined standings (For example, Player A holds the #1 standing and Player B holds the #10 standing. The Team of A&B would have the combined standing of 11).
- Tournament Committees of 18-and-under tournaments may consider for seeding teams with players who have ATP/WTa rankings, ITF rankings, or ITA rankings.
- Teams may be moved within major groups (1-4, 5-8, 9-16, 17-32) due to direct wins if the teams are separated by fewer than 100 ranking points.
- Teams may be moved between major groups due to direct wins if teams are separated by fewer than 50 ranking points.

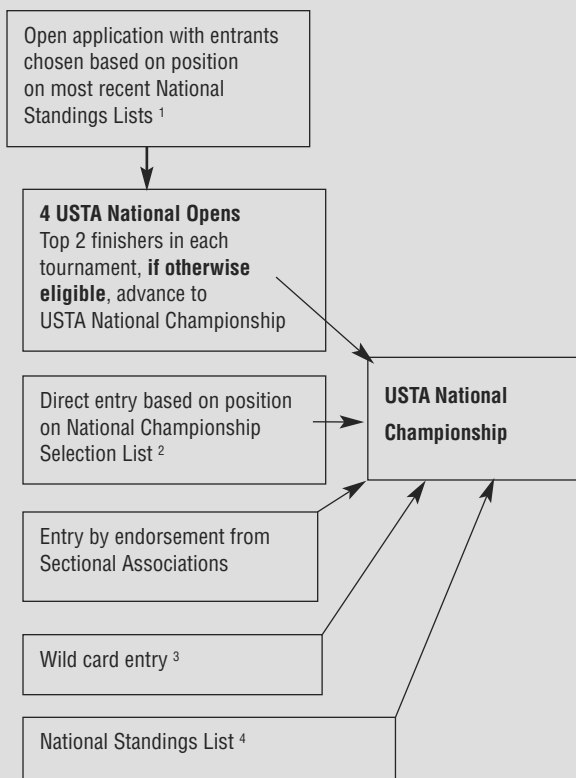
when there is inclement weather, health concerns, or safety concerns. The use of No-Ad scoring in USTA International Tournaments and ITF tournaments on the National Junior Tournament Schedule is controlled by ITF tournament regulations.

7. *Shortening USTA Junior National Championships and USTA Regional Tournaments.* The Director of Junior Competition or the Director's designee may authorize the Referee of a USTA Junior National Championship or a USTA Regional Tournament to use any match format not previously authorized (other than No-Ad scoring) because of:

- Inclement weather;
- Health concerns; or
- Safety concerns.

All matches in each round shall be played with the same format. Note, however, the shortening of USTA International Tournaments and ITF tournaments on the National Junior Tournament Schedule is controlled by ITF tournament regulations.

## Entry into USTA National Championship



1 Both the list of the division and the list of the next younger division are used.

2 For Boys' and Girls' 18 Divisions, players in positions 1 through 40 shall be accepted into a draw of 192, and players in positions 1 through 32 shall be accepted into a draw of 128.

For Boys' and Girls' 16, 14, & 12 Divisions, players in positions 1 through 24 shall be accepted into a draw of 192, and players in positions 1 through 16 shall be accepted into a draw of 128.

3 Limits on wild cards: 12 for draws of 192 and 8 for draws of 128.

4 Remaining spots filled with endorsed players on National Standings List.

**Fig. 13**

8. *Refund of entry fees.* The Tournament Committee shall refund a player's entire entry fee if:

- The player withdraws before the entry deadline;
- The entry is not accepted; or
- The withdrawal is for previously authorized entry into another tournament.

In all other cases, a refund is not required. The Tournament Committee shall not charge any fee (such as processing, service, or handling fee) for refunding the entry fee.

9. *USTA National Championships.*

a. *Entry.*

- i. *Means of entry.* Entries and entry fees must be received by the Tournament Committee by the date stated in the published entry information.

- ii. *Multiple entries allowed in USTA National Championships.* When entries close, a player may be entered in up to two age divisions of USTA National Championships. The USTA selects players for these age divisions in the following order: 18; 16; 14; and 12. If a player is entered in two age divisions and *is* selected into the older division, that player shall not be eligible for selection into the younger division. If a player *is not* selected for the older division, that player is eligible for selection in the younger division and is eligible to be an alternate in the younger division but not in the older division.

- iii. *Player may play in only one age division.* A player may play in only one age division in each group of USTA National Championships. If a player plays in singles and doubles, the player shall play in the same age division.

- b. *Eligibility of professionals.* Professional players who are age eligible may enter and play in all USTA National Championships.

c. *Draw size.*

- i. *18 and 16 Divisions.* In each of the 18 and 16 Divisions, two tournaments shall have a draw of 128 for singles and 64 for doubles and two tournaments shall have a draw of 192 for singles and 96 for doubles.

- ii. *14 and 12 Divisions.* Each tournament shall have a draw of 128 for singles and 64 for doubles.

- d. *National Championship Selection List.* The USTA shall publish National Championship Selection Lists for singles in each division with effective dates as of January 15, May 1, and October 1 of each year. Each list shall consist of players who are eligible for the next USTA National Championships as follows:

- i. *18 Divisions.* Players in the Boys' and Girls' 18 Divisions shall be listed in the following order:

- (A) Sixteen players who have played in the singles draw of at least:

- One National Ranking Tournament (as defined in **USTA Regulation IX.C.3.**) in the List's

division during the four months before the effective date of the List; and

- Two other National Ranking Tournaments in the List's division during the 12 months before the effective date of the List.

These players shall be listed in the order of strength of record calculated as of the effective date using the USTA computer ranking program.

- (B) Players not previously listed who have an ATP singles ranking of 1000 or better or who have a WTA singles ranking of 600 or better as of the effective date, which players shall be listed in the order of their ranking; and
- (C) Players not previously listed who have an ITF ranking of 100 or better as of the effective date, which players shall be listed in the order of their ranking.

- ii. *16, 14, and 12 Divisions.* Players who have played in the singles draw of at least:

- One National Ranking Tournament (as defined in **USTA Regulation IX.C.3.**) in the List's division during the four months before the effective date of the List; and
- Two other National Ranking Tournaments in the List's division during the 12 months before the effective date of the List.

These players shall be listed in the order of strength of record calculated as of the effective date using the USTA computer ranking program.

**FAC Comment IX.A-4:** The May 1 National Championship Selection List is used for both the USTA National Clay Court Championships and The USTA National Championships, *except that* shortly after selection for the USTA National Clay Court Championships is done, the list is republished and any player who is not age eligible for The USTA National Championships is removed and replaced with the next-highest eligible player.

- e. *Selection process for singles.* Each Tournament Committee shall accept players who have submitted timely entries and successful wild card applicants into the singles draw in the following order:

- i. *Players on National Championship Selection List.*

- *Boys' and Girls' 18 Divisions.* For a draw of 192, players on the List in positions 1 through 40 shall be accepted; and for a draw of 128, players on the List in positions 1 through 32 shall be accepted; or
- *Boys' and Girls' 16, 14, and 12 Divisions.* For a draw of 192, players on the List in positions 1 through 24 shall be accepted; and for a draw of 128, players on the List in positions 1 through 16 shall be accepted.

- ii. *Players who qualified from USTA National Open.* Players not previously selected who are among the top two singles finishers in each of the four immediately preceding USTA

National Opens shall be accepted, if otherwise eligible. A player who has been defaulted for *any* reason from the player's final scheduled singles match shall not be eligible. A player whose doubles team has been defaulted for *any* reason in the player's final scheduled doubles match shall not be eligible if, in the judgment of the Referee, the conduct of that player contributed to the default.

- iii. *Players within Sectional Associations' quotas.* Players not previously selected who are on the Sectional Endorsement Lists and within the quota established by **USTA Regulation IX.A.9.f.** shall be accepted in order as determined by their Sectional Endorsers. A Sectional Association's quota is never reduced because of players selected into the draw by any other method under **USTA Regulation IX.A.9.e.**

**FAC Comment IX.A-5:** The number of players that a Sectional Association receives into a draw may be reduced. For example, if a player within the quota withdraws after the draw has been made, the player is replaced by the highest listed player on the Alternate List. (See **USTA Regulation IX.A.9.e.ix.**)

- iv. *Endorsed players on National Standings List.* The Tournament Committee shall fill the remaining spots in the draw, except for those spots reserved for wild card recipients, with endorsed players remaining on the most recently published National Standings List in the order in which their names appear.
- v. *Wild cards.* As soon as practicable after the deadline for accepting wild card applications, the Wild Card Committee shall award no more than 12 wild cards in a draw of 192 and no more than eight wild cards in a draw of 128.
- vi. *Additional players on National Standings List.* If the Wild Card Committee awards fewer than the maximum number of wild cards, the Tournament Committee shall fill the remaining spots in the draw with endorsed players remaining on the National Standings List used to accept players into the draw pursuant to **USTA Regulation IX.A.9.e.iv.**
- vii. *Alternates.* The Tournament Committee shall prepare an alternate list of those players not accepted into the draw who come from two sources:
- The Sectional Endorsement Lists
  - The doubles finalists in each of the four immediately preceding USTA National Opens.

The Tournament Committee shall publish on the website the list of alternates in the order in which their names appear on the National Standings List used to accept players into the draw pursuant to **USTA Regulation IX.A.9.e.iv.**

**FAC Comment IX.A-6:** Only players who appear on the National Championship Selection List or a Sectional Endorsement List or who qualified from a USTA National Open may submit late entries. Late entrants shall be placed on the alternate list in the order received after the timely entries. Late entries received at the same time shall be ordered by lot. (See **USTA Regulation I.H.4.**)

- viii. *Replacement of player before draw made.* The Tournament Committee shall replace a player who withdraws or is otherwise removed from the draw before it has been made as follows:
  - *Player within Sectional Association quota.* The Tournament Committee shall replace a player who was accepted as part of a Sectional Association's quota with the next player on the Sectional Endorsement List from that player's Sectional Association.
  - *Player not within Sectional Association quota.* The Tournament Committee shall replace a player who was accepted into the draw *but not* as part of a Sectional Association's quota with the highest remaining alternate.
- ix. *Replacement of player after draw made but before start of player's first match.* The Tournament Committee shall replace a player who withdraws, is defaulted, or is otherwise removed from the draw after the draw has been made but before the start of the player's first match with the highest listed remaining alternate, *unless* the Tournament Committee determines the player may remain in the draw (see **USTA Regulations II.B.5.d. and IV.E.6**).
- f. *Sectional Associations' quotas.* Each Sectional Association shall be entitled to a quota of accepted players based on the ratio that the Sectional Association's junior membership enrollment bears to the total USTA junior membership enrollment. The USTA shall calculate the quota by multiplying this ratio by:
  - 100 for a draw of 192; or
  - 60 for a draw of 128.After filling the minimum quotas (see below), fractional values obtained from the above computation shall be rounded up in decreasing order until the tournament quota is filled. Enrollment shall be based on the aggregate totals in each Sectional Association and the USTA as of December 31 of the preceding year.
  - i. *Maximum quotas.* No Sectional Association shall have more than 16 quota entries in a draw of 128. There are no maximum quotas in draws of 192.
  - ii. *Minimum quotas.* Each Sectional Association shall have a quota of no less than two entries.
- g. *Sectional Associations' endorsement procedures.*
  - i. *Sectional Endorsers.* Each Sectional Association shall designate Sectional Endorsers who shall be familiar with the playing records of the junior players within their Sectional Associations.



- ii. *Sectional Endorsement List.* Sectional Endorsers shall provide each Tournament Committee with a Sectional Endorsement List of the best-qualified, eligible players who are available, reside within the Sectional Association, and are recommended by the Sectional Association. USTA members who reside in British Columbia and who meet the eligibility criteria of **USTA Regulation IX.A.2.** shall be deemed to be residents of the USTA Pacific Northwest Section. The Sectional Endorsement List shall rank the players in the order in which the Sectional Association believes their records place them. Sectional Endorsers may change the order of the endorsed players at any time before the entry deadline.
- iii. *Doubles-only endorsements.* Each Sectional Endorser may provide each Tournament Committee with a list of doubles players or teams that are endorsed solely to play in the doubles draw. The list shall rank the players or teams in the order in which the Sectional Association believes their records place them. Sectional Endorsers may change the order of the endorsed players or teams before the entry deadline.
- iv. *Playing up.* Sectional Associations shall allow a player to compete and be endorsed in any age division for which the player satisfies the age eligibility requirement.

**FAC Comment IX.A-7:** The residence of all players is based on domicile.

- h. *Wild cards.*
  - i. *Eligibility for wild cards.* Each wild card applicant must be in good standing with the applicant's Sectional Association and submit a timely wild card application. A player may be in good standing without being endorsed.
  - ii. *Application.* Players shall submit wild card applications and supporting documents to the Director of Junior Competition for receipt no later than five days after the entry deadline for the USTA National Championship.
  - iii. *Wild Card Committee.* The Wild Card Committee shall be comprised of the Coordinator of Junior Rankings, the Chairperson of the Junior Competition and Sportsmanship Committee, the Director of Junior Competition, the Director of Player Development or the Director's designee, and the Tournament Director of the applicable USTA National Championship. The Wild Card Committee shall consult with USTA Player Development staff and the player's Sectional Association before granting a wild card.

**FAC Comment IX.A-8:** Wild card applicants are encouraged to submit timely entries, but they are not required to do so. They are required to submit timely wild card applications.

- iv. *Criteria.* Applications for wild cards may be considered in extreme cases where extenuating circumstances have made the normal procedure of qualification and endorsement impossible. Wild cards also may be granted based on the recommendation of the USTA National Coaching Staff that a player has demonstrated significant potential at the national and international levels.
- i. *Players eligible for doubles.* All players selected for singles shall be eligible to enter doubles.

Players who reach the doubles finals and are finishers in a USTA National Open shall qualify for play in doubles in the next USTA National Championship, *provided* they remain otherwise eligible and have submitted timely entries. Players are not required to play with the same partner. A player who has been defaulted for *any* reason from the player's final scheduled match in singles shall not be eligible. A player whose doubles team has been defaulted for *any* reason in the player's final scheduled doubles match shall not be eligible if, in the judgment of the Referee, the conduct of that player contributed to the default.

Vacancies in the doubles draw may be filled by the Tournament Committee with alternates and players or teams receiving doubles-only endorsements pursuant to **USTA Regulation IX.A.9.g.iii.**

- j. *Singles draw formats.* In singles each tournament shall run either:
  - i. A compass draw with a playoff for third place; or
  - ii. A draw with a Feed-In Championship and a playoff for third place.

The Junior Competition and Sportsmanship Committee shall specify which of the two formats is used. If a draw with a Feed-In Championship is used, the USTA Player Development Division and Junior Competition and Sportsmanship Committee shall specify the round through which the losing players are fed into the Feed-In Championship and any additional playoff matches required. Each player shall play all matches unless the failure to do so is attributable to illness, injury, or personal circumstance.

**FAC Comment IX.A-9:** The format for 18 and 16 divisions is a Feed-In Championship that uses the standard bye placement method described in **USTA Regulation II.B.4.d.i.** and that feeds in players through the quarterfinals. The format for 14 and 12 divisions is a compass draw that guarantees each player at least four matches and with round-of-16 losers fed into a separate single elimination draw (silver) and the quarterfinal losers fed into another separate single elimination draw (gold).

- k. *Doubles draw format.* In doubles each tournament shall run a single elimination draw with a playoff for third place, *except* that the Junior Competition and Sportsmanship Committee may authorize a consolation draw at the request of the Tournament Committee. Each player shall play all matches, unless the failure to do so is attributable to illness, injury, or personal circumstance.

**TABLE 19**  
**Match Formats for 2013 Tournaments on the**  
**National Junior Tournament Schedule**

The chart shows the match formats that will be used at tournaments on the National Junior Tournament Schedule. A Referee must get the authorization of the Director of Junior Competition to use other formats to complete a tournament.		USTA National Chmps.	USTA National Masters	BG 18, 16, and 14 USTA National Opens and USTA Regional Tournaments	BG 16 Intersectional Team Championships	BG 12 USTA National Opens and USTA Regional Tournaments	USTA National Team Chmps. and USTA Zone Team Chmps.	USTA National Doubles Chmps.
<b>Main Draw Singles Formats<sup>1</sup></b>	Best of 3 tiebreak sets	x	x	x	x		x	
	Best of 5 tiebreak sets -The USTA Boys' 18 National Championships Only (Kalamazoo)	x						
	Best of 3 tiebreak sets with 10-Point Match Tiebreak in lieu of 3rd set					x		
<b>Consolation Singles Formats<sup>2</sup></b>	Best of 3 tiebreak sets		x					
	Best of 3 tiebreak sets with 10-Point Match Tiebreak in lieu of 3rd Set	x		x		x		
<b>Doubles Formats</b>	Best of 3 tiebreak sets	x <sup>3</sup>			x			x
	Best of 3 tiebreak sets with 10-Point Match Tiebreak in lieu of 3rd set	x <sup>3</sup>						
	8-game pro set with 7-Point Tiebreak at 8 games all			x		x	x	
<p><sup>1</sup> Playoff for third place is considered a main draw singles match.</p> <p><sup>2</sup> Consolation Singles includes all Feed-In Championship and non-East Draw matches in Compass Draw.</p> <p><sup>3</sup> The best of 3 tiebreak sets shall be used in the semifinal, final, and playoff for third place doubles matches at USTA National Championships. The match format for all other doubles matches shall be the best of 3 tiebreak sets with a 10-Point Match Tiebreak in lieu of a 3rd Set.</p> <p><b>USTA International Tournaments and ITF Tournaments on the National Junior Tournament Schedule</b> ITF tournament regulations are used to determine the match formats for USTA International Tournaments and ITF tournaments on the National Junior Tournament Schedule.</p>								

- l. *Separating players from same Sectional Association.* The singles draw shall avoid the presence in the same quarter of more than one of the four players with the highest standing from the same Sectional Association who are in the tournament, which standing shall be determined using the most recently published National Standings List. This regulation does not apply to wild card entries.
  - m. *Time when draws made.* The draws shall not be made more than seven days before the start of the tournament.
  - n. *Remaking draws.* If play has not begun, a draw may be remade:
    - If any one of the top four seeds withdraws; or
    - If two or more seeds withdraw.
  - o. *Match formats.* All main draw singles matches, third place singles playoffs, and main draw matches in compass draws shall be the best of three tiebreak sets, *except* that the final round of singles in The USTA Boys' 18 National Championships may be the best of five tiebreak sets. The 7-Point Tiebreak shall be used in all tiebreak sets of these matches. The Junior Competition and Sportsmanship Committee shall determine the match formats for all other matches and shall publish them on the USTA website and in **Table 19**.
10. *USTA National Opens.*
- a. *Entry.*
    - i. *Means of entry.* A player submits an entry and entry fee to the USTA and designates the player's order of preference among the four USTA National Open sites.
    - ii. *Multiple entries allowed.* A player may be entered in up to two age divisions of USTA National Opens when entries close. The USTA selects players for these divisions in the following order: 18; 16; 14; and 12. If a player is entered in two age divisions and *is* selected into the older division, that player shall not be eligible for selection into the younger division. If a player *is not* selected for the older division, that player is eligible for selection in the younger division and is eligible to be an alternate in the younger division but not in the older division.
    - iii. *Player may play in only one age division.* If a player plays in singles and doubles, the player shall play in the same age division.
  - b. *Draw size.* Each tournament shall have a draw of 32 for singles and 16 for doubles.
  - c. *Selection process.* Players shall be accepted into the draws and given preference in their choice of site in the following order:
    - i. Up to 16 players (up to four per draw) from the top 100 players on the most recently published National Standings List of the next-younger age division in the order in which their names appear on this list;
    - ii. Up to 112 players (up to 28 per draw) from the most recently published National Standings List for the age division in the order in which their names appear on this list;
    - iii. Players not yet in the draw whose names appear on the most recently published National Standings List of the age division in the order in which their names appear on this list;

- iv. Players not yet in the draw whose names appear on the most recently published National Standings List of the next-younger age division in the order in which their names appear on this list; and
- v. The remaining entrants in the order of the strength of their records. Players not selected for their first choice shall remain in the pool of applicants for entry into the other concurrent tournaments.
- d. *Alternates.* Those players who have not been selected after all four draws have been filled shall be placed in alternate pools at all concurrent tournaments for which the players indicated a preference. The Tournament Committees shall order the alternates as follows:
  - i. Players in positions up to 300 from the National Standings List of the division used to select players into the tournament in the order in which their names appear on this List;
  - ii. Players not already placed on the alternate list in positions up to 200 from the National Standings List of the next-younger division used to select players into the tournament in the order in which their names appear on this List;
  - iii. Players not already placed on the alternate list whose names appear on the National Standings List of the division used to select players into the tournament in the order in which their names appear on this List;
  - iv. Players not already placed on the alternate list whose names appear on the National Standings List of the next-younger division used to select players into the tournament in the order in which their names appear on this List; and
  - v. The remaining entrants in an order determined by lot.

**FAC Comment IX.A-10:** Late entrants into a USTA National Open shall be placed on the alternate list in the order received after timely entries. Late entries received at the same time shall be ordered by lot. (See **USTA Regulation I.H.4.**)

- e. *Acceptance into USTA National Open makes player ineligible for play in concurrent USTA National Open.* Once a player has been accepted into a USTA National Open, the player shall not be eligible for play in any other USTA National Open held concurrently, *except* that a player may submit a written request to move to another site within the same age division if the draw at the requested site is not full, the request is received by each Tournament Director no later than 10 days before the start date of the tournament, and each Tournament Director involved understands the circumstances and concurs in writing.
- f. *Publication of selection.* The USTA shall publish the names of the entrants and alternates.
- g. *Singles draw format.* In singles each tournament shall run a Feed-In Championship and playoff for third place. The USTA Player Development Division and Junior Competition and Sportsmanship Committee shall specify any additional playoff matches required,

the round through which the losing players are fed into the Feed-In Championship, and the round through which the Feed-In Championship is played. Each player shall play all matches unless the failure to do so is attributable to illness, injury, or personal circumstance.

**FAC Comment IX.A-11:** The format for the Feed-In Championship uses the standard bye placement method described in **USTA Regulation II.B.4.d.i.**, feeds in players through the quarterfinals, and is played through the semifinals. The final is not played.

- h. *Doubles draw format.* In doubles each tournament shall run a single elimination draw and playoff for third place. Each player shall play all matches unless the failure to do so is attributable to illness, injury, or personal circumstance.
  - i. *Time when draws made.* The draws shall not be made more than seven days before the start of the tournament.
  - j. *Remaking draws.* If play has not begun, a draw may be remade:
    - If any one of the top four seeds withdraws; or
    - If two or more seeds withdraw.
11. *USTA National Doubles Championships, USTA National Masters, USTA Team Championships, USTA Regional Tournaments, and USTA International Tournaments.*
- a. *Tournament regulations for USTA National Doubles Championships, USTA National Masters, USTA Team Championships and USTA Regional Tournaments.* Regulations for USTA National Doubles Championships, USTA National Masters, USTA Team Championships and USTA Regional Tournaments shall be prepared by the Junior Competition and Sportsmanship Committee and the USTA Player Development Division and shall be published.
  - b. *Use of ITF tournament regulations at USTA International Tournaments.* USTA International Tournaments shall use ITF tournament regulations. The list of USTA International Tournaments shall be published. (See **Table 20**.)

**TABLE 20**  
**USTA International Tournaments**

- USTA International Spring Championships—16 Divisions
- Eddie Herr International Junior Tennis Championship—16, 14, & 12 Divisions
- Nike Junior Tour USTA National Masters—14 & 12 Divisions
- American Cup—18, 16, 14, & 12 Divisions
- Dunlop Orange Bowl International Championships—16 Divisions
- Junior Orange Bowl International Championships—14 & 12 Divisions

- c. *Coaching in USTA Team Championships.* Coaching shall be allowed only in USTA Team Championships. Only the designated team coach shall be allowed to coach. Each team may have only one designated coach. Coaching may take place during changeovers and shall be

TABLE 21 Suspension Points		
	Violation	Suspension Points
Code Violations	Each <b>code violation</b> (point, game, or default) <i>except that</i> players who receive code violations because of delay immediately after a medical timeout or because of obvious cramping are not assessed suspension points.	2
Defaults*	<b>Default</b> for flagrant unsportsmanlike conduct on or off court	8
	<b>Default</b> for refusal to play or continue to play (for reasons other than illness, injury, or personal circumstance)	5
	<b>Default</b> because of an adult discipline	5
	<b>Default</b> for no-show	5
	<b>Default</b> for late arrival	1
	<b>Disqualification</b> for ineligibility	3
	*Suspension points for defaults are in addition to points previously assessed for code violations.	
Improper Entry or Withdrawal	Being entered when entries close in two or more sanctioned tournaments scheduled to overlap unless each Tournament Committee approves the <b>multiple entries</b> in writing	5
	<b>Withdrawal</b> from tournament after entries closed for reason other than injury, illness, personal circumstance, or previously authorized entry into another tournament	4
Unsportsmanlike Conduct	<b>Unsportsmanlike conduct</b> or inappropriate conduct on court after a match or off court at locations such as the tournament site, hotel, housing, or tournament sponsored event	5
	Not using <b>best efforts</b> to win	2
Gross Misconduct	<b>Physical violence</b> against another person on or off court by a player, relative, coach, or other person associated with a player	10
	Illegal use or possession of <b>drugs</b>	8
	Possessing or drinking <b>alcoholic beverages</b>	8
	Gambling activity (see <b>USTA Regulation IV.C.19.</b> )	8
	<b>Destruction of property</b>	9
Playing Under Suspension	Playing in any sanctioned tournament while <b>suspended</b> by USTA or one of its Sectional Associations	10
ITF Suspension Points	Each <b>suspension point assessed at ITF tournaments and USTA International Tournaments</b> becomes one suspension point under the USTA Suspension Point System	1

conducted in an unobtrusive manner at the fence line with the coach outside the playing area while the player remains inside the playing area.

12. *Scheduling requirements.* The scheduling guidelines in **USTA Regulation II.D.9.** and **Table 8** and the order for canceling matches in **USTA Regulation III.G.5.a.** are mandatory for USTA Junior National Championships and USTA Regional Tournaments. Scheduling requirements for USTA International Tournaments and ITF tournaments on the National Junior Tournament Schedule are controlled by the ITF tournament regulations.

## **B. Junior National Suspension System**

1. *Application.* The USTA Suspension Point System shall apply to tournaments listed on the National Junior Tournament Schedule. It applies to conduct:
  - During all matches (main draw, compass draw, consolation, qualifying, and doubles);
  - During tournament activities;
  - At tournament facilities; and
  - At facilities, such as hotels, dormitories, and homes where players stay.

Additionally each suspension point assessed at ITF tournaments becomes one suspension point under the USTA Suspension Point System.

2. *Suspension points.* The USTA Junior and Collegiate Competition Department shall record suspension points upon being notified of the violations listed in **Table 21**.

**FAC Comment IX.B-1:** When a violation occurs that involves a doubles team, suspension points shall be assessed only to the doubles player who was responsible for the violation.

3. *Persons authorized to file suspension point reports with Referee.* The following persons are authorized to file suspension point reports with the Referee for offenses they personally witness:
  - Tournament Committee members
  - Chief Umpire
  - Deputy Referees
  - On-court officials (including Roving Officials)
  - Court Monitors, if specifically authorized by the Referee
  - Club or facility managers
  - Hotel or dormitory managers
  - Families housing players
  - Sectional or national junior staff

**FAC Comment IX.B-2:** The USTA Junior and Collegiate Competition Department, 10399 Flores Drive, Boca Raton, FL 33428, has forms that are used to report code violations and suspension points that are assessed. Suspension points are assessed by the Referee, sectional junior staff, or national junior staff. They are not assessed by the Tournament Director, Roving Umpires, Chair Umpires, or Line Umpires.



4. *Notification to Director of Junior Competition and Sectional Associations of points levied.* The Tournament Committee shall promptly inform the Director of Junior Competition (hereinafter "Director") of any points levied against any player (foreign or American). The Director shall then inform the player's Sectional Association of the suspension points and any resulting suspension, and the Sectional Association shall have the opportunity to submit, on behalf of the player, any additional information for consideration. Failure of the Director to notify a Sectional Association of suspension points and any resulting suspension shall not invalidate the points or the suspension.
5. *Player suspension.* The Director shall suspend players according to the following schedule:
  - a. *First suspension.* If a player receives 10 suspension points within a 12-month period, the player shall be suspended from competing in USTA-sanctioned tournaments for three months. (If no USTA National Championship falls during the 3-month period, the player shall not be accepted into the USTA National Championship immediately following the 3-month suspension period.) Upon suspension these 10 points shall be cleared from the player's record. All other suspension points shall remain on the player's record and count towards a second suspension.
  - b. *Second suspension.* If a player receives 8 suspension points within the 12 months immediately following the first suspension, the player shall be suspended from competing in USTA-sanctioned tournaments for six months. Upon suspension these 8 points shall be cleared from the player's record. All other suspension points shall remain on the player's record and count towards a third suspension.
  - c. *Subsequent suspensions.* If a player receives 6 suspension points within the 12-month period immediately following the second suspension (and any suspensions subsequent to the second suspension), the player shall be suspended from competing in USTA-sanctioned tournaments for a period to be determined by the Director, the Chairperson of the Junior Competition and Sportsmanship Committee, and one additional member of the Junior Competition and Sportsmanship Committee to be appointed by the Chairperson. The suspension period shall not be less than one year and may be up to the remainder of the player's junior career. These 6 points shall be cleared from the player's record.
6. *Written notice to player.* After the Director has determined that a player should be suspended, the Director shall send a notice by first class mail and by certified mail (return receipt requested) to the player at the address shown on the records of the USTA Membership Department. The notice shall set forth:
  - That the player is being suspended;
  - The length of the suspension;
  - The player's right to appeal the suspension under **USTA Regulation IX.B.8.**;
  - The procedure for appealing the suspension; and

- A statement that if the player chooses not to appeal the suspension, then the suspension shall begin 21 days after the date the notice was mailed.
7. *Player may request that suspension start immediately.* When a player accumulates sufficient points to be suspended, the player may request that the suspension period begin immediately. The player shall mail the request by certified mail, return receipt requested, to the Director. If the Director confirms that the player has accumulated sufficient points to be suspended, the suspension shall begin on the date that the certified notice was mailed.
  8. *Right to appeal suspension to USTA Grievance Committee.* A player shall have the right to appeal a suspension to the USTA Grievance Committee in accordance with **USTA Bylaw 43**. Absent good cause shown, any appeal shall be barred unless it is made in writing within 21 days of the Director's mailing the notice of suspension and shall be mailed first class, certified, or registered mail to the Grievance Chairperson, c/o USTA Executive Director, 70 West Red Oak Lane, White Plains, New York 10604.
  9. *Effective date of suspension.*
    - a. If an appeal of a suspension is not made to the USTA Grievance Committee, the suspension begins 21 days after the Director mails the certified notice of suspension to the player or such earlier date as may be requested in writing by the player under **USTA Regulation IX.B.7**.
    - b. If an appeal of the suspension is made to the USTA Grievance Committee and if the initial decision affirms the suspension, the suspension begins on the date the decision is mailed unless the decision states otherwise or unless a stay is granted.

### **C. Junior National Rankings**

1. *Divisions ranked.* The USTA shall publish rankings in the Boys' and Girls' 18, 16, 14, and 12 Divisions.
2. *Eligibility for national rankings.* Players eligible to play in USTA Junior National Championships are eligible for a national ranking. (See **USTA Regulation IX.A.2.a.** for these requirements.)
3. *National Ranking Tournaments.* The following tournaments shall be known as National Ranking Tournaments and only results from these tournaments shall be considered for junior national rankings:
  - USTA National Championships;
  - USTA National Doubles Championships;
  - USTA National Masters;
  - USTA National Opens;
  - USTA Team Championships;
  - USTA Regional Tournaments;
  - USTA International Tournaments;
  - ITF tournaments listed on the National Junior Tournament Schedule;
  - Sectional Ranking Tournaments (as defined in **USTA Regulation IX.C.4.**); and
  - Additional tournaments authorized by the Junior Competition and Sportsmanship Committee in consultation with the USTA Player Development Division. (See **Table 22.**)

**TABLE 22**  
**Additional National Ranking Tournaments**

- USTA Jr. Team Tennis Advanced Division National Championships
- Australian Open Junior Championships\*
- French Open Junior Championships\*
- Wimbledon Junior Championships\*
- Canadian Junior International Championships\*

*\*Players earn only bonus points in the 18s Divisions.*

4. *Sectional Ranking Tournaments.* Each Sectional Association shall designate 12 Sectional Ranking Tournaments during the year. The relative weights of these tournaments shall be determined as set forth in **USTA Regulation IX.C.6.**, *provided, however*, that each Sectional Association shall be assigned the same number of tournaments with the same relative weights and ranking points accumulated for winning rounds.
5. *Ranking points.* Players shall earn ranking points in singles and doubles as follows:
  - i. *Points Per Round.* Points Per Round are those points that are awarded based on the round that the player or team reached.
  - ii. *Bonus points.* Bonus points are those points that are awarded based on wins over highly ranked players.
6. *Assignment of ranking points.* Annually the Junior Competition and Sportsmanship Committee and the USTA Player Development Division shall determine:
  - The Points Per Round that shall be assigned to each round in each National Ranking Tournament; and
  - The bonus points awarded, if any, for wins over highly ranked players.
 The assigned ranking points shall be published on the USTA website.
7. *Combined rankings.* Junior national rankings are based on singles and doubles ranking points. A player's junior national ranking shall be calculated by adding:
  - 100% of the ranking points earned in singles from the six National Ranking Tournaments in which the player received the most singles ranking points; and
  - A percentage of the ranking points earned in doubles from the six National Ranking Tournaments in which the player received the most doubles ranking points, which percentage shall be determined by the Junior Competition and Sportsmanship Committee. The percentage shall be published on the USTA website.

**FAC Comment IX.C-1:** The percentage assigned to doubles is 15%.

8. *Treatment of byes, withdrawals, walkovers, disqualifications, defaults, and retirements.*
  - a. *Byes.* A player who advances because of a bye does not receive Points Per Round for advancing.
  - b. *Withdrawals, walkovers, and disqualifications.* A player who advances because of a withdrawal, walkover, or disqualification shall

receive Points Per Round for advancing but shall not receive any bonus points.

- c. *Defaults before first point of match.* A player who advances because of a default before the first point of a match shall receive Points Per Round for advancing but shall not receive any bonus points. Examples of these defaults include, but are not necessarily limited to, defaults for:
- Lateness for the start of a match;
  - Failure to show up for a match;
  - Refusal to start a match;
  - Code violations under the Point Penalty System occurring during the warm-up; and
  - Misconduct before a match or between matches.
- d. *Defaults after first point of match.* A player who advances because of a default after the first point of the match receives Points Per Round and any bonus points that apply to the match. Examples of these defaults include, but are not necessarily limited to, defaults for:
- Code violations under the Point Penalty System;
  - Lateness after a rest period;
  - Lateness for resumption of a suspended match; and
  - Refusal to continue to play a match.
- e. *Player defaulted for misconduct or code violations receives no ranking points.* A player who has been defaulted for misconduct or code violations under the Point Penalty System shall not receive any ranking points that the player had accumulated in the tournament, *provided that* a doubles player who was not responsible for the default or any of the code violations shall not be penalized.

**FAC Comment IX.C-2:** A player who is defaulted because of a code violation for delay caused by obvious cramping (or another medical condition that makes it difficult to resume play), normally should not lose ranking points.

- f. *Retirements.* A player who advances because of the opponent's retirement shall receive Points Per Round for advancing and any bonus points.
9. *Matches generally may count in only one division.* No match may count for ranking in more than one division, *except that* results in the Boys' and Girls' 18 Divisions from the USTA National Championships shall be considered in the Boys' and Girls' 18 Divisions, the Adult Open Men's and Women's ranking categories, and the Professional/Adult Open Men's and Women's ranking categories.
10. *Players ranked.* All players who received at least 200 ranking points shall be ranked.
11. *Ranking lists in Junior Divisions shall show birth dates of players.* All ranking lists for the junior age divisions shall show the date of birth of each player ranked as officially recorded on the USTA membership records.
12. *Responsibilities of junior players seeking national ranking.* Players shall be responsible for regularly reviewing their player records and shall promptly submit corrections to their player records to the USTA Junior

and Collegiate Competition Department. All corrections must be submitted no later than January 30.

#### **D. Junior National Ranking Committees**

1. *USTA President shall establish national ranking committees.* The USTA President shall establish national ranking committees under the Junior Competition and Sportsmanship Committee to rank the divisions that are required to be ranked under **USTA Regulation IX.C.1**. The USTA President may appoint a Junior Ranking Coordinator to coordinate all national rankings in these divisions. Subject to the approval of the USTA President, vacancies in the Junior Ranking Coordinator position or on the ranking committees may be filled by the chairperson of the Junior Competition and Sportsmanship Committee. If the chairperson is unable to fill the vacancy, the Junior Ranking Coordinator, subject to the approval of the USTA President, shall fill the vacancy.
2. *Committee size.* Each ranking committee shall have at least two and no more than five members inclusive of its chairperson. If a ranking committee has an even number of members, the Junior Ranking Coordinator may act as an additional member of the committee.
3. *Tentative rankings.* This is the first ranking determined by junior ranking committees for both singles and doubles play. The tentative rankings and the individual player records shall be published by January 15 of each year. These tentative rankings have no official standing until certified by the Junior Ranking Review Board.
4. *Corrections must be received no later than January 30.* Any corrections to a player's record must be received by the chairperson of the appropriate junior ranking committee no later than January 30 of each year.

#### **E. Junior National Ranking Review Board**

1. *Composition.* The Junior National Ranking Review Board shall consist of a chairperson and two to four individual ranking committee members, all of whom shall be appointed by the USTA President. If an appeal comes before the Junior National Review Board in a division in which its chairperson is a member, the chairperson may not consider that appeal.
2. *Meetings.* The Junior National Review Board shall meet to finalize the tentative rankings. Meetings may be held by telephone conference call or other communication equipment that allows all persons participating to hear each other.
3. *USTA staff shall provide relevant data.* The USTA staff shall provide all relevant data to the Junior National Ranking Review Board.
4. *Final rankings issued by March 1.* These are the singles and doubles rankings in all divisions that have been certified as official USTA rankings by the Junior Ranking Review Board and shall be subsequently published. These final junior rankings are determined after corrected data have been received and acted upon by junior ranking committees. Final junior rankings are not subject to appeal. The Junior National Ranking Review Board shall issue the final rankings by March 1.

#### **F. Confidentiality of Ranking Information**

Members of national ranking committees and the Junior National Ranking Review Board shall not disclose any results of their deliberations or any other information concerning the rankings before their release by the USTA.

## G. Eligibility for ITF International Team Competitions

To be eligible to play on a U.S. international team, a player must meet all ITF eligibility requirements.

Once a player has accepted a nomination for a non-U.S. international team, the player may not compete on a U.S. international team *unless* reinstated by the USTA. (See **USTA Regulation IX.A.2.c.** for reinstatement of eligibility for a U.S. international team.) Reinstatement applies to U.S. citizens who reside in Puerto Rico, U.S. Virgin Islands, American Samoa, Guam, or the Northern Mariana Islands, and accept a nomination for their respective team.

**FAC Comment X.G-1:** To be endorsed by the USTA into ITF international team competition, ITF regulations require that a player be a citizen as evidenced by possession of a valid U.S. passport, Certificate of Naturalization, or other documentation acceptable to the ITF. Additional ITF eligibility requirements apply. See the ITF Junior Circuit Regulations.

## **X. ADULT, SENIOR, AND FAMILY NATIONAL TOURNAMENTS AND RANKINGS**

### **A. Adult, Senior, and Family National Tournament Regulations**

1. *Overview of Adult, Senior, and Family National Tournament System.* Annually the USTA shall publish the National Adult, Senior, and Family Tournament Schedule, which shall include the following tournaments:
  - a. *Category I USTA National Championships—Annual.* The USTA shall sanction at least one Category I USTA National Championship in each of the divisions set forth below. Category I USTA National Championships may be sanctioned on hard, grass, clay, or indoor surfaces.
    - i. *Adult Divisions.* Men's and Women's Open and 30.
    - ii. *Senior Divisions.* Men's and Women's 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, and 90.
    - iii. *Family Divisions.*
      - Father-Son, Father (60 & Over)-Son, Father (70 & Over)-Son, Father (80 & Over)-Son;
      - Father-Daughter, Father (60 & Over)-Daughter, Father (70 & Over)-Daughter, Father (80 & Over)-Daughter;
      - Mother-Son;
      - Mother-Daughter, Mother (60 & Over)-Daughter, Mother (70 & Over)-Daughter;
      - Husband-Wife Mixed, Husband-Wife Mixed (Combined Ages 100 Years), Husband-Wife Mixed (Combined Ages 120 Years), Husband-Wife Mixed (Combined Ages 140 Years); and
      - Grandfather-Grandson.
  - b. *Category I USTA National Championships—Optional.* The USTA may sanction one or more Category I USTA National Championship in each division below. These Category I USTA National Championships may be sanctioned on hard, grass, clay, or indoor surfaces.
    - i. *Adult Divisions.* Mixed and 30 Mixed.
    - ii. *Senior Divisions.* 40 Mixed, 50 Mixed, and 60 Mixed.

**FAC Comment X.A-1:** Although Category I USTA National Championships are sanctioned in the Mixed, 30 Mixed, 40 Mixed, 50 Mixed, and 60 Mixed Divisions, there are no rankings in these divisions.

- c. *USTA National Intersectional Team Events.* Annually the Adult and Senior Competition Committee shall sanction USTA National Intersectional Team Events in divisions as determined by the Committee.
- d. *Super Category II USTA National Tournaments and Category II USTA National Tournaments.* Super Category II USTA National Tournaments and Category II USTA National Tournaments are sectionally sanctioned tournaments that are selected by the Adult and Senior Competition Committee and the USTA Competitive Tennis Department for inclusion on the National Adult, Senior, and Family Tournament Schedule. The Adult and Senior Competition Committee, in consultation with the USTA Competitive Tennis Department, shall determine the selection criteria.

**FAC Comment X.A-2:** Some Super Category II USTA National Tournaments and some Category II USTA National Tournaments are also sanctioned by the ITF. These tournaments are played under ITF Seniors Regulations.

- 2. *Seeding for Category I USTA National Championships, Super Category II USTA National Tournaments, and Category II USTA National tournaments.*
  - a. *All Factors Method.* The All Factors Method of seeding described in **USTA Regulation II.A.4.a.** shall be the method of seeding.
  - b. *Number of seeds.* The number of players seeded shall be as set forth in **Table 23**.

**TABLE 23**  
**Number of Seeds for Category I USTA National Championships,  
Super Category II USTA National Tournaments, and  
Category II USTA National Tournaments**

The number of players seeded shall be 1, 2, 4, 8, 16, or 32; and the maximum number of seeds shall be as follows:	
• Draw of 128 with 97-128 players	32 seeds
• Draw of 128 with 65-96 players	16 seeds
• Draw of 64 with 33-64 players	16 seeds
• Draw of 32 with 17-32 players	8 seeds
• Draw of 16 with 9-16 players	4 seeds
• Draw of 8 with 5-8 players	2 seeds
• Draw of 4 with 3-4 players	2 seeds

**FAC Comment X.A-3:** The Tournament Committee should seed the maximum number of players when adequate seeding information is available, but should not do so when information is not available.

- 3. *Tournament regulations for Category I USTA National Championships.* All Category I USTA National Championships that are sanctioned by the ITF shall be played under ITF Seniors Regulations and shall follow the requirements listed below when they are not inconsistent with ITF Seniors Regulations. Any Category I USTA National Championship that is not sanctioned by the ITF shall follow the requirements listed below.
  - a. *Citizenship not required.* Category I USTA National Championship shall be open to citizens of the United States and non-citizens.
  - b. *Draw regulations.* Each Category I USTA National Championship shall be required to offer singles and doubles in the divisions for which the tournament has been sanctioned, *except* for Category I USTA National Championships in the Adult Mixed, Senior Mixed, and Family Divisions, which are only required to hold doubles.
    - i. *Draw sizes.* Draw sizes shall be determined by the Tournament Committee. Selection for draws with limited draw



sizes shall be done as set forth in **USTA Regulation I.H.4.**, *except that* the Tournament Committee has the discretion to hold a qualifying draw. Wild cards may not be awarded.

**FAC Comment X.A-4:** Matches played in qualifiers for Category I USTA National Championships do not count for national ranking, but they do count for meeting the minimum participation requirements if the qualifier is sanctioned as part of the Category I USTA National Championship.

- ii. *Consolation draws required.* Category I USTA National Championships shall include consolation draws in singles, doubles, and mixed doubles. The Tournament Committee shall determine the consolation format that shall be used.
- iii. *Playoff for third place.* Category I USTA National Championships shall have the losing semifinalists in all events (singles, doubles, and mixed doubles) playoff for third place.
- c. *Match formats.* All matches played in Category I USTA National Championships shall use one of the following formats:
  - The best of three tiebreak sets; or
  - The best of five tiebreak sets.

**FAC Comment X.A-5:** **USTA Regulation X.E.5.** states that only the matches played with the formats set forth in **USTA Regulation X.A.3.c.** shall count for national ranking, *except* when an alternate match format has been authorized in advance by the Chairperson of the Adult and Senior Competition Committee or the Chairperson's designee. (See also **USTA Regulations X.A.2.d.** and **g.**)

- d. *No-Ad scoring may be used.* Category I USTA National Championships may use No-Ad scoring.
- e. *Playing semifinals and finals on sanctioned surface.* The semifinal and final round matches shall be played on the surface on which the tournament has been sanctioned unless more than 24 hours of inclement weather or other cause prevents these matches from being played on the sanctioned surface. For purposes of this regulation, the designation "hard courts" includes all types of hard courts, and the designation "clay courts" includes all types of clay courts.
- f. *Scheduling.*
  - i. *Limit on number of matches per day.* The scheduling guidelines in **USTA Regulation II.D.9.** and **Table 9** are mandatory for all Category I USTA National Championships.
  - ii. *Order of play.* On any day of play, players' matches should be scheduled as follows:
    - All singles main draw matches should take precedence over all consolation matches;
    - The first singles consolation match of the day may be scheduled before a main draw doubles or main draw mixed doubles match; and
    - The first main draw doubles match of the day should be scheduled before a second singles consolation match.

For the purpose of this regulation, playoff matches for third place are considered part of the main draw.

- g. *Shortening tournaments.* The Chairperson of the Adult and Senior Competition Committee or the Chairperson's designee may authorize the Referee of a Category I USTA National Championship to use a match format not previously authorized because of:

- Inclement weather;
- Health concerns; or
- Safety concerns.

All matches in each round shall be played with the same format. Note, however, the shortening of any Category I USTA National Championship sanctioned by the ITF is controlled by ITF Seniors Regulations.

- h. *Practice courts.* Practice courts should be available on the day before the start of the tournament and on each day during the tournament.

4. *Tournament regulations for USTA National Intersectional Team Events.*

- a. *Scheduling guidelines and match limitations.* The scheduling guidelines and match limitations set forth in **USTA Regulations II.D.9.** and **Table 9** shall not apply to players who enter both singles and doubles.
- b. *Tournament Directors' discretion.* Tournament Directors may impose entry and scheduling limitations, provided they are announced before the start of the tournament.
- c. *Coaching.* Coaching shall be allowed in USTA National Intersectional Team Events.
- d. *Other regulations.* Other regulations for the USTA National Intersectional Team Events shall be prepared by the Adult and Senior Competition Committee and the USTA Competitive Tennis Department and shall be published.

## **B. Divisions and Categories Ranked**

The USTA shall issue rankings in the:

1. *Top 500 categories.* Men's and Women's Top 500 categories.
2. *Adult Divisions.* Men's and Women's 30 Divisions.
3. *Senior Divisions.* Men's and Women's 35, 40, 45, 50, 55, 60, 65, 70, 75, 80, 85, and 90 Division.
4. *Family Divisions.*
  - Father-Son, Father (60 & Over)-Son, Father (70 & Over)-Son, Father (80 & Over)-Son;
  - Father-Daughter, Father (60 & Over)-Daughter, Father (70 & Over)-Daughter; Father (80 & Over)-Daughter;
  - Mother-Son;
  - Mother-Daughter, Mother (60 & Over)-Daughter; Mother (70 & Over) Daughter;
  - Husband-Wife Mixed; Husband-Wife Mixed (Combined Ages 100 Years); Husband-Wife Mixed (Combined Ages 120 Years); Husband-Wife Mixed (Combined Ages 140 Years); and
  - Grandfather-Grandson.

### C. Eligibility for National Ranking

In the Top 500 categories and the Adult, Senior, and Family Divisions, only the following categories of persons are eligible to receive a national ranking:

1. *U.S. Citizens.* Citizens of the United States.
2. *Certain aliens.*
  - *Permanent resident aliens.* Permanent resident aliens of the United States.
  - *Diplomats.* Aliens who have resided in the United States continuously for more than one year and who are members of families of persons in the diplomatic or consular corps.
  - *Aliens with Refugee Status.* Aliens who have been granted Refugee Status.
  - *Aliens with Asylee Status.* Aliens who have been granted Asylee Status.
  - *Aliens with Temporary Protected Status.* Aliens who have been granted Temporary Protected Status.
  - *Aliens with Adjustment Status.* Aliens whose I-485 (Application to Register Permanent Resident or Adjust Status) has been accepted for filing.
  - *British Columbians.* Canadian citizens and landed Canadian immigrants who reside in British Columbia, *provided that* they were USTA members for at least one month during 2010 and so long as they do not allow their USTA memberships to lapse for a period of more than six months.

**FAC Comment X.C-1:** Documents commonly used to support a particular alien status include a permanent resident alien card (green card), Form I-94, or a receipt confirming filing of the I-485.

### D. Top 500 National Rankings

1. *Points Per Round Ranking System.* Rankings in the Top 500 Men's and Women's singles ranking categories shall be based on a points per round ranking system. The order of players on the ranking list shall be based on ranking points earned during the previous 12-month period. Annually the USTA Player Development Department shall determine:
  - The tournaments that shall be considered for ranking;
  - The ranking points that shall be assigned to each round in each tournament;
  - How byes, withdrawals, walkovers, disqualifications, defaults, and retirements are handled;
  - How ranking ties are broken; and
  - The number of times and dates on which the rankings shall be published each year.

Annually, the USTA Player Development Department shall publish this information on the USTA website.

2. *Corrections.* Player records shall be published on the USTA website. Players should check their records and submit verifiable additions and corrections to the USTA Player Development Department. Periodic updates to the rankings may be published after additions and corrections are verified.

**FAC Comment X.D-1:** The Top 500 ranking list is used by the ITF to determine the Top 500 ranked players for the purposes of selection into qualifying for ITF professional tournaments.

## **E. Adult, Senior, and Family National Rankings**

1. *Adult, Senior, and Family ranking period.* The Adult, Senior, and Family ranking period for all players and teams shall be January 1 through December 31.
2. *National Ranking Tournaments.* The following tournaments shall be known as National Ranking Tournaments in the Adult, Senior, and Family ranking divisions and only results from these tournaments shall be considered for ranking:
  - Category I USTA National Championships
  - Super Category II USTA National Tournaments
  - Category II USTA National Tournaments
  - USTA National Intersectional Team Events
  - Sectional Championships (as defined in **USTA Regulation X.E.3.**)
  - Local Tournaments (as defined in **USTA Regulation X.E.4.**)
3. *Sectional Championships.* These are tournaments sanctioned by the Sectional Associations as Sectional Championships in the Adult, Senior, and Family Divisions that are not Super Category II USTA National Tournaments or Category II USTA National Tournaments.
4. *Local Tournaments.* Local Tournaments are tournaments sanctioned by Sectional Associations or District Associations in the Adult, Senior, and Family Divisions that are neither Super Category II USTA National Tournaments, Category II USTA National Tournaments, nor Sectional Championships.
5. *Matches considered for national ranking.* Only matches in National Ranking Tournaments that use the scoring system specifically described in **Rules 5, 6, and 7** and No-Ad scoring described in **Appendix IV** of the **ITF Rules of Tennis** and that are the best of three sets or the best of five sets shall be considered for ranking *except that*:
  - Matches that use other formats in Category I USTA National Championships shall be considered for ranking if their use is authorized *in advance* by the Chairperson of the Adult and Senior Competition Committee or the Chairperson's designee; and
  - Matches that use other formats in all National Ranking Tournaments shall be considered for ranking unless their use for ranking is prohibited by the Adult and Senior Competition Committee.
6. *Points Per Round ranking system.*
  - a. *Ranking points.* Players shall earn ranking points in National Ranking Tournaments based on a Points Per Round ranking system. Annually the Adult and Senior Competition Committee shall determine the ranking points that shall be assigned to each round in each tournament and for participating in each tournament. This information shall be published on the USTA website.
  - b. *Relative weights of National Ranking Tournaments.*
    - i. *USTA National Intersectional Team Events.* Annually the

Adult and Senior Competition Committee shall determine the points for winning matches in the USTA National Intersectional Team Events.

- ii. *Relative weights of National Ranking Tournaments other than Team Events.* The relative ranking weights of tournaments other than USTA National Intersectional Team Events are listed in **Table 24**.

<b>Table 24</b> <b>Relative Ranking Weights of National Ranking Tournaments</b> <b>other than Team Events</b>	
<b>Tournament Type</b>	<b>Relative Ranking Weight</b>
Category I USTA National Championship	10
Super Category II USTA National Tournaments	5
Category II USTA National Tournaments	4
Sectional Championships	2
Local Tournaments	1

- c. *Treatment of byes, withdrawals, walkovers, disqualifications, defaults, and retirements.*
  - i. *Byes.* A player who advances because of a bye does not receive ranking points for advancing.
  - ii. *Withdrawals.* A player who advances because of a withdrawal shall receive ranking points for advancing.
  - iii. *Walkovers.* A player who advances because of a walkover shall receive ranking points for advancing.
  - iv. *Disqualification.* A player who advances because of a disqualification shall receive ranking points for advancing.
  - v. *Defaults.* A player who advances because of a default shall receive ranking points for advancing.
  - vi. *Retirements.* A player who advances because of the opponent's retirement shall receive ranking points for advancing.
- d. *Breaking ranking ties.* If players or teams receive the same number of ranking points, ties shall be broken using the following steps in the order listed. As many ties as possible shall be broken before using the next step:
  - Most points earned in Category I USTA National Championships;
  - Most points earned in Super Category II USTA National Tournaments and Category II USTA National Tournaments; and
  - Most points earned in any other National Ranking Tournament.
7. *Calculating rankings in Adult and Senior Divisions.* Subject to the following limitations, singles rankings, team doubles rankings, and individual doubles rankings in the Adult and Senior Divisions shall be based on the total ranking points received during a calendar year for up to four tournaments in which the player or team received the most ranking points:

- Players and teams must meet the minimum tournament participation requirements listed in **Table 25** to be ranked.
- Ranking points earned at no more than two Sectional Championships shall be counted for a national ranking.
- Ranking points earned in at least one Category I USTA Championship shall be included among the National Ranking Tournaments that count for national ranking.
- Only those players or teams who receive at least 50 ranking points shall be ranked.

**FAC Comment X.E-1:** There are no rankings in the Adult or Senior Mixed Doubles Divisions.

8. *Calculating rankings in Family Divisions.* Each player or team must play in one Category I USTA National Championship in order to receive a national ranking (see **Table 25**). Family rankings shall be based on the total ranking points received during a calendar year from the four National Ranking Tournaments in which the player or team received the most ranking points, *except that*:
- Ranking points earned at no more than two Sectional Championships shall be counted for a national ranking.
  - Ranking points earned in at least one Category I USTA National Championship shall be included among the four National Ranking Tournaments that count for national ranking.

**TABLE 25**  
**Adult, Senior, and Family Minimum Tournament Participation Requirements for National Ranking**

	Division	Minimum Tournament Participation Requirements
Adult & Senior	Men's 30-90 Singles, Team Doubles, and Individual Doubles	One Category I USTA National Championship, including the qualifier
	Women's 30-90 Singles, Team Doubles, and Individual Doubles	
Family	All Family Divisions: Father-Son, Father-Daughter, Mother-Son, Mother-Daughter, Husband-Wife, Grandfather-Grandson	One Category I USTA National Championship, including the qualifier

**F. Matches That Count in More Than One Ranking Division or Category**

1. *Results from professional tournaments.* Results from professional tournaments may be considered in both the Top 500 ranking categories and the Professional rankings. See **USTA Regulation XI**.
2. *Results from NCAA Division I National Tournaments.* Results from the following NCAA Division I National Tournaments may be considered in both the Top 500 ranking categories and the Men's and Women's Collegiate - NCAA Division I ranking categories described in **USTA Regulation XII.D.:**

- ITA All-American Championships;
  - ITA National Indoor Championships; and
  - NCAA Division I National Championships.
3. *Results from USTA Boys' and Girls' 18 National Championships.* Results from the USTA Boys' and Girls' 18 National Championships may be considered in both the Top 500 ranking categories and the Boys' and Girls' 18 Divisions.

#### **G. National Adult/Senior and Family Ranking Committees**

1. *USTA President shall establish national ranking committees.* The USTA President shall establish national ranking committees under the Adult and Senior Competition Committee to rank the categories and divisions that are required to be ranked under **USTA Regulation X.B.2.-4.** The USTA President may appoint an Adult/Senior and Family Ranking Coordinator to coordinate all national rankings in these divisions and categories. Subject to the approval of the USTA President, vacancies in the Adult/Senior and Family Ranking Coordinator position or on the ranking committees may be filled by the chairperson of the Adult and Senior Competition Committee. If the chairperson is unable to fill the vacancy, the Adult/Senior and Family Ranking Coordinator, subject to the approval of the USTA President, shall fill the vacancy.
2. *Committee size.* Each ranking committee shall have at least two and no more than five members inclusive of its chairperson. If a ranking committee has an even number of members, the Adult, Senior, and Family Ranking Coordinator may act as an additional member of the committee.
3. *Tentative rankings.* This is the first ranking determined by a ranking committee. The tentative rankings shall be made available to the tentative ranked players by January 15 of each year. These tentative rankings have no official standing until certified by the Adult/Senior and Family Ranking Review Board.
4. *Corrections and appeals must be received no later than January 30.* Any corrections to a player's record or any appeal to the tentative rankings must be received by the chairperson of the Adult/Senior and Family Ranking Review Board no later than January 30 of each year.

#### **H. Adult/Senior and Family National Ranking Review Board**

1. *Composition.* The Adult/Senior and Family National Ranking Review Board shall consist of a chairperson and two to four individual ranking committee members all of whom shall be appointed by the USTA President. If an appeal comes before the Adult/Senior and Family National Review Board in a division or category in which its chairperson is a member, the chairperson may not consider that appeal.
2. *Meetings.* The Adult/Senior and Family National Ranking Review Board shall meet to finalize the tentative rankings in the Adult/Senior and Family Divisions. Meetings may be held by telephone conference call or other communication equipment that allows all persons participating to hear each other.
3. *USTA staff shall provide relevant data.* The USTA staff shall provide all relevant data to the Adult/Senior and Family National Ranking Review Board.

4. *Final rankings issued by March 1.* These are the singles, doubles, and mixed doubles rankings in all Adult, Senior, and Family Divisions that have been certified as official USTA rankings by the Adult/Senior and Family Ranking Review Board and shall be subsequently published. The Adult/Senior and Family National Ranking Review Board shall issue the final rankings by March 1.

#### **I. Confidentiality of Ranking Information**

Members of the ranking committees and the Adult/Senior and Family National Ranking Review Board shall not disclose any results of their deliberations or any other information concerning the rankings before their release by the USTA.

**FAC Comment X.I-1:** The ITF determines eligibility criteria for ITF international team competition. The Senior International Competition Selection Committee and the USTA Competitive Tennis Department select players that will produce the strongest team to represent the United States in ITF international team competition based on guidelines published annually on the USTA website.

To be endorsed by the USTA into ITF international team competition, ITF regulations require that a player be a citizen as evidenced by possession of a valid U.S. passport, Certificate of Naturalization, or other documentation acceptable to the ITF. Additional ITF eligibility requirements may apply. See the ITF regulations.

The USTA may apply for a waiver from the ITF Seniors Committee for players who do not meet this requirement. The waiver application must be received by the ITF Seniors Committee at least three months before the competition.

U.S. citizens who reside in Puerto Rico, U.S. Virgin Islands, American Samoa, Guam, or the Northern Mariana Islands may play either for their respective team or the U.S. international team.

If a player is eligible to represent more than one country, the player shall notify the USTA of this fact in sufficient time for the USTA to comply with requirement of notifying the ITF at least three months before the event.



## **XI. PROFESSIONAL RANKINGS**

### **A. Men's Division**

Eligible players (see **USTA Regulation XI.C.**) shall be ranked in the Professional Men's singles and doubles divisions in the order in which their names appear on the year-end ATP ranking list.

### **B. Women's Division**

Eligible players (see **USTA Regulation XI.C.**) shall be ranked in the Professional Women's singles and doubles divisions in the order in which their names appear on the year-end WTA ranking list.

### **C. Eligibility for National Ranking**

Only the following categories of persons are eligible to receive a national ranking:

1. *U.S. citizens. Citizens of the United States.*
2. *Certain aliens.*
  - *Permanent resident aliens.* Permanent resident aliens of the United States.
  - *Diplomats.* Aliens who have resided in the United States continuously for more than one year and who are members of families of persons in the diplomatic or consular corps.
  - *Aliens with Refugee Status.* Aliens who have been granted Refugee Status.
  - *Aliens with Asylee Status.* Aliens who have been granted Asylee Status.
  - *Aliens with Temporary Protected Status.* Aliens who have been granted Temporary Protected Status.
  - *Aliens with Adjustment Status.* Aliens whose I-485 (Application to Register Permanent Resident or Adjust Status) has been accepted for filing.
  - *British Columbians.* Canadian citizens and landed Canadian immigrants who reside in British Columbia, *provided that* they were USTA members for at least one month during 2010 and so long as they do not allow their USTA memberships to lapse for a period of more than six months.

### **D. Review**

Professional rankings are not subject to review or appeal.

## **XII. COLLEGIATE AND SCHOLASTIC TOURNAMENTS AND COLLEGIATE RANKINGS**

### **A. Method for Making Draw**

1. *Separating players from same schools.* When a scholastic or collegiate draw involves players from the same school or university, such entries shall be placed in separate halves or quarters, as evenly as possible, depending upon the number of entries from that school or university.
2. *Distribution of byes.* Byes shall be distributed among the seeded players in the order of their seeding. If the number of byes exceeds the number of seeded players and if fewer than one player for each four lines on the draw sheet has been seeded, then the byes shall be placed as though one player for each four lines on the draw sheet had been seeded. Any remaining byes shall be placed as provided in the **USTA Regulations**.

### **B. Scoring**

1. *Championship rounds.*
  - a. *Main draw.* Two points for each match won.
  - b. *Third-place play-off.* One-half point for play-off for third and fourth place if feed-in is used through the quarterfinal round; but, no points for play-off for third and fourth place if feed-in through quarterfinals is not used.
  - c. *Byes.* If a player receives a bye in the first round, 4 points are given only if the second-round match is won.
  - d. *Defaults.* A default shall always be considered as a match won and points awarded accordingly.
2. *Consolation rounds with feed-in through the quarters.*
  - a. *Matches played.* One point for each match won.
  - b. *Byes.* If player receives a first-round bye, one point is given if the second-round match is won.
  - c. *Defaults.* In all instances a default shall be considered as a match won and points awarded accordingly.
3. *Consolation rounds with no feed-in through quarters.* One-quarter point for loser of finals and one-half point for winner of finals.
4. *Ties.* In the event of a tie, co-titles shall be awarded.

### **C. Eligibility for National Ranking**

Only the following categories of persons are eligible to receive a national ranking:

1. *U.S. citizens.* Citizens of the United States.
2. *Certain aliens.*
  - *Permanent resident aliens.* Permanent resident aliens of the United States.
  - *Diplomats.* Aliens who have resided in the United States continuously for more than one year and who are members of families of persons in the diplomatic or consular corps.
  - *Aliens with Refugee Status.* Aliens who have been granted Refugee Status.
  - *Aliens with Asylee Status.* Aliens who have been granted Asylee Status.

- *Aliens with Temporary Protected Status.* Aliens who have been granted Temporary Protected Status.
- *Aliens with Adjustment Status.* Aliens whose I-485 (Application to Register Permanent Resident or Adjust Status) has been accepted for filing.
- *British Columbians.* Canadian citizens and landed Canadian immigrants who reside in British Columbia, *provided that* they were USTA members for at least one month during 2010 and so long as they do not allow their USTA memberships to lapse for a period of more than six months.

#### **D. Collegiate Rankings**

Eligible players shall be ranked in the following Collegiate Men's and Women's singles and team doubles divisions in the order in which their names appear on the academic year-end ITA ranking lists.

- Men's and Women's Collegiate – NCAA Division I
- Men's and Women's Collegiate – NCAA Division II
- Men's and Women's Collegiate – NCAA Division III
- Men's and Women's Collegiate – NAIA (National Association of Intercollegiate Athletics)
- Men's and Women's Collegiate – NJCAA (National Junior College Athletic Association)

The Collegiate ranking period shall be June 1 to May 31.

Results from the following NCAA Division I National Tournaments shall be considered in both the Men's and Women's Collegiate—NCAA Division I ranking categories and the Top 500 ranking categories described in **USTA Regulation X.B.1.:**

- ITA All-American Championships
- ITA National Indoor Championships
- NCAA Division I National Championships

Collegiate rankings are not subject to review or appeal.

### XIII. WHEELCHAIR NATIONAL TOURNAMENTS AND RANKINGS

#### A. Wheelchair National Tournament Regulations

1. *Overview of Wheelchair National Tournament System.* Annually the USTA shall publish the National Wheelchair Tournament Schedule, which shall include the following tournaments:

- a. *Category I USTA Wheelchair National Championships.* The USTA shall sanction at least one Category I USTA Wheelchair National Championship in each of the following divisions:

- Men's, Women's, and Quad Open
- Men's, Women's, and Quad A
- Men's and Women's B
- Men's C
- Junior Open/A (18 & Under)
- Senior Open/A (40 & Over) Doubles
- Senior B/C (40 & Over) Doubles

These tournaments may be held on hard, clay, or indoor surfaces.

- b. *Super Category II USTA Wheelchair Tournaments and Category II USTA Wheelchair Tournaments.* Super Category II USTA Wheelchair Tournaments and Category II USTA Wheelchair Tournaments are tournaments sanctioned by Sectional Associations that are selected by the Wheelchair Tennis Committee and the USTA Competitive Tennis Department for inclusion on the National Wheelchair Tournament Schedule. The Wheelchair Tennis Committee, in consultation with the USTA Competitive Tennis Department, shall determine the selection criteria. These tournaments shall comply with **USTA Regulations XIII.A.3.a-e., g., and h., unless** the tournament has been sanctioned by the ITF, in which case it shall be played under the ITF Regulations for Wheelchair Tennis.

At least one Super Category II USTA Wheelchair Tournament and one Category II USTA Wheelchair Tournament shall be selected for the National Wheelchair Tournament Schedule in each of the divisions listed in **USTA Regulation XIII.A.1.a.**

- c. *ITF wheelchair tournaments.* The USTA Competitive Tennis Department shall determine criteria for selecting ITF wheelchair tournaments to be placed on the National Wheelchair Tournament Schedule.

**FAC Comment XIII.A-1:** All of the Category I USTA Wheelchair National Championships, Super Category II USTA Wheelchair Tournaments, and Category II USTA Wheelchair Tournaments in the Men's Open, Women's Open, and Quad Open Divisions are also sanctioned by the ITF and are played under the ITF Regulations for Wheelchair Tennis.

2. *National Standings Lists.* At least monthly, the USTA shall publish National Standings Lists in each Wheelchair Division. The Wheelchair Tennis Committee shall determine the criteria for the National Standings Lists.

**FAC Comment XIII.A-2:** The Wheelchair Tennis Committee has established the following criteria for the National Standings Lists:

- Players must have a current USTA membership; and
- Wheelchair standings shall be based on the total ranking points received during the previous 12-month period from the three National Ranking Tournaments in which a player received the most ranking points.

3. *Tournament regulations for Category I USTA Wheelchair National Championships.* All Category I USTA Wheelchair National Championships that are sanctioned by the ITF shall be played under ITF wheelchair tournament regulations and shall follow the requirements listed below when they are not inconsistent with ITF Regulations for Wheelchair Tennis. Any Category I USTA National Championship that is not sanctioned by the ITF shall have the following requirements.

- a. *Citizenship not required.* Category I USTA Wheelchair National Championships shall be open to citizens and non-citizens.
- b. *Singles and doubles draws.* Each Category I USTA National Wheelchair Championship shall be required to offer singles and doubles in the divisions for which the tournament has been sanctioned, *except* for Category I USTA Wheelchair National Championships in the Senior Open/A (40 & Over) Doubles and Senior B/C (40 & Over) Doubles Divisions, which are only required to hold doubles.
  - i. *Draw sizes.* Draw sizes shall be determined by the Tournament Committee. Selection for draws with limited draw sizes shall be done as set forth in **USTA Regulation I.H.4.**, *except that* the Tournament Committee has discretion to conduct a qualifying draw. Wild cards may not be awarded.
  - ii. *Singles consolation draw, compass draw, or round robin required.* Category I USTA Wheelchair National Championships shall include consolation draws in singles. The Wheelchair Tennis Committee shall specify the consolation format that shall be used.

**FAC Comment XIII.A-3:** The Wheelchair Tennis Committee has authorized the use of Feed-In Championship, Modified Feed-In Championship, First Match Losers Consolation, and First Round Losers Consolation at Category I USTA National Wheelchair Championships.

- iii. *Playoff for third place.* The Tournament Committee shall determine whether a playoff for third place shall be offered and shall publish the information on the website by the time it is prepared to receive entries. If the match is offered, players must play the match unless failure to do so is attributable to injury, illness, or personal emergency.

For the purpose of determining scheduling in compliance

with **USTA Regulation XIII.A.3.g.ii.** below, the playoff matches are considered part of the main draw.

- c. *Seeding.* The seeding method shall be determined by the Tournament Committee and shall be either the All Factors Method of seeding described in **USTA Regulation II.A.4.a.** or the Computerized List Method described in **USTA Regulation II.A.4.b.** If the Computerized List Method of seeding is used, the Tournament Committee shall use the most recently published National Standings List to order the seeds. However, when a tournament in an Open Division receives entries from players or teams who have a ranking in the top 75 on the most recently published ITF ranking list, the players or teams shall be considered for seeding.
- d. *Match formats.* The Wheelchair Tennis Committee shall determine the match formats to be used in Category I USTA Wheelchair National Championships. These match formats shall be published.

**FAC Comment XIII.A-4:** The Wheelchair Tennis Committee has authorized the use of the following match formats in Category I USTA Wheelchair National Championships, Super Category II USTA Wheelchair Tournaments, and Category II USTA Wheelchair Tournaments:

- The best of three tiebreak sets; or
- The best of three tiebreak sets with a 10-Point Match Tiebreak in lieu of a 3rd set.

**Note:** Match formats of tournaments sanctioned by the ITF are controlled by the ITF Regulations for Wheelchair Tennis.

- e. *No-Ad scoring may be used.* Category I USTA Wheelchair National Championships may use No-Ad scoring.
- f. *Playing semifinals, finals, and playoffs for third place on sanctioned surface.* The semifinal, final, and third place playoff matches shall be played on the surface on which the tournament has been sanctioned unless more than 24 hours of inclement weather or other cause prevents these matches from being played on the sanctioned surface. For purposes of this regulation, the designation “hard courts” includes all types of hard courts and the designation “clay courts” includes all types of clay courts.
- g. *Scheduling.*
  - i. *Limit on number of matches per day.* The scheduling guidelines in **USTA Regulation II.D.9.** and **Table 9** are mandatory for all Category I USTA Wheelchair National Championships, *except that* they shall not apply to tournaments that are required to use the ITF Regulations for Wheelchair Tennis.
  - ii. *Order of play.* Rounds in the main draw should take precedence over consolation rounds, *except that* a player may be required to play one consolation singles match before the main draw doubles match. For example, the second consolation singles of the day for any player should be scheduled after the main draw doubles each day.

- h. *Shortening tournaments.* In the event of inclement weather, health concerns, or safety concerns, the Referee may use a match format not previously authorized for use at a Category I USTA Wheelchair National Championship by the Wheelchair Tennis Committee. All matches in each round shall be played with the same match format. Note, however, the shortening of tournaments sanctioned by the ITF are controlled by the ITF Regulations for Wheelchair Tennis.
- i. *Practice courts.* One practice court for each 60 players in the tournament shall be available on the day before the start of the tournament and on each day during the tournament; however, in no event shall more than two practice courts be required.

## **B. Wheelchair National Rankings**

1. *Divisions ranked.* The USTA shall issue rankings in the following divisions:
  - Men's, Women's, and Quad Open
  - Men's Women's, and Quad A
  - Men's and Women's B
  - Men's C
  - Junior Open/A (18 & Under)
  - Senior Open/A (40 & Over)
  - Senior Open/A (40 & Over) Doubles
  - Senior B/C (40 & Over) Doubles
2. *Eligibility for national ranking.* Only the following categories of persons are eligible to receive a national ranking:
  - a. *U.S. citizens.* Citizens of the United States.
  - b. *Certain aliens.*
    - *Permanent resident aliens.* Permanent resident aliens of the United States.
    - *Diplomats.* Aliens who have resided in the United States continuously for more than one year and who are members of families of persons in the diplomatic or consular corps.
    - *Aliens with Refugee Status.* Aliens who have been granted Refugee Status.
    - *Aliens with Asylee Status.* Aliens who have been granted Asylee Status.
    - *Aliens with Temporary Protected Status.* Aliens who have been granted Temporary Protected Status.
    - *Aliens with Adjustment Status.* Aliens whose I-485 (Application to Register Permanent Resident or Adjust Status) has been accepted for filing.
  - *British Columbians.* Canadian citizens and landed Canadian immigrants who reside in British Columbia, *provided that* they were USTA members for at least one month during 2010 and so long as they do not allow their USTA memberships to lapse for a period of more than six months.

**FAC Comment XIII.B-1:** Documents commonly used to support a particular alien status include a permanent resident alien card (green card), Form I-94, or a receipt confirming filing of the I-485.

3. *Wheelchair ranking period.* The Wheelchair ranking period for all players and teams shall be January 1 through December 31.
4. *National Ranking Tournaments and Matches.*
  - a. *National Ranking Tournaments.* The following tournaments shall be known as National Ranking Tournaments and only results from these tournaments played during the Wheelchair ranking period shall be considered for Wheelchair national rankings:
    - Category I USTA National Wheelchair Championships
    - Super Category II USTA Wheelchair Tournaments
    - Category II USTA Wheelchair Tournaments
    - Sectional/Local Ranking Tournaments as defined in **USTA Regulation XIII.B.5.**
  - b. *National Ranking Matches.* National Ranking Matches are matches in ITF tournaments not listed on the National Wheelchair Tournament Schedule between players who meet the eligibility requirements of **USTA Regulation XIII.B.2.** that are reported to the USTA Competitive Tennis Department. These matches shall be considered for ranking.

**FAC Comment XIII.B-2:** An example of a National Ranking Match is a match played in the US Open Wheelchair Championships (NY). Players should report results of matches in ITF tournaments not listed on the National Wheelchair Tournament Schedule to the USTA Competitive Tennis Department, 70 West Red Oak Lane, White Plains, NY 10604.

5. *Sectional/Local Ranking Tournaments.* Sectional/Local Ranking Tournaments are Wheelchair tournaments sanctioned by the Sectional Association or District Associations that have not been selected to be Super Category II USTA Wheelchair Tournaments or Category II USTA Wheelchair Tournaments in the following divisions:
  - Men's, Women's, and Quad Open
  - Men's, Women's, and Quad A
  - Men's and Women's B
  - Men's C
  - Junior Open/A (18 & Under)
  - Senior Open/A (40 & Over) Doubles
  - Senior B/C (40 & Over) Doubles
6. *Points Per Round Ranking System.*
  - a. *Ranking points.* Players shall earn ranking points in singles and doubles at National Ranking Tournaments based on a Points Per Round Ranking System. Annually the Wheelchair Tennis Committee shall determine the ranking points that shall be assigned to each round in each tournament and for participating in each tournament. This information shall be published on the USTA website.
  - b. *Relative ranking weights of National Ranking Tournaments.* The relative ranking weights of National Ranking Tournaments are listed in **Table 26.**
  - c. *Treatment of byes.* A player who advances because of a bye does *not* receive ranking points.



**TABLE 26**  
**Relative Ranking Weights for Wheelchair National Ranking Tournaments**

<b>Tournament Type</b>	<b>Relative Ranking Weight</b>
Category I USTA National Wheelchair Championships	10
Super Category II USTA Wheelchair Tournaments	4
Category II USTA Wheelchair Tournaments	3
Sectional/Local Ranking Tournaments	1

- d. *Treatment of withdrawals, walkovers, disqualifications, defaults, and retirements.* A player who advances because of a withdrawal, walkover, disqualification, default, or retirement shall receive ranking points.
  - e. *Ranking ties.* If players receive the same number of ranking points, ties shall be broken using the following steps in the order listed.
    - Most points earned in Category I USTA Wheelchair National Championships;
    - Most points earned in Super Category II USTA Wheelchair Tournaments and Category II USTA Wheelchair Tournaments; and
    - Most points earned in any other National Ranking Tournament.
  - f. *Ranking calculation.* Wheelchair rankings shall be based on the total ranking points received during the ranking period from the three National Ranking Tournaments in which a player received the most ranking points.
7. *Matches may count in only one division.* No match may count for ranking in more than one division. If divisions are combined and a player is placed in a higher division, the player shall receive participation credit for the division in which the player played.

**FAC Comment XIII.B-3:** If the Men's Wheelchair B and C Divisions are combined in a tournament, the tournament shall be considered a B Division event. Wins and losses shall count toward a B Division ranking only and the player shall receive participation credit for the B Division only.

8. *Corrections.* Wheelchair singles and doubles player records shall be published on the Internet on an ongoing basis. Wheelchair players should check their records and submit verifiable additions and corrections to the Wheelchair Ranking Coordinator. Each player who has competed against another USTA player in an ITF-sanctioned tournament shall report the verifiable results to the Wheelchair Ranking Coordinator. Wheelchair rankings are done by computer and are not subject to positional protest or appeal.

### **C. Wheelchair National Ranking Committees**

1. *USTA President shall establish national ranking committees.* The USTA President shall establish national ranking committees under the

Wheelchair Tennis Committee to rank the divisions that are required to be ranked under **USTA Regulation XIII.B.1**. The USTA President may appoint a Wheelchair Ranking Coordinator to coordinate all national rankings in these divisions. Subject to the approval of the USTA President, vacancies in the Wheelchair Ranking Coordinator position or on the ranking committees may be filled by the chairperson of the Wheelchair Tennis Committee. If the chairperson is unable to fill the vacancy, the Wheelchair Ranking Coordinator, subject to the approval of the USTA President, shall fill the vacancy.

2. *Committee size.* Each ranking committee shall have at least two and no more than five members inclusive of its chairperson. If a ranking committee has an even number of members, the Wheelchair Ranking Coordinator may act as an additional member of the committee.
3. *Confidentiality of ranking information.* Members of the ranking committees shall not disclose any results of their deliberations or any other information concerning the rankings before their release by the USTA.

## **XIV. MEDALS AND PRIZES**

### **A. Gold, Silver, and Bronze Balls**

Gold balls shall be awarded to the winners, silver balls to the runners-up, and bronze balls to the third place playoff winners in:

1. USTA National Championships and USTA National Doubles Championships in Junior Divisions.
2. All Category I Adult, Senior, and Family National Championships listed in **USTA Regulations X.A.1.a. and b.**
3. Category I USTA Wheelchair National Championships, *except that* bronze balls shall be awarded at a tournament only if the tournament website states that a third-place playoff will be held.

### **B. Gold Davis Cup Insignia**

Gold Davis Cup insignia shall be awarded to the captain and each member of a United States Davis Cup team nominated to represent the United States in the final round and to the captain and each nominated member of the opposing team.

### **C. Gold Fed Cup Charm**

A gold Fed Cup charm shall be awarded to the captain and each member of a United States Fed Cup team.

### **D. Restrictions on Trophy and Award Presentations**

It is the policy of the USTA not to accept trophies or awards bearing the name of a commercial or business concern and not to permit the presentation of any such trophy or award at USTA National Championships.

**XV. USTA LEAGUE**

**A. Description**

The USTA League shall consist of team competition for men and women with advancement from local competition to sectional and national competition to determine annually a championship team in each approved level of competition.

**B. Rating System**

The official system used to determine the levels of competition shall be a rating system approved by the USTA Board.

**C. Eligibility**

Any individual who competes in a USTA League must be a current member of the USTA in good standing and be domiciled within the boundaries of a USTA Sectional Association or participate through a USTA Direct Member Club or Organization.

1. *Adult Division.* Any individual competing in the Adult Division shall have reached the age of 18 years before or during the calendar year in which the player plays the first local league match. The Adult Division may be divided into further competition groupings as designated in USTA League Regulations.
2. *Mixed Doubles Division.* Any individual in the Mixed Doubles Division shall have reached the age 18 years before or during the calendar year in which the player plays the first local league match. The Mixed Doubles Division may be divided into further competition groupings as designated in USTA League Regulations.

**D. Authority for Committee to Adopt USTA League Regulations**

The USTA League shall be conducted in accordance with the USTA League Regulations, which shall include grievance procedures for league matters, as adopted and amended by a committee appointed by the USTA President and in accordance with procedures determined and approved by the USTA Board.

**FAC Comment XV-1:** The National Tennis Rating Program (“NTRP”) is the official system used to determine the levels of competition.

## **XVI. USTA JR. TEAM TENNIS**

### **A. Description**

The USTA Jr. Team Tennis program shall consist of coed team competition for boys and girls with advancement from local competition to district, sectional, and national competition to determine annually a championship team at each level.

### **B. Eligibility**

Any individual who competes in the USTA Jr. Team Tennis program shall be a current member of the USTA. Any individual competing in USTA Jr. Team Tennis shall be 18 years of age or under.

### **C. Authority for Committee to Adopt USTA Jr. Team Tennis Regulations**

The USTA Jr. Team Tennis program shall be conducted in accordance with the USTA Jr. Team Tennis Regulations that include grievance procedures as adopted and amended by a committee appointed by the USTA President and in accordance with procedures determined and approved by the USTA Board.

**FAC Comment XVI.C-1:** USTA Jr. Team Tennis Regulations authorize play on smaller courts that are either 36 feet long or 60 feet long. They also authorize play with different rackets and balls. **Figure 8** shows the dimensions of a 36-foot court and **Figure 9** shows the dimensions of a 60-foot court. See the USTA Jr. Team Tennis Regulations for additional information.

## XVII. SANCTIONING

### A. General Information

1. *Meaning of sanctioning.* The USTA sanctioning process is intended to aid the public, the player, and the tennis promoter. It helps to assure uniformity of the rules of play; it permits the ranking of players on a basis of an accepted standard; it encourages an orderly schedule of tournaments that accommodates the reasonable needs of players, promoters, and sponsors; and it fosters the aim of providing the public with tennis of high caliber and ethical standards.

Sanctioning indicates that the tournament:

- Is an official USTA-approved tournament;
- Agrees to follow the **ITF Rules of Tennis**;
- Agrees to follow **USTA Regulations**; and
- Results will be considered by the applicable national, sectional, district, or local ranking committees if the applicable ranking regulations authorize the results to be considered.

2. *Definition of tournament.* Tournament refers to all forms of competition, including, but not limited to, tournaments, championships, team championships, matches, exhibitions, events, intersectionals, international competitions, and zonals. Tournaments are classified as amateur, professional, or open.

3. *Eligible applicants.* Only the following may apply for a sanction to hold a tournament:

- Sectional Association;
- Direct Member Club or Organization;
- District Association or a subdivision of a Sectional Association; or
- Organization Member.

The person submitting the application must be authorized to do so by the applicant.

4. *Discrimination not allowed.* Tournament sanctions shall be awarded and sanctioned tournaments shall be conducted without regard to race, creed, color, national origin, or sexual orientation. By submitting an application to sanction a tournament, the applicant agrees that it will not discriminate against any participant because of the participant's race, creed, color, national origin, or sexual orientation, *except that* entries into USTA Junior National Championships and USTA Regional Tournaments are limited to U.S. citizens and certain aliens (see **USTA Regulation IX.A.2.**) and *except that* Sectional Associations, District Associations, and subdivisions of Sectional Associations may limit entry in some tournaments to U.S. citizens and certain aliens residing in their territory. A violation of this provision may result in a refusal to issue any further sanctions to the applicant.

5. *Submission of application.* Most applications must be submitted online. The entity that is responsible for approving the sanction (USTA Board, appropriate USTA competition committee, Sectional Association, District Association, or subdivision of a Sectional Association) may require the applicant to submit information in addition to information submitted in the online application. When online application is not available, the applicant shall submit the sanction application to the entity that is

responsible for approving the application. All applicants agree to run tournaments pursuant to **USTA Regulations**.

**FAC Comment XVII.A-1:** Applications for sanctions for tournaments that are approved by Sectional Associations, District Associations, or subdivisions of Sectional Associations are submitted online. Applications for USTA Junior National Championships, USTA Regional Tournaments, and USTA International Tournaments are submitted to the Junior Competition and Sportsmanship Committee through an online bid process. Applications for Category I USTA Adult, Senior, and Family National Championships are submitted to the Adult and Senior Competition Committee through an online process or on an offer and contract form. Applications for Category I USTA Wheelchair National Championships are submitted to the Wheelchair Tennis Committee through an online process or on an offer and contract form.

6. *Payment of sanction fee.* The sanction fee for a tournament for which online application is available is generally paid electronically to the USTA. Once the sanction is approved, the USTA directs the fee to the proper recipient. In all other cases the sanction fee is paid to the appropriate competition committee or the Sectional Association, District Association, or subdivision of a Sectional Association that approves the sanction.
7. *Issuance of sanction.* When a Sectional Association, District Association, or subdivision of a Sectional Association issues a sanction, the entity issuing the sanction shall send notice of approval of the sanction to the USTA Legal Department.
8. *Use of USTA name in reference to tournament.* The holder of a sanction for a USTA sanctioned tournament may use the name, initials, and logo of the USTA only to the extent determined by the USTA.
9. *Use of certified officials recommended in sanctioned tournaments.* The USTA recommends that every on-court official, Referee, Roving Umpire, and Chief Umpire be certified in accordance with **USTA Regulation VII**. in the appropriate classification.
10. *USTA does not assume financial responsibility for tournaments.* The USTA does not assume financial responsibility for any tournament. Any loss incurred shall be the sole responsibility of the sanction holder.
11. *Sanction will not be issued if prior sanction fee is delinquent.* A sanction shall not be approved if the applicant is delinquent in the payment of any sanction fee charged for a sanction previously applied for and issued, *unless* the delinquent sanction fee is paid or the USTA committee, Sectional Association, District Association, or subdivision of a Sectional Association that approved the sanction waives the delinquency.
12. *Specification in title of whether tournament is open to professionals, is invitational, or is closed tournament.* The titles of junior tournaments do not need to include the word “Amateur” because, with the exceptions of the USTA National Championships, which are open to professionals (see **USTA Regulation IX.A.9.b.**), only amateurs may play in junior tournaments. USTA tournaments other than junior tournaments should specify in the title whether the tournament is open to amateurs only, professionals only, or both. Absence of any designation in the title means

that the tournament is open to both amateurs and professionals, although for clarity, the word “Open” should be included when applicable. The word “Invitational” in the title means that tournament entry is by invitation only. The word “Closed” in the title means that tournament entry is restricted to a specific geographic area. Absence of any designation in the title usually means that the tournament is not an invitational and is open to players from all areas.

13. *Definition of gross revenues.* For purposes of **USTA Regulation XVII.**, gross revenues means all monies received for:

- Entry fees;
- Admissions to the grounds, courts, and stands after deduction of governmental and municipal taxes;
- Sales of advertising less direct expenses; and
- Contributions from whatever sources, including sponsors.

For junior tournaments, the entry fees, donations, and contributions shall not be counted in the gross revenues test.

14. *Tournament may lose sanction if it does not comply with **USTA Regulation XVII.** and terms of sanction.* The failure of a tournament to comply with any requirement of **USTA Regulation XVII.** or any other condition imposed by the body issuing the sanction may result in forfeiture of the sanction.

#### **B. USTA League and USTA Jr. Team Tennis Approved Without Necessity of Sanction**

USTA League and USTA Jr. Team Tennis are hereby approved by the USTA without any sanction application.

#### **C. Sectional and District Tournaments and Leagues Other Than USTA League and USTA Jr. Team Tennis**

1. *Sanction approval.* Sectional Associations approve the sanctions for:

- Tournaments (see definition in **USTA Regulation XVII.A.2.**) that are not approved by **USTA Regulation XVII.B.** or subject to the bid process of **USTA Regulations XVII.D.-F.**;
- Leagues (other than USTA Leagues and USTA Jr. Team Tennis) if the league wants the matches played during the season to count for ranking;
- Intra-Organization Member tournaments in which the Organization Member wants the matches to count for ranking; and
- Intercollegiate and interscholastic tournaments. (These tournaments do not have to be sanctioned but may be sanctioned with or without a sanction fee being charged.)

A Sectional Association may delegate the sanction approval process to its District Associations or subdivisions.

2. *Sanction fees.* A Sectional Association shall set the sanction fees, if any, and may delegate the setting of sanction fees to its District Associations or subdivisions.
3. *Rights for sanctioned prize money tournaments over \$5000.* The television, cable, satellite, internet, radio, film, and video rights for all sanctioned prize money tournaments over \$5000 shall, unless the Sectional Association is notified otherwise by the Tournament Committee at least 120 days before the start of the tournament or at the



time of filing of the sanction application, whichever is later, be reserved by the USTA to facilitate the sale of these rights on a national or multi-event basis. The net proceeds of the sale of these rights shall be divided 80% to the tournament and 20% to the Sectional Association.

**D. USTA National Championships, USTA Junior National Championships, USTA Regional Tournaments, and USTA International Tournaments (Other Than US Open and Those USTA National Championships That Are Awarded by USTA Board)**

1. *Primary host site shall be Organization Member.* The primary host site of each USTA National Championship, USTA Junior National Championship, USTA Regional Tournament, and USTA International Tournament shall be an Organization Member.
2. *Sanctioning process.*
  - a. *Application requirements.* The applicant shall submit the application on the USTA-approved form.
    - Applicants for Category I USTA Adult, Senior, and Family National Championships and Category I USTA Wheelchair National Championships shall also submit a complete description of the applicant's facilities, proposed tournament organization, and other information as may be pertinent in considering competing bids.
    - Applicants for USTA Junior National Championships, USTA Regional Tournaments, and USTA International Tournaments may submit or be asked to submit other information in support of their applications.

**FAC Comment XVII.D-1:** The online sanction application does not gather certain information on the form. For example, it does not ask for detailed information on the proposed site, tournament organization, amenities provided to players, and hotels. If an online sanction application form is used, this additional information is submitted in writing to the competition committee.

- b. *Application deadline.*
  - i. *Junior Divisions.* The Junior Competition and Sportsmanship Committee shall set the application deadlines for tournaments in the Junior Divisions and shall notify the Sectional Associations and current sanction holders of these deadlines by January 1 of the year before the start of the tournaments.
  - ii. *Adult, Senior, and Family Divisions.* Applications for tournaments in the Adult, Senior, and Family Divisions shall be submitted to the Adult and Senior Competition Committee by July 1 of the year before the start of the tournaments.
  - iii. *Wheelchair Divisions.* Applications for tournaments in the Wheelchair Divisions shall be submitted by August 1 of the year before the start of the tournaments.

**FAC Comment XVII.D-2:** The USTA staff is responsible for publicizing the process for submitting applications for national tournaments and the

deadline by which applications are due. Generally, this information is published on the USTA website, is sent to existing sanction holders, and is sent to Sectional Associations, which are asked to forward the information to potential applicants.

- c. *Submission to appropriate competition committee.* Applicants shall submit applications to the appropriate competition committee for consideration and approval or disapproval.
- *Junior.* Applicants shall submit applications for USTA Junior National Championships, USTA Regional Tournaments, and USTA International Tournaments to the Junior Competition and Sportsmanship Committee.
  - *Adult, Senior, and Family.* Applicants shall submit applications for Category I USTA Adult, Senior, and Family National Championships to the Adult and Senior Competition Committee.
  - *Wheelchair.* Applicants shall submit applications for Category I USTA Wheelchair National Championships to the Wheelchair Tennis Committee.
- d. *Approval by Sectional Associations.* Each Sectional Association shall approve or disapprove the applicants from its section, *except* as set forth in **USTA Regulation XVII.D.2.e.** below. If a Sectional Association disapproves an applicant, the appropriate competition committee shall not consider that particular application.
- e. *Sectional Association approval not required when it submits competing application.* When a Sectional Association has submitted a competing application for a tournament, the appropriate competition committee shall approve or disapprove all applicants from that section for that tournament.
- f. *Notice to competing applicants of their right to appear before appropriate competition committee.* The chairperson or the chairperson's designee shall inform all applicants of competing applications and may require them to submit additional information in order to consider the competing applications. Representatives of all applicants shall be permitted to appear in person, by proxy, or by electronic means to make presentations to the committee in support of their applications at all meetings in which applications are considered.
- g. *Approval of sanctions by appropriate competition committee.* The appropriate competition committee shall review only the applications from:
- Applicants approved by Sectional Associations;
  - Sectional Associations; and
  - Applicants that are not subject to approval or disapproval by a Sectional Association because the Sectional Association submitted a competing application.

The committee shall approve or disapprove applications as soon as possible after the application deadline. Upon approval of a sanction, the chairperson or the chairperson's designee shall notify the successful applicant.

- h. *Tournament contract.* No sanction approval shall be considered final until the applicant completes and returns a tournament contract in the form approved by the appropriate competition committee and payment of the sanction fee (see **USTA Regulation XVII.D.3.**) has been received.
  - i. *Right of USTA Board to assume responsibility for sanctioning process.* Notwithstanding the foregoing, the USTA Board may, at its discretion, assume the responsibilities of a competition committee to sanction a USTA National Championship or USTA Junior National Championship.
3. *Sanction fees.*
- a. *Championship in which prize money is not offered.* The sanction fee for a USTA Junior National Championship or a USTA National Championship in which prize money is not offered shall be \$100 per national championship division, which amount is to accompany the application.
  - b. *Championship in which prize money is offered.* If prize money is offered, the sanction fee is \$100 per division or 1% of the prize money, whichever is greater. However, *unless USTA Regulation XVII.F.2.* applies, the fee shall not exceed \$2000.
  - c. *USTA Regional Tournaments and USTA International Tournaments.* The sanction fees for USTA Regional Tournaments and USTA International Tournaments shall be \$100 per tournament. The USTA shall rebate the sanction fees to the Sectional Associations in which the tournaments are held.
4. *Tournament titles for USTA National Championships and USTA Junior National Championships.* The applicable competition committee establishes the title for USTA National Championships and USTA Junior National Championships under its jurisdiction. The title shall include, where applicable, the age, sex, surface, and any other divisions of the tournament. The initials “USTA” shall be used in identifying USTA National Championships and USTA Junior National Championships, *except that* the initials “US” only may be used in identifying the US Open.

**FAC Comment XVII.D-3:** The following are examples of titles for these tournaments: USTA Girls’ 18 National Championships; USTA National Women’s 35 Hard Court Championships; and USTA National Indoor ROHO Gateway Classic.

- 5. *Tournament titles for USTA Regional Tournaments and USTA International Tournaments.* The titles of USTA International Tournaments may include the initials “USTA.” The titles of USTA Regional Tournaments and USTA International Tournaments are subject to the approval of the Junior Competition and Sportsmanship Committee.
- 6. *Television, cable, satellite, internet, radio, film, and video rights.* The USTA reserves all television, cable, satellite, internet, radio, film, and video rights for all USTA National Championships and USTA Junior National Championships. If the USTA relinquishes these rights and they are subsequently sold and if no other arrangements have been mutually agreed to before the award of the sanction, the gross proceeds shall be

divided between the USTA and the sanction holder as follows:

- The first \$1000 shall belong to the sanction holder;
- The next \$9000 shall be divided 50% to the sanction holder and 50% to the USTA;
- The next \$10,000, 35% to the sanction holder and 65% to the USTA; and
- All amounts over \$20,000, 25% to the sanction holder and 75% to the USTA.

**E. ITF Junior Tournaments.** The ITF regulations require that ITF junior tournaments played in the United States be either organized by the USTA or sanctioned by the USTA. The Junior Competition and Sportsmanship Committee shall be notified of all applicants for tournaments requiring sanction approval. Sanction approval shall be as set forth in **USTA Regulations XVII.D.2.f. and g.**

**F. Role of USTA Board in Sanctioning US Open, USTA National Championships, USTA Junior National Championships, and Certain Other Tournaments.**

1. *Right of USTA Board to award sanctions.*
  - a. *US Open.* The USTA Board awards the sanction for the US Open.
  - b. *USTA National Championships and USTA Junior National Championships.* The USTA Board has the right to assume the responsibility for sanctioning USTA National Championships and USTA Junior National Championships instead of the applicable national competition committee.
  - c. *Tournaments not in category described in USTA Regulations VII.B-E.* The USTA Board may award the sanction for any tournament in a category of tournaments not described in **USTA Regulations XVII.B-E.**
2. *Sanction fees.* The sanction fee for the US Open and any tournament not described in **USTA Regulation XVII.** that is approved by the USTA Board shall be negotiated by the Sectional Association in whose territory the tournament is held, the sanction holder, and the USTA or its specifically designated representative. The agreement shall not become binding on the USTA until approved by the USTA Board. The Sectional Association shall receive that part of the sanction fee as shall be agreed upon by the USTA and the Sectional Association. The division, if any, of the sanction fee shall be negotiated by the President and Secretary-Treasurer of the USTA with the President and Treasurer of the respective Sectional Association. The payment to the Sectional Association shall not exceed \$2000. The sanction fee for any USTA National Championship or USTA Junior National Championship approved by the USTA Board shall be as set forth in **USTA Regulation XVII.D.3.**
3. *Commercial identification for tournaments when sanction requires USTA Board approval.* If the sanction for a tournament requires the approval of the USTA Board, no other name, such as that of a tournament sponsor, may, without prior approval of the USTA Board, be included in the official title.
4. *Television, cable, satellite, internet, radio, film, and video rights.* The USTA reserves all television, cable, satellite, internet, radio, film, and video rights for all tournaments when sanctions are awarded by the USTA Board.

## XVIII. AMATEUR AND PROFESSIONAL STATUS

### A. Definitions

Amateur and professional tennis players are within the jurisdiction of the USTA.

1. *Amateur shall not receive pecuniary advantage because of skills as tennis player.* A tennis player is an amateur if the player does not receive and has not received, directly or indirectly, pecuniary advantage by the playing, teaching, demonstrating, or pursuit of the game except as expressly permitted by the USTA.
2. *Definition of professional.* All other tennis players who accept the authority of, and who are in good standing with, the USTA shall be designated as professionals.
3. *Amateur remains amateur throughout tournament.* A player starting play in a tournament as an amateur shall remain an amateur for the purposes of that tournament.

### B. Warning

**Acceptance of expenses or prizes or undertaking employment allowed by these regulations may jeopardize the amateur's eligibility under rules and regulations of the amateur's school or another amateur organization in which the amateur is interested. Before accepting any expenses or prizes or undertaking any tennis related employment, an amateur should consider the eligibility rules of the amateur's school and any other amateur organization in which the amateur is interested.**

### C. Acts That Will Cause Loss of Amateur Status

1. *Prize money.* An amateur may not play for a money prize or any other prohibited prize or sell a prize or transfer the right to a prize to another person.
2. *Teaching and coaching.* An amateur may not teach, coach, instruct, or demonstrate the game except as authorized in **USTA Regulation XVIII.D.**
3. *Films and books.* An amateur may not accept money or gain pecuniary advantage by permitting the taking of tennis action films or television films of the amateur or by permitting the use of the amateur's name as the author of any book or article on tennis of which the amateur is not the actual author.
4. *Services.* An amateur may not accept money for services not actually rendered.
5. *Endorsements.* An amateur may not permit the amateur's name, initials, or likeness to be placed on tennis equipment or apparel of which the amateur is not the actual manufacturer, wholesaler, retailer, or seller. An amateur may not permit the use of the amateur's name, initials, or likeness in the advertising or other sales promotion of any goods of any manufacturer, wholesaler, retailer, or seller.

### D. Acts That Amateur May Engage in Without Losing Amateur Status

1. *Reasonable expenses actually incurred.* An amateur may be reimbursed for reasonable expenses actually incurred in connection with participation in a tournament, match, or exhibition. (See **USTA Regulation XVIII.E.**)
2. *Scholarships to school.* An amateur may receive a scholarship or other benefits authorized by the amateur's school that do not affect eligibility as a tennis player for the school.

3. *Tennis camp and school scholarships.* An amateur may receive a scholarship or other special award:
  - That recognizes a particular achievement by the amateur other than a prize won through participation in a tournament event or that recognizes a particular ability and financial need of the amateur; and
  - That permits the amateur, at no cost or at a reduced cost, to attend a tennis camp or school, to participate in a tennis clinic, or to receive tennis lessons, *provided that* the recipient has been selected from a group of eligible recipients in accordance with rules established by the donor of the scholarship or special award.
4. *Employment in sporting goods industry.* An amateur may enter into a bona fide arrangement for services as an individual proprietor, partner, employee, agent, or consultant with a manufacturer or seller of sporting goods or other products, *provided* the services to be performed will not violate any of these regulations.
5. *Student employment as salaried tennis instructor.* An undergraduate or a graduate student in regular full-time attendance at a recognized high school, college, or university, or a faculty member as described in **USTA Regulation XVIII.D.6.** shall not lose amateur status because of accepting employment as:
  - A counselor at a summer camp;
  - An assistant to a teaching professional;
  - An employee or tennis instructor at a club or establishment where tennis is played;
  - An employee or tennis instructor of a Sectional Association, District Association, subdivision of a Sectional Association, city recreation department or its equivalent, or recognized tennis patrons organization; or
  - An employee in a public junior development program.
 Remuneration for any such employment may only be on a salary basis and may not be on a fee-for-lesson basis.
6. *Faculty members serving as tennis coaches.* Regular full-time faculty members of a recognized high school, college, or university may teach tennis to the pupils or coach the tennis team as part of their faculty assignments without affecting their amateur standings.
7. *Physical education teachers.* An amateur may be a physical education or sports teacher who receives pecuniary advantage by giving elementary tennis instruction. Remuneration for any such employment may only be on a salary basis and may not be on a fee-for-lesson basis.

**E. Expenses That May Be Reimbursed Without Causing Player to Lose Amateur Status**

1. *Reasonable expenses actually incurred.* An amateur may be reimbursed for reasonable expenses actually incurred in connection with participation in a tournament, match, or exhibition.
2. *Documentation of expenses.* Where practicable, expenses must be supported by receipts or other evidence of payment.
3. *Lodging.* An amateur may accept reimbursement for reasonable lodging expenses.

4. *Food.* An amateur may accept reimbursement for reasonable food expenses.
5. *Travel.* Travel expenses are allowable for travel to a tournament from home or a previous tournament if in fact travel was from a previous tournament. Travel expenses are also allowable for actual return to the amateur's home from the tournament. Reimbursement shall be limited to the following:
  - Air, rail, or bus fare at coach rate;
  - Local transportation; and
  - Automobile expenses per vehicle plus tolls at a rate established by the USTA Board.
6. *Entry fees.* USTA entry fees are allowable expenses.
7. *Expenses before and during tournament.* Expenses incurred at a tournament site for the period starting a maximum of two days before the start of a tournament and ending on the day after a player is eliminated from the tournament are allowable in accordance with these regulations.
8. *Expenses of spouse, parent, coach, or chaperone.* Expenses for a non-playing spouse, a parent, a chaperone traveling with an amateur, or a coach are allowable in accordance with these regulations. Expenses for a coach shall be related to practice for and participation in the tournament at a tournament site.
9. *Miscellaneous expenses.* Expenses for laundry and soft drinks are allowable if not provided by the tournament or host.
10. *Documentation of expenses to USTA.* Upon the written request of the USTA Grievance Committee, the Executive Committee, or the USTA Board, an amateur shall fully inform the committee or USTA Board as to:
  - a. All expenses paid in connection with participation in any tournament, match, or exhibition, including furnishing to the committee or USTA Board additional information as may be requested and including a sworn statement as to the expenses; and
  - b. The details of any employment in the sporting goods industry or other arrangement described in **USTA Regulation XVIII.D.4.**, including furnishing to the committee or USTA Board any written agreement, correspondence, or memoranda relating thereto, including a sworn statement as to the details.

Failure to comply promptly and fully with the request shall be grounds for immediate suspension, which suspension shall continue until the amateur has complied with the request.

#### **F. Reimbursement of These Expenses Will Cause Player to Lose Amateur Status**

1. *Reimbursements conditioned on round reached.* Any arrangement for payment of expenses shall not be conditioned on the round reached. A tournament may enter into an arrangement for payment of part or all of an amateur's allowable expenses, as defined in this **USTA Regulation XVIII.**, conditioned upon the tournament obtaining sufficient funds for the payment.
2. *Reimbursement of expenses paid by someone else.* An amateur may not accept reimbursement for an expense if someone else (for example, a sponsor) has paid the expense, unless the amateur actually returns the payment to the sponsor.

3. *Lost income.* Lost opportunities for income, attributable to playing a tournament, are not allowable expenses.
4. *Equipment and clothing.* Equipment and clothing are not allowable expenses.
5. *Expenses not reimbursable by virtue of action of Sectional Association or USTA Grievance Committee.* No amateur may receive, directly or indirectly, expenses of any kind in connection with playing tennis if permission to receive expenses has been refused either:
  - By the amateur's Sectional Association; or
  - By the USTA Grievance Committee.

An amateur whose Sectional Association has refused to allow the amateur to receive the expenses may appeal to the USTA Grievance Committee pursuant to **USTA Bylaw 43**.

#### **G. Sanction Holder's Role in Reimbursement of Amateur's Expenses**

1. *Direct arrangements between sanction holder and amateur.* All arrangements, including the payment of expenses, for participation by an amateur in a sanctioned tournament, match, or exhibition shall be made by the sanction holder directly with the amateur, the amateur's Sectional Association, the Sectional Association in which the tournament is being held, the team captain of any recognized USTA team (whether on a national, sectional, or district level), or the chairperson for any sanctioned national or international tournament, match, or exhibition.

Payments made to hotels for indebtedness incurred for lodging, meals, etc., or to common carriers for transportation will be considered as having been paid directly to the amateur.

Failure to comply with the above policy will be grounds for immediate suspension, which suspension shall continue until the sanction holder has so complied with the policy.

2. *Expenses not reimbursable by virtue of action of Sectional Association or USTA Grievance Committee.* No sanction holder may pay, directly or indirectly, expenses of an amateur if permission to pay the expenses has been refused either:
  - By the sanction holder's Sectional Association; or
  - By the USTA Grievance Committee.

If the Sectional Association of the sanction holder has refused to allow it to pay the expenses of an amateur, the sanction holder may appeal to the USTA Grievance Committee pursuant to **USTA Bylaw 43**.

3. *Sanction holder may lose future sanction of its tournaments if it violates **USTA Regulation XVIII**.* A sanction holder that disregards any provision of **USTA Regulation XVIII**, shall be deemed to have acted in a way detrimental to the welfare of the game and may be denied by the USTA Board further sanctions to hold tournaments, matches, or exhibitions. Any sanction holder charged by the USTA Board with having so acted may, at its request, have a hearing before the USTA Grievance Committee pursuant to **USTA Bylaw 43**.

#### **H. Amateur Prizes**

1. *Value of prizes for amateurs may not exceed \$250 per event.* The maximum value of a prize that may be won by an amateur in any one event is \$250. Thus, if an amateur participates in both the singles and



doubles events in a particular tournament, the amateur may accept a prize having a maximum value of \$250 for participation in the singles event and another prize having a maximum value of \$250 for participation in the doubles event.

2. *Allowable prizes.* A prize may include, but is not necessarily limited to, the following:
  - Trophy or medal;
  - Merchandise (for example, apparel, suitcases, rackets, silverware);
  - Gift certificate;
  - Membership or playing privileges at a tennis club with the amateur having the right to designate the club;
  - Tennis lessons with the amateur having the right to designate the professional or organization providing the lessons;
  - Scholarship with the amateur having the right to designate the school; and
  - Tax deductible contribution by the Tournament Committee with the amateur having the right to designate the recipient of the contribution.
3. *Cash prizes may not be given to amateurs.* A prize may not be cash or any award that may be surrendered for cash.
4. *Donation of prize money to school or tennis organization by Tournament Committee.* If a player may not accept prize money or a prize because the player is an amateur, then the Tournament Committee or sponsor has the discretion to give the prize money or prize to:
  - A high school or college;
  - The athletic department of a high school or college, which may be for a specific use such as the tennis team;
  - A Sectional Association; or
  - A tennis patrons organization that is approved by its Sectional Association for receipt of the prize money or prize, *provided that* the player does not determine the recipient.

#### **I. Enforcement Procedure Over Amateur Status**

1. *Role of USTA President and USTA Grievance Committee in payment of amateur expenses.* The USTA President and the USTA Grievance Committee, acting jointly, may exercise supervision and control over the payment and acceptance of expenses as may in their judgments be necessary to insure an observance of these regulations. The USTA President and the chairperson of the USTA Grievance Committee, acting jointly, are authorized to engage and pay for investigative services as they deem necessary and proper to insure the proper enforcement of these regulations.
2. *Sectional Association jurisdiction over amateur status.* A Sectional Association may elect to exercise jurisdiction over its amateurs and require that they obtain special permission from it before accepting expenses in connection with their participation in a tournament, match, or exhibition. Election by a Sectional Association to exercise this jurisdiction will become effective only upon receipt of formal notice by the Secretary of the USTA.
3. *Consequences of violating **USTA Regulation XVIII.*** An amateur who

violates any provision of **USTA Regulation XVIII.** will jeopardize the player's amateur status and will be subject to disciplinary action pursuant to **USTA Bylaw 43** or pursuant to the procedures of any appropriate Sectional Association or District Association. A sanction holder that violates any provision of **USTA Regulation XVIII.** is subject to disciplinary action pursuant to **USTA Bylaw 43** or pursuant to the procedures of any appropriate Sectional Association or District Association.

#### **J. Reinstatement to Amateur Status**

1. *Reinstatement of professional.* If an amateur has become a professional and then wishes to be reinstated as an amateur, the following principles and requirements shall be applicable:
  - a. *Written request to USTA Grievance Committee.* The player shall make a written request to the USTA Grievance Chairperson, c/o USTA Executive Director, 70 West Red Oak Lane, White Plains, New York 10604.
  - b. *Player was professional for less than one year and grossed less than \$3,000.* If the period of time from the date the player first became a professional through the date on which the player ceased professional activities is less than one year, and if during this period the aggregate of prize money won and of other gross income received from professional activities is less than \$3,000, reinstatement as an amateur may be granted effective as of the date between three months and six months following the date on which the player ceased professional activities.
  - c. *Player was professional for between one and two years and grossed less than \$10,000.* If the period of time from the date the player first became a professional through the date on which the player ceased professional activities is a year or more, but is less than two years, and if during this period the aggregate of prize money won and of other gross income received from professional activities is less than \$10,000, reinstatement as an amateur may be granted effective as of the date between six months and one year following the date on which the player ceased professional activities.
  - d. *Player was professional for between two and three years and grossed less than \$25,000.* If the period of time from the date the player first became a professional through the date on which the player ceased professional activities is two years or more, but is less than three years, and if during this period the aggregate of prize money won and of other gross income received from professional activities is less than \$25,000, reinstatement as an amateur may be granted effective as of the date between one year and 18 months following the date on which the player ceased professional activities.
  - e. *Player was professional for less than three years, grossed less than \$25,000, and subparagraphs b. through d. do not apply.* If the period of time from the date the player first became a professional through the date on which the player ceased professional activities is less than three years, and if during this

period the aggregate of prize money won and of other gross income received from professional activities is less than \$25,000, and if none of subparagraphs b. through d. are applicable, reinstatement as an amateur may be granted effective as of a date reasonably consistent with the principles set forth in subparagraphs a. through c. above.

- f. *Player was professional for more than three years or grossed more than \$25,000.* If the period of time from the date the player first became a professional through the date on which the player ceased professional activities is three years or more, or if the aggregate of prize money won and of other gross income received from professional activities is \$25,000 or more, reinstatement as an amateur may be granted effective as of a date reasonably consistent with the principles set forth in subparagraphs b. through d.
2. *Player who has turned professional more than once.* If an amateur has become a professional after having been previously reinstated as an amateur, and then wishes to be reinstated again as an amateur, the effective date for any reinstatement may be considerably longer than the periods indicated in **USTA Regulation XVIII.J.1.**
3. *USTA Grievance Committee may reinstate player.* Any reinstatement shall be made by the USTA Grievance Committee on evidence satisfactory to it that reinstatement is merited.

## **XIX. AMENDMENTS AND REQUESTS FOR WAIVERS**

**USTA Regulations** may be amended if the amendment is consistent with the USTA Constitution and the USTA Bylaws.

### **A. Amendment by Executive Committee**

Amendments may be adopted by the affirmative vote of a majority of the members of the Executive Committee present and voting at any meeting of the Executive Committee, *provided that* notice of the proposed amendment be included by the Secretary-Treasurer of the USTA in the notice of the meeting at which the amendment is to be considered.

### **B. Persons Authorized to Submit Amendments**

A proposed amendment may be submitted to the Executive Committee only by a Sectional Association (through its President or Secretary), a member of the Executive Committee, or a USTA committee (through its chairperson). A USTA committee shall submit a proposed new **USTA Regulation** or amendment only if the committee has voted to submit the proposal in accordance with the Bylaws. The committee chair shall forward the proposal to the Secretary-Treasurer of the USTA and shall circulate it to other bodies as the committee may direct. Deadlines for submitting the proposal to the Secretary-Treasurer are set forth in **USTA Regulation XIX.C.** and **USTA Regulation XIX.D.**

### **C. Two-Step Process for Submitting Amendment to Executive Committee**

1. *Step One: Review and discussion at Semiannual Meeting.* All proposed amendments shall be submitted in writing to the Executive Committee through the Secretary-Treasurer of the USTA at least 90 days before the Semiannual Meeting of the voting members. The Secretary-Treasurer of the USTA shall provide notice of the proposed amendment by including a copy of the item with the formal notice of the meeting of the Executive Committee that is held immediately before the Semiannual Meeting of the voting members.
2. *Step Two: Vote at Annual Meeting.* After review and discussion at the meeting of the Executive Committee held prior to the Semiannual Meeting of the voting members, the proposer may submit the proposal in its original form or in a revised form to the Secretary-Treasurer at least 90 days before the Annual Meeting of the voting members. The Secretary-Treasurer of the USTA shall provide notice of the proposed amendment by including a copy of the item with the formal notice of the meeting of the Executive Committee that is held immediately before the Annual Meeting of the voting members. The proposal is then voted upon by the Executive Committee at the meeting that is held immediately before the Annual Meeting of the voting members.

### **D. Process for Submitting Amendments of Extreme Urgency**

A proposal concerning a matter of extreme urgency that could not have been foreseen 90 days before a meeting of the Executive Committee may be submitted to the Secretary-Treasurer at least 25 days before a scheduled meeting date of the Executive Committee. The Secretary-Treasurer shall provide notice of the proposal to all members of the Executive Committee at least 15 days before the scheduled meeting date of the Committee. The Executive Committee may only consider the proposal *after* the affirmative vote of a majority of the members of the Executive Committee present and voting determine the proposal to be of extreme urgency.

### **E. Amendment by USTA Board**

Amendments may be adopted by the affirmative vote of a majority of the

members of the USTA Board present and voting at any meeting of the USTA Board held during intervals between meetings of the Executive Committee, *provided that*:

1. *Amendment included in notice.* Notice of the proposed amendment or new regulation shall be included by the Secretary-Treasurer of the USTA in the notice of the meeting at which the amendment is to be considered; and
2. *Twenty-day advance notice required within USTA.* The Secretary-Treasurer of the USTA shall provide a copy of each amendment or new regulation proposed to be made by the USTA Board to each member of the Executive Committee, to each sectional president who is not a member of the Executive Committee, to the chairperson of the Constitution and Rules Committee, to each Sectional Association office, and to the chairperson of any USTA committee that is affected by the proposed amendment, not less than 20 days before the date of the meeting at which the action is to be considered.

**F. Amendments Passed by Executive Committee and USTA Board Are Subject to Amendment at Next Meeting of Members**

Pursuant to **USTA Bylaw 66**, amendments passed by the Executive Committee or by the USTA Board are subject to approval, disapproval, or amendment at the next Annual, Semiannual, or Special Meeting of the membership upon the request of the voting delegates at the meeting with sufficient strength to constitute a majority vote of the membership.

**G. Secretary-Treasurer of USTA Shall Provide Certain USTA Officials with Copy of Amendment Within Sixty Days of Its Adoption**

The Secretary-Treasurer of the USTA shall provide a copy of each amendment that is adopted to each member of the Executive Committee, to each Sectional President who is not a member of the Executive Committee, to each Sectional Association office, to the chairperson of the Constitution and Rules Committee, and to the chairperson of any USTA committee that is affected by the amendment within 60 days after the action.

**H. Effective Date of Amendments**

Amendments to **USTA Regulations I.–VII.** shall be effective on January 1 following their adoption, *unless* the Executive Committee or USTA Board votes that an amendment shall have a different effective date, in which case it shall be effective on the date specified. Amendments to all other **USTA Regulations** shall be effective immediately unless a later date is specified.

**I. Amendments to *The Code*, USTA Comments to ITF Rules of Tennis, and FAC Comments to USTA Regulations**

Amendments to *The Code*, USTA Comments to **ITF Rules of Tennis**, and FAC Comments to **USTA Regulations** may be made by two methods.

1. *Amendment pursuant to procedures for amendment to USTA Regulations.* Amendments may be made pursuant to the procedures applicable to amending **USTA Regulations**.
2. *Changes requested by chairpersons of Tennis Rules and Regulations Committee and Officials Committee.* If the chairpersons of the Tennis Rules and Regulations Committee and the Officials Committee jointly request that a change be made to *The Code*, USTA Comments to **ITF Rules of Tennis**, or FAC Comments to **USTA Regulations**, the chairperson

of the Constitution and Rules Committee and the Office of General Counsel may authorize the change if they concur with the changes, agree that the change is consistent with **ITF Rules of Tennis** and **USTA Regulations**, and the change:

- Conforms with the USTA Constitution, USTA Bylaws, **ITF Rules of Tennis**, and **USTA Regulations**;
- Makes language and stylistic changes;
- Promotes clarity;
- Eliminates ambiguity;
- Corrects a mistake; or
- Provides additional guidance.

## **J. Request for Waiver**

An Individual Member who believes the Individual Member is adversely affected by a **USTA Regulation** may, for a compelling reason, petition for a waiver of that regulation. The Individual Member shall submit the petition to the USTA Executive Director, 70 West Red Oak Lane, White Plains, NY 10604-3602, at least 45 days before the requested effective date of the waiver. The petition shall:

- Identify the regulation that the Individual Member would like to have waived;
- State the period over which the waiver is requested;
- State the compelling reason; and
- Submit documentation that fully describes the basis for the request.

Upon receipt of the petition, the Executive Director shall assign the petition to an appropriate person for review and decision and shall notify the Individual Member of the identity of the person to whom the petition has been assigned.

Within 30 days of the assignment (unless further time is reasonable), the person shall:

- Render a decision on the petition based on the investigation, hearing, or consultation that the person deems appropriate; and
- Notify the Executive Director and the Individual Member of the decision.

The Individual Member may appeal the decision to the USTA Grievance Committee pursuant to **USTA Bylaw 43**. The appeal shall be treated as an initial grievance.

**FAC Comment XIX.I-1:** The International Tennis Federation determines the **ITF Rules of Tennis**. Any request for waiver of the **ITF Rules of Tennis** should be addressed to the ITF.

## **PART 4—USTA EMERGENCY CARE GUIDELINES**

### **INTRODUCTION**

Emergency Care Guidelines help prepare Tournament Directors for medical emergencies and evacuations in case of disasters. The ordinary standard of care does not require a Tournament Director to be trained in emergency medical care. However, familiarity with these guidelines allows Tournament Directors to be prepared for medical emergencies and evacuations in case of disasters.

### **TOURNAMENT PREPARATION**

It is important to think about the possibility of a medical emergency taking place at your tournament ahead of time. Once you have anticipated this possibility, you have already begun preparation. Consider having the following in place at the start of the tournament:

### **EMERGENCY PHONE NUMBERS**

- Identify at least one working phone on site. If you are using a cellular phone, keep it fully charged and verify that it works on site. Many cellular phones do not connect in certain areas or locations.
- Know the phone number and location of the nearest hospital.
- Know the phone number of a local ambulance company. Let the company know beforehand that you are hosting a tennis tournament, and speak to appropriate personnel about the best protocol to follow in case of an emergency.
- 911: Remember, when in doubt or in case of a medical emergency, call 911, which will activate the emergency response system and prompt an emergency medical vehicle to be sent to your site.
- Walk the tournament site in order to know the best way for an emergency vehicle to enter.
- Review with pertinent tournament personnel the emergency phone numbers and the protocol for activating 911.
- When activating 911, or when calling the local ambulance company, keep your instructions clear and indicate the following:
  - The number of people who are in need of emergency medical care
  - Their ages (or approximate ages)
  - The location
  - The person to whom emergency medical services should report

In addition to activating the emergency response system, try to locate the parent or guardian of a minor as soon as possible. For adults, try to locate the spouse, parent or next of kin as soon as possible. Remember, for emergency medical care, activating 911/emergency response system takes precedence over calling family members.

### **SUPPLIES (ON-HAND)**

- Towels: Verify that ample towels are available for cleanup and for use by players, if necessary.
- Water: Verify that ample drinking water is available on site.
- Ice: Verify that ice is available for both heat illness and acute strains/sprains.

- **Gloves:** Verify that exam gloves are on site, as they are to be worn by anyone who may come into direct contact with blood—which includes caring for an injured player or spectator/staff—or cleaning a blood spill.
- **First Aid Kit:** A basic first aid kit may be used within your comfort zone. Do not dispense medication except upon the documented recommendation of a physician on site. Basic first aid kits include:
  - Band-Aids, both small and large
  - Medical gauze
  - Athletic tape and Elastic (ACE) bandages
  - Plastic bags, including red plastic bags

*The text contained herein is for informational purposes only. The United States Tennis Association does not assume liability for any information contained herein. Any and all emergency care decisions should be made in consultation with a licensed professional.*

## ON-SITE EMERGENCY CARE

The following sections describe medical situations and conditions the Tournament Director may encounter. Remember, best practices mean identifying that someone needs emergency medical care, and then accessing emergency treatment via 911 or a local ambulance company.

## UNIVERSAL PRECAUTIONS

Universal precautions is a term that means any human blood—including body fluids tainted with blood—is considered contaminated and potentially infectious. Thus, latex exam gloves are worn by anyone handling blood or blood products, and the individual handling the blood should have no open sores (non-latex exam gloves are available for latex-allergic/sensitive individuals). As a practical example, exam gloves are worn by an individual who is applying pressure with a towel or bandage to a player who is bleeding. Blood spills on court are best cleaned in accordance with current **USTA Regulations** (Friend at Court: The USTA Handbook of Tennis Rules and Regulations: medical timeout). If a mop and water are not utilized, a towel with water is acceptable. In this instance, the individual cleaning the court is wearing exam gloves. Blood products are disposed in readily identifiable red plastic bags.

## HEAT ILLNESS

Heat illness refers to an acute medical condition that arises from a combination of dehydration and overheating within the body. Heat illness occurs most commonly in hot, humid conditions, especially if there is little wind. It is important to be aware of the temperature and humidity throughout the day, and to anticipate heat illness occurrences when the apparent temperature, or heat index, is equal to or greater than 90 degrees, as per the chart below.

The symptoms and signs of heat illness include unusual or excessive tiredness, headache, nausea (with or without vomiting), cramps, dizziness, passing out and high body temperature. Heat stroke is a medical emergency, and typically individuals appear acutely ill, have a high body temperature, and are unable to drink any fluids.



Post signs advising players to drink plenty of fluids before, during and after play. Try to provide and identify areas with shade for cooling, plenty of fluids, and cold, wet towels or icepacks. If the player cannot drink or has no desire to drink, has lost consciousness or has a change in level of consciousness, or if there is any doubt about the player's condition, arrange for emergency transport to the nearest hospital via 911 or a local ambulance company. While awaiting emergency transport to arrive, remove the player from the heat and cool the player with cold, wet towels applied to the body—specifically the armpits, groin, and head.

### **ACUTE ALLERGIC REACTIONS/ANAPHYLAXIS**

Acute allergic reactions are most likely to occur at a tennis tournament as a result of an insect bite or a bee/wasp sting. The reaction can range from localized swelling and discomfort, to more generalized swelling, to difficulty breathing with wheezing, to a life-threatening cardiovascular collapse. Localized reactions can be treated with ice. If the rash continues to worsen, if there is any difficulty breathing, if there is wheezing or facial swelling, or any changes in the level of consciousness, arrange for emergency transport to the nearest hospital via 911 or a local ambulance company.

Some players may have an established history of severe allergic reactions and may have experience using Epipen (epinephrine auto-injector). Such players may self-administer Epipen in accordance with their comfort zone. Even in this scenario, activate the emergency response system to ensure immediate medical evaluation and management of the individual.

### **STRAINS/SPRAINS**

Acute strains and sprains usually occur in the setting of a fall. Symptoms include localized swelling and pain. Acute management includes limb elevation with application of ice and a compression bandage (ACE bandage). Remember: **RICE: Rest; Ice; Compression; Elevation**). Best practices include players consulting with their physician for further management. For severe strains and sprains, best practices include evaluation that day, either in a physician's office or in the emergency room, in order to rule out an underlying fracture.

### **OTHER EMERGENCIES**

Life-threatening emergencies can occur at any time, and can include a seizure, heart attack, sudden fall with head trauma, or sudden collapse. Your job is not to make a diagnosis, but to activate the emergency response system via 911 or a call to the local ambulance company. It is important to maintain an environment of calm, and to remove all unnecessary people from the scene.

### **MEDICATION**

Do not administer medication on site, including aspirin, products containing acetaminophen, or over-the-counter cold remedies. Some over-the-counter products contain medications that may be banned by the Tennis Anti-Doping Program. It is the player's responsibility to properly take such medications under the direction of a physician, the player's guardian, or both. In addition, never supply any food supplements, protein drinks, or energy supplements other than

standard sport drinks (e.g., Gatorade). These supplements may be tainted with banned substances for doping control.

## **THUNDERSTORMS AND LIGHTNING**

Lightning is a potential severe hazard and life-threatening consequence of an approaching storm near outdoor tennis matches. It is important to be prepared for immediate cessation of all matches or warm-up in the event of lightning. In essence, if lightning is sighted, stop all activity and direct everyone to seek appropriate shelter. A 30-30 rule may be used, which is as follows:

- If lightning is sighted and thunder then occurs in 30 seconds or less, instruct everyone on site to seek appropriate shelter. Dividing the number of seconds between lightning and thunder by 5 gives the distance of lightning in miles. (For example, a flash-to-bang count of 30 seconds means a distance of 6 miles.)
- Resume tennis activity after a minimum of 30 minutes has elapsed since the last lightning strike was seen.

The primary shelter choice is any substantial, frequently inhabited building with working electricity, telephones and plumbing. While inside, avoid using electrical devices or telephones attached to cords, and refrain from taking showers. If such a building is not available, the next safest location is a fully enclosed vehicle with a metal roof and closed windows. Do not touch the metal framework while inside the vehicle.

Avoid the following locations:

- Open fields
- Proximity to open water
- Trees, flag poles, or light poles

If anyone has been struck by lightning, activate emergency medical services immediately. If possible, move the injured person to a safer location.

This Heat Index Chart provides general guidelines for assessing the potential severity of heat stress. Individual reactions to heat will vary. It is noteworthy that heat illness can occur at lower temperatures than indicated on the chart. In addition, studies indicate that susceptibility to heat illness tends to increase with the very young and the elderly.

- 1. Across the top of the chart, locate the **ENVIRONMENTAL TEMPERATURE** (i.e., the air temperature).
- 2. Down the left side of the chart, locate the **RELATIVE HUMIDITY**.
- 3. Follow across and down to find the **APPARENT TEMPERATURE**. Apparent Temperature is the combined index of heat and humidity. It is an index of the body’s sensation of heat caused by the temperature and humidity (the reverse of the “wind chill factor”).

Note: Exposure to full sunshine can increase Heat Index values.

HEAT INDEX											
ENVIRONMENT TEMPERATURE [F°]											
	70°	75°	80°	85°	90°	95°	100°	105°	110°	115°	120°
Relative Humidity	Apparent Temperature*										
0%	64°	69°	73°	78°	83°	87°	91°	95°	99°	103°	107°
10%	65°	70°	75°	80°	85°	90°	95°	100°	105°	111°	116°
20%	66°	72°	77°	82°	87°	93°	99°	105°	112°	120°	130°
30%	67°	73°	78°	84°	90°	96°	104°	113°	123°	135°	148°
40%	68°	74°	79°	86°	93°	101°	110°	123°	137°	151°	
50%	69°	75°	81°	88°	96°	107°	120°	135°	150°		
60%	70°	76°	82°	90°	100°	114°	132°	149°			
70%	70°	77°	85°	93°	106°	124°	144°				
80%	71°	78°	86°	97°	113°	136°					
90%	71°	79°	88°	102°	122°						
100%	72°	80°	91°	108°							

\* Combined index of heat and humidity...what it “feels like” to the body.

APPARENT TEMPERATURE	HEAT STRESS RISK WITH PHYSICAL ACTIVITY AND/OR PROLONGED EXPOSURE
90° - 105°	Heat cramps or heat exhaustion possible
105° - 130°	Heat cramps or heat exhaustion likely, Heatstroke possible
130° and up	Heatstroke highly likely

Source: National Oceanic and Atmospheric Administration.

## **PART 5—UMPIRE ASSIGNMENT, SELECTION, CERTIFICATION, AND TRAINING**

### **I. USTA POLICY**

#### **A. Diversity and Inclusion: A Key Element of the USTA's Core Values**

Just as any good investor knows that you have to diversify your portfolio if you want to maximize its value, so the USTA knows that by making tennis open and accessible to all people of varied backgrounds, the value of the sport, the overall crowd appeal and the potential for high returns will increase. The USTA has made Diversity and Inclusion a Primary Strategic Priority and is committed to growing the game through outreach and inclusion, as defined by our USTA Board of Directors Approved Diversity Statement:

**We, the United States Tennis Association, recognize diversity as essential to achieving our mission: to promote and develop the growth of tennis. For us, diversity refers to differences of culture, ethnicity, race, age, gender, beliefs, religion, social and economic status, sexual orientation, family status, physical ability, appearance, and ideas.**

**We are committed to achieving greater diversity throughout the sport and fostering a tennis environment that is more inclusive.**

Pursuant to its overall diversity policy, the USTA hereby promulgates this statement on diversity in Umpire assignment, selection, certification, and training.

#### **B. Assignment & Selection of Chair Umpires for Tournaments**

1. The USTA does not permit discrimination on the basis of gender, race, or any other prohibited basis in the selection and assignment of Chair Umpires to tournaments and matches.
2. All qualified Chair Umpires will be afforded equal opportunities to Chair men's and women's matches regardless of their gender. The USTA, where it has the authority to do so, will seek to assign qualified female Chair Umpires to men's tournaments and matches in numbers approximating their representation in the qualified pool of applicants available for these tournaments and matches. The USTA will urge USTA Pro Circuit tournament officials and the Chief Umpires assigned to these tournaments to do the same, and will take best efforts to include these assignment goals in future contracts with entities holding USTA Pro Circuit tournaments. When asked to recommend Chair Umpires for ATP tournaments, the USTA will seek to recommend qualified female Chair Umpires in numbers approximating their representation in the pool of Umpires qualified and available to chair these tournaments.
3. All qualified Chair Umpires will be afforded equal opportunities to chair tournaments and specific matches regardless of their race. The USTA, where it has the authority to do so, will seek to assign qualified Chair Umpires, regardless of their demographic background (i.e., race, gender, sexual orientation, etc.), to matches, including later-round matches, in numbers approximating their demographic representation in the qualified pool of applicants available for these matches. The USTA will urge USTA Pro Circuit tournament officials and the Chief

Umpires assigned to these tournaments to do the same, and will take affirmative steps to include these assignment goals in future contracts with entities holding USTA Pro Circuit tournaments. When asked to recommend Chair Umpires for ATP and WTA tournaments, the USTA will seek to recommend qualified minority Chair Umpires in numbers approximating their demographic representation in the pool of Umpires qualified and available to chair these tournaments.

- 4.a. The process for applying for Chair Umpire positions at USTA Pro Circuit events, non-US Open is:
  - *Step One.* Work to achieve a Chair Certification by the USTA.
  - *Step Two.* Indicate interest through the Sectional Chair to attend a USTA Chair Academy to improve current skill level.
  - *Step Three.* Register work availability with the USTA Officials Department in the National Office (ITF certification encouraged but not required).
- 4.b. The process for applying for Chair Umpire positions at the US Open is:
  - *Step One.* Work to achieve a Chair Certification by the USTA.
  - *Step Two.* Indicate interest through the Sectional Chair to attend a USTA Chair Academy to improve current skill level.
  - *Step Three.* Register work availability with the USTA Officials Department in the National Office (ITF certification not required).
  - *Step Four.* Indicate interest through the Sectional Chair to attend an ITF White Badge School.
  - *Step Five.* Achieve White Badge or better certification.
  - *Step Six.* File an application to work the US Open by the annual US Open deadline with the USTA Officials Department.
- 5.a. In selecting Chair Umpires and assigning them to USTA Pro Circuit matches, the USTA will rely primarily on job related criteria such as: (Not in any particular order)
  - Availability
  - Performance rating or evaluation from rating from USTA Trainer/Evaluators and experienced USTA/ITF Certified Chair Umpires/Referees
  - Experience
  - ITF Badge Level (encouraged but not required for Pro Circuit events)
  - Adherence to the USTA Officials' Code of Conduct
- 5.b. In selecting Chair Umpires and assigning them to US Open matches, the USTA will rely primarily on job related criteria such as: (Not in any particular order)
  - US Open Application accepted
  - Performance rating or evaluation from rating from USTA Trainer/Evaluators and experienced USTA/ITF Certified Chair Umpires/Referees
  - Experience
  - ITF Badge Level
  - Adherence to the USTA Officials' Code of Conduct
  - Nationality of the Umpire
  - Whether a player exists on the Umpire's "NO List"

6. The USTA maintains records of the names of the Chair Umpires assigned to all matches of each USTA Sanctioned Tournament.

### **C. Certification and Training of Chair Umpires**

1. The USTA does not permit discrimination on the basis of gender, race, or any other prohibited basis in the certification of Umpires and in the selection of USTA-certified Umpires to be recommended for admission to the ITF for Badge School.
2. All qualified Chair Umpires will be afforded equal opportunities to obtain each USTA certification level and to be recommended for admission into an ITF Badge School, regardless of their demographic background (i.e., race, gender, sexual orientation, etc.). The USTA will seek to recommend for ITF Badge School admission qualified Umpires, regardless of their demographic background, who express written interest in attending ITF Badge Schools in numbers approximating their representation in the pool of qualified Umpires who express written interest in attending these Schools.
3. The process for USTA-Certified Umpires to obtain recommendations for admission to ITF Badge Schools or to attend USTA-operated chair academies is:  
*Step One.* Seek supplemental chair assignments at lower level USTA Circuit events, Junior events, Collegiate events, or any events to gain experience.  
*Step Two.* Seek additional chair assignments at the Pro Circuit level for Pro Level experience.  
*Step Three.* Obtain applications from the ITF website or seek assistance to obtain an application from the USTA Officials Department.  
*Step Four.* Submit the completed application to the USTA Officials Department.
4. Decisions by the USTA on whether to recommend USTA-Certified Umpires to attend ITF Badge Schools are based on:
  - Recommendation from the Sectional Chair;
  - Performance rating or evaluation from National Trainer/Evaluators and experienced USTA/ITF Certified Chairs/ Referees;
  - Pro Circuit Experience;
  - USTA certification for Chair Academies/Chair Certification by the USTA for ITF schools;
  - Adherence to the USTA Officials' Code of Conduct.
5. Decisions for selecting Umpires certified by the USTA to attend USTA-operated Chair Academies will be based primarily on job related criteria such as:
  - Recommendation from the Sectional Chair;
  - Performance rating or evaluation from National Trainer/Evaluators and experienced USTA/ITF Certified Chairs/ Referees;
  - Pro Circuit;
  - USTA certification for Chair Academies/Chair Certification by the USTA for ITF schools;
  - Adherence to the USTA Officials' Code of Conduct.
6. The USTA maintains records of the names of USTA-Certified Umpires recommended for admission into ITF Badge Schools, as well as the

names of USTA-Certified Umpires who attend USTA-operated Chair Academies. Upon request, the USTA will provide any USTA-certified Umpire with the records relating to his or her request for a Badge School recommendation or for admission to a USTA-operated Chair Academy.

## **II. DISCRIMINATION COMPLAINT AND RESOLUTION PROCESS**

### **A. Umpire Equal Opportunity Policy**

The USTA does not permit discrimination on the basis of gender, race, color, religion, national origin, marital status, citizenship status, veteran status, sexual orientation, age, disability, or any prohibited basis in the selection and assignment of Chair Umpires to tournaments and matches, or in the certification of Umpires and the selection of USTA-Certified Umpires to be recommended to the ITF for Badge School admission.

### **B. Umpire Complaint and Investigation Procedure**

1. Any Umpire who believes that he or she has been subjected to discrimination in violation of the Umpire Equal Opportunity Policy may file a complaint in writing with the Chief Diversity Officer of the USTA, either by mail 70 West Red Oak Lane, White Plains, NY 10604, or via email at [diversity@usta.com](mailto:diversity@usta.com). The complaint shall include:
  - a. the date, time, and location of the acts or actions complained of;
  - b. the identities of the person or persons taking the disputed acts or actions and any witnesses to them; and
  - c. the basis on which the acts or actions are believed to be discrimination in violation of the Umpire Equal Opportunity Policy.
2. The USTA will promptly and thoroughly investigate each complaint in an impartial manner and, to the fullest extent possible, shall maintain the confidentiality of all complaints and Umpire complainants. The Umpire complainant shall be informed of the results of the investigation.
3. If the USTA determines that discrimination in violation of the Umpire Equal Opportunity Policy has occurred, it will immediately take appropriate remedial and/or disciplinary action, up to and including termination of the employment or contract of the responsible party if the USTA has this authority.
4. The USTA will maintain the records of the Umpire Complaint and Investigation Procedure, including those reflecting the nature of the complaint, the investigation conducted, the results of the investigation, and any actions taken as a result of the investigation, in accordance with applicable legal standards.
5. No Umpire shall be subjected to retaliation or other detriment in his or her relations with the USTA for filing, assisting with, or supporting in any manner a complaint of discrimination in violation of the Umpire Equal Opportunity Policy. If any retaliation or detriment occurs, the affected Umpire may file an amended or a separate complaint with the Umpire Diversity Officer to be processed and resolved in the manner specified above.

## PART 6—UMPIRE AWARDS

### John T. McGovern Award Recipients

The award is presented annually to a Chair Umpire or Line Umpire who has given a lifetime of dedication, service, and expertise to tennis officiating.

- |   |  |
|---|--|
| 1949—Donald M. Dickson,<br>Bronxville, NY*            | 1977—William Ackerman, Trenton, NJ*          |
| 1950—Craufurd Kent, Hollywood, CA*                    | 1978—Harry Maiden,<br>South Pasadena, CA*    |
| 1951—Harold A. Lebair,<br>New York City, NY*          | 1979—J. Roy Dance, Memphis, TN               |
| 1952—David S. Niles, West Newton, MA*                 | 1980—John Sternbach, Vail, CO                |
| 1953—Louis W. Shaw, Bayonne, NJ*                      | 1981—William S. Bigelow,<br>Maplewood, NJ*   |
| 1954—Frank J. Tybeskey,<br>Philadelphia, PA*          | 1982—George M. Duganne, Miami, FL*           |
| 1955—Hubert J. Quinn,<br>San Francisco, CA*           | 1983—Anita Shukow,<br>Roslyn Heights, NY*    |
| 1956—H. LeVan Richards,<br>Falls Church, VA*          | 1984—Nick Powel, Arlington, VA*              |
| 1957—Winslow M. Blanchard,<br>Scottsdale, AZ*         | 1985—Charles F. Beck,<br>Fort Lauderdale, FL |
| 1958—Edward Mellor, Philadelphia, PA*                 | 1986—R. Norris Bond, Boston, MA              |
| 1959—Harold E. Ammerman,<br>Orange, NJ*               | 1987—Joe Beerman, Bayside, NY                |
| 1960—J. Clarence Davies Jr.,<br>New York City, NY*    | 1988—Judy Popkin,<br>New York City, NY       |
| 1961—Herbert J. Lewis,<br>Miami Shores, FL*           | 1989—Jay Snyder, Hershey, PA                 |
| 1962—Frank S. Dowling,<br>Indianapolis, IN*           | 1990—Zeno Pfau, Richardson, TX               |
| 1963—John Kroel, Clifton, NJ*                         | 1991—Richard Kaufman, New Paltz, NY          |
| 1964—William L. Macassin,<br>Ft. Lauderdale, FL*      | 1992—Peter Kasavage, San Ramon, CA           |
| 1965—Ernest J. Oberlaender Jr.,<br>New York City, NY* | 1993—Fran McDowell, Mt. Vernon, WA           |
| 1966—John Stahr, Larchmont, NY*                       | 1994—Joan Vormbaum, San Jose, CA             |
| 1967—S. R. Bumann, Dallas, TX*                        | 1995—Woodie Sublett-Walker,<br>Newburgh, IN  |
| 1968—John B. Coman,<br>Beverly Hills, CA*             | 1996—Al Penelton, East St. Louis, IL         |
| 1969—Frank Hammond,<br>New York, NY*                  | 1997—Steve Ullrich, Tampa, FL                |
| 1970—E. Brooks Keffer, Wayne, PA                      | 1998—Bill Barber, Brewster, MA               |
| 1971—Titus W. Sparrow, Boston, MA*                    | 1999—Robert Cranor, Los Angeles, CA          |
| 1972—Col. Richmond H. Skinner,<br>Wilmington, DE*     | 2000—John Bramlett, San Bernardino, CA       |
| 1973—Sydow Nieman, Pasadena, CA*                      | 2001—Jim Zimmerman, Dallas, TX*              |
| 1974—Douglas Stewart, Milford, NJ*                    | 2002—John Wong, San Francisco, CA            |
| 1975—Florence Blanchard, Phoenix, AZ                  | 2003—Joan Bunny Williams,<br>Bluffton, SC    |
| 1976—Samuel R. Cox, Bryn Mawr, PA*                    | 2004—Jerry Moore, Roswell, GA                |
|   | 2005—Norman Chryst, Scottsdale, AZ           |
|   | 2006—Shirley Damiano, Syracuse, NY           |
|   | 2007—Missy Malool, Bluffton, SC              |
|   | 2008—David Littlefield, Palm Springs, FL     |
|   | 2009—Lois R. Huggins, Newark, DE             |
|   | 2010—Keith Crossland, Chicago, IL            |
|   | 2011—Ernie Mosby, Columbia, MD               |
|   | 2012—Jake Garner, Tallahassee, FL            |

\* deceased



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### **Jr. McGovern Award Recipients**

With qualifying conditions similar to the John T. McGovern Award, the Junior McGovern Award recognizes service and excellence by younger tennis officials.

- |  |                                      |
|--|--------------------------------------|
| 1948—Ailie Tesloff, TX                         | 1983—Jerold Reagan Lipp, Dallas, TX  |
| 1949—Frank Hammond, New York, NY*              | 1984—Dave Radoccia, Reading, MA      |
| 1950—Andrew Dennon, Long Island, NY            | 1990—Rocky Hardy, Greenbrier, AR     |
| 1956—Jeffrey Cox, Bryn Mawr, PA*               | 1992—Joe Maxberry, Jacksonville, FL  |
| 1957—Betty Brown, Scarsdale, NY                | 1993—Cecil Hollins, Ozone Park, NY   |
| 1958—R. Michael Dunne, Greenwich, CT           | 1994—Dan Oppenheim, Columbia, MD     |
| 1959—Helen S. Coman, Scarsdale, NY             | 1998—Jake Garner, Tallahassee, FL    |
| 1961—Tom Konchalski, Elmhurst, NY              | 2000—Chris Wilson, San Francisco, CA |
| 1963—John B. Coman, Jr., Ojai, CA              | 2004—Tom Skeen, Bountiful, UT        |
| 1964—Jane Dally, So. Orange, NJ                | 2007—Sally Paulus, Midland, MI       |
| 1973—John J. Halonka, Jr., Hallandale, FL      | 2008—Andrew Walker, Birmingham, MI   |
| 1982—Scott Jason Svonkin,<br>Monterey Park, CA | 2010—Michaela Moon, Vancouver, WA    |
|  | 2012—Courtney Potkey, Sunland, CA    |

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### **Jack Stahr Award Recipients**

The award is presented annually to an Umpire in one of the Umpire's first three years at the US Open in recognition of hard work, professionalism, technical ability and cooperative attitude. It recognizes outstanding work primarily as a Line Umpire.

- |  |   |
|--|---|
| 1984—Jerry Moore, Roswell, GA              | 1999—Ann Gilley, Fort Worth, TX                 |
| 1985—Greg Moorhead, Mesa, AZ               | 2000—Tracy Crossland, Chicago, IL               |
| 1986—Ray Delcastillo,<br>San Antonio, TX   | 2001—Satsuki Prokop, Bellevue, WA               |
| 1987—Dana Loconto, Gadsden, AL             | 2002—Kevin Calhoun, Lexington, KY               |
| 1988—Kristine Coryell, Flossmor, IL        | 2003—Donovan Kent, Buffalo, NY                  |
| 1989—Julie Watterson, Englewood, CO        | 2004—Frances Mudge-Lisk,<br>Prairie Village, KS |
| 1990—John Bramlett, San Bernardino, CA     | 2005—Rob Roy, Los Angeles, CA                   |
| 1991—Beth Wallingford,<br>Indianapolis, IN | 2006—Justin T. Willis, Greensboro, NC           |
| 1992—Cathi Duncan, Newburgh, IN            | 2007—Jamey Davis, Mobile, AL                    |
| 1993—Clark Mayer, Hagerstown, MD           | 2008—Kevin G. Labor, Frisco, TX                 |
| 1994—Carole Cox, Brentwood, TN             | 2009—Kimberly Angermeier,<br>Henderson, KY      |
| 1995—Vigi Dreker, Boca Raton, FL           | 2010—Greg Allensworth, North Canton, OH         |
| 1996—Revathy Solomon, Gretna, LA           | 2011—Rodney Realon, Raleigh, NC                 |
| 1997—Jay French, W. Palm Beach, FL         | 2012—Robert Stalzer, Palm Beach, FL             |
| 1998—Ken Clarke, Redondo Beach, CA         |   |

### Nicholas E. Powel Award Recipients

This award is presented annually to a Sectional Chair or Line Umpire in recognition of the importance of officiating at the local level.

1990—Lillian Donley, Scottsdale, AZ	2002—Allan Smith, Dunwoody, GA
1991—Margery S. Marshall, Sacramento, CA	2003—Sally B. Utiger, Weston, MA
1992—Margaret Colchin, Knoxville, TN	2004—Clarence Corbin, Gulf Breeze, FL
1993—Eugene Heller, Sioux Falls, SD	2005—Dennis Bennett, Boise, ID
1994—Lucille Frank, Denver, CO	2006—Patricia A. Friz, Wilmington, DE
1995—Claude Pickering, Lancaster, CA	2007—David DeGrafft, Lutherville, MD
1996—Arcadio Garza, San Antonio, TX	2008—Charlotte Dlugolenski, Fayetteville, NY
1997—Charles Mignon, Lincoln, NE	2009—Catherine Thomas, Palm Desert, CA
1998—Nan Burnam, Abilene, TX	2010—Lewis Conley, Ballwin, MO
1999—Berry Campagna, Greensburg, PA	2011—Eileen Leonard, Locust Valley, NY
2000—Mickey Harper, Midfield, AL	2012—Rollie Shea, Winter Park, FL
2001—Jim Cummings, Baltimore, MD	

# PART 7—INTERCOLLEGIATE TENNIS ASSOCIATION (ITA) REGULATIONS

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## SUMMARY OF IMPORTANT ITA CHANGES FOR 2013 EDITION OF FRIEND AT COURT

[p. 249] The ITA added a sentence to **Regulation I.B.12. Lets** that specifically authorizes a Solo Chair Umpire or Roving Umpire to call lets on balls that endanger a player or interrupt play.

[p. 255] The ITA deleted a requirement that a bathroom break for medical reasons be treated as a Medical Timeout. It added a new **Regulation I.H.3.** that authorizes an **Emergency Bathroom Break** that may be taken at an unauthorized time and is timed like a Medical Timeout.

[p. 257] The ITA added a sentence to **Regulation I.J.3. Who may coach** that provides that when the head coach is absent, an assistant coach may be designated as the acting head coach.

[p. 257] The ITA amended **Regulation I.K. Coaches' Code of Conduct** to provide that penalties are cumulative for the entire coaching staff and apply to the whole match (singles and doubles).

[p. 260] The ITA amended **Regulation II.B.4. Written Contracts** to provide that when a team does not show for a match for which there is no written contract but there is clear and incontrovertible evidence that a match was agreed upon, then the match is treated as a default.

[p. 262] The ITA amended **Regulation II.B.14. Team withdrawing** to provide that a dual team meet that cannot be played when scheduled due to injured or ill players is treated as a default for ITA Ranking purposes, unless the match is postponed to a later date. Additionally a team withdrawing from the ITA National Team Indoor Championships is penalized with three team defaults for ITA Ranking purposes.

[p. 266] The ITA amended **Regulation II.I.2. Team penalty for being late** to provide that the penalties for a team arriving late apply, unless the coaches agreed to a later start time.

[p. 268] **Regulation II.K.8. Team that is short on players** provides that a team must forfeit a dual meet match that does not have four physically able players. The ITA added a provision that applies this regulation regardless of the reason that the team does not have four players.

### FAC EDITORS' NOTE

USTA officials are often called upon to officiate at intercollegiate events. This section contains the Intercollegiate Tennis Association (ITA) officiating regulations. These regulations are followed at most intercollegiate events.

**USTA Regulations** are in effect in college tennis except where explicitly superseded by ITA, NCAA, NAIA, NJCAA, or Conference Rules.

Those ITA regulations that are substantially different from **USTA Regulations** have been set in ***Bold Italics***. ITA rules vary from **USTA Regulations** in the following major areas:

- Overrule on verbal appeal only;
- Carry over of Point Penalty System;
- Receiver's partner may not stand in service box; and
- Medical timeout procedure.

There are no corresponding **USTA Regulations** for the following ITA Regulations.

- I.E.5. ITA Carry-Over Rules;
- I.F. Restrictions on Players and Spectators;
- I.J. Coaching;
- I.K. Coaches' Code of Conduct; and
- II. Team Competition.

Before officiating at an ITA event, officials should confirm whether any new changes have been adopted by the ITA and should check the "Rules" section of the ITA website, **[www.itatennis.com](http://www.itatennis.com)**.

### RULES, REGULATIONS & TOURNAMENT PROCEDURES

The purpose of the official ITA Rulebook is to codify for college players, coaches and USTA certified officials all the rules and regulations, and tournament procedures that are unique to college tennis, as well as to highlight those USTA rules that most often apply to college tennis.

These rules govern all collegiate play, except in the few cases where a specific conference rule, NAIA, or NCAA tournament rule differs from the ITA rule. **In this case the conference rule, NAIA, or NCAA rule will take precedence over the ITA rule.** Otherwise, all ITA coaches are expected to follow the ITA rules as closely as possible. Failure to do so should be reported immediately to the ITA Ethics and Infractions Committee and the ITA National Tournament Committees.

USTA Rules and Regulations are in effect in college tennis except where explicitly superseded by ITA, NCAA, NAIA, NJCAA, or Conference Rules.

ITA Board of Directors  
December 2012

## **I. INDIVIDUAL COMPETITION—SINGLES AND DOUBLES TOURNAMENTS**

### **A. The Code**

All college match play will follow the principles set out in “The Code” except where these rules provide otherwise.

### **B. Calls in Matches with a Solo Chair Umpire or with no Chair Umpire and no Line Umpires**

Many college matches are played without the assistance of a Chair Umpire and Line Umpires. Some matches are officiated by a Solo Chair Umpire. In all these matches, the players have the primary responsibility for making the calls. The following principles apply in these matches.

1. *Player makes calls on his side of the court.* Each player shall make all calls on his side of the court. A Solo Chair Umpire or Roving Umpire shall not assist the player in making any call with any kind of verbal or non-verbal signal.
2. *Opponent gets benefit of the doubt.* Whenever a player is in doubt he shall make the call in favor of his opponent. Balls should be called “out” only when there is a space visible between the ball and the line. A player shall never seek aid from a Solo Chair Umpire, spectator, teammate or coach in making a line call.
3. *Disagreement between partners over a call.* A doubles partner is obligated to disagree with his partner if an erroneous “out” call is made. When doubles partners disagree on a call, the point goes to the opposing team. The point is never replayed.
4. *Balls that a player does not see.* There are no “unsighted” calls. If a player does not see his opponent’s shot, he shall call the ball good. A player shall never seek aid from a Solo Chair Umpire, spectator, teammate or coach in making a line call.
5. *Solo Chair Umpire and Roving Umpires shall not give assistance on unsighted balls.* The Solo Chair Umpire and Roving Umpires shall not give assistance on balls that a player does not see.
6. *Out calls must be made immediately.* “Out” calls must be made immediately. The call shall be made before either an opponent has hit the return or the return has gone out of play. If no immediate audible or visible call is made, the ball shall be considered good.
  - a. *Clay court procedure.* A player may quickly check a mark before making a call on his side of the net.
7. *Correcting an erroneous “out” call—opponent wins point.* A player shall reverse his “out” call if he realizes that he has made a mistake or if he is uncertain of the call. The point goes to the opponent. It is never played over.
8. *Obligation of player to call his own ball “out” if he clearly sees it out.* A player shall call his own ball “out” if he clearly sees that it is out. This rule does not apply to a player’s first serve. See rule C.2. and C.3.
9. *How to challenge an opponent’s call.* An opponent’s call may be challenged by the query: “Are you sure of your call?” No further discussion or delay is permitted. If the player making the call is uncertain, he loses the point. It is never played over.
10. *Players shall not cross the net to point out a mark or discuss a problem.* A player shall not cross the net to point out a mark or discuss a

problem. A player who does shall be penalized under the ITA Point Penalty System.

11. *Touches, invasion of opponent's court, reaching over the net, and double bounces.* Calls involving a ball touching a player, a player touching the net, a player touching his opponent's court, hitting an opponent's return before it has passed the net, and a double bounce must be called by the player committing the infraction. If there is a Solo Chair Umpire, he also may make these calls. A Roving Umpire who observes the incident may make the call if he directly observed the incident with or without an appeal from a player.
12. *Lets. In Men's Division I, there are no service lets. If the serve hits the net and goes into the service box, the ball is in play.* Either player may call a service let if the player does so at the instant when the let occurs. A Solo Chair Umpire may call service lets. Requests for lets may not be made after a point is ended. A service let call can be appealed and is an overrule if disallowed. The Solo Chair Umpire or the Roving Official may call a let for a ball that is endangering a player or interruption of play.
13. *Only a coach or player may request a Line Umpire or Referee.* Only a coach or the player involved may request the presence of a Line Umpire or Referee. The coach may physically go get the Line Umpire or Referee. Play may be temporarily halted while the coach or the player seeks a Referee or Line Umpire. Nonetheless, play must resume within five minutes, even if attempts are still being made to obtain a Line Umpire.
14. *Foot fault judges.* A player or coach may request a foot fault judge. A player may request that an opponent avoid foot faults. **A player shall not call a foot fault on an opponent. All foot faults must be called by an Umpire, Referee, or Roving Umpire.** A Roving Umpire or Referee need not be stationed on the baseline in order to call foot faults so long as his position gives him a clear view of the fault.
15. *Server shall call score before each point.* The server shall call the score before each point except when there is a Solo Chair Umpire or scorekeeper assigned to the match.
16. *Settling disagreements over the score.* If a disagreement over the score occurs, the methods for settling the dispute in order of preference are:
  - Count all points and games agreed upon by the players, with only the disputed points or games being replayed;
  - Resume play from a score mutually agreeable to the players;
  - Use a coin flip.
17. *Solo Chair Umpire shall not overrule call unless player immediately appeals for overrule. A Solo Chair Umpire shall not overrule a call unless it is challenged verbally at that moment (not at the end of the point) by the opposing player. The Solo Chair Umpire shall not prompt the request for an appeal.*
18. *Overrules by certified Roving Umpires.* If appealed to, a certified Roving Umpire may overrule a call if he is on that court or if he directly observed the call.
19. *Player who has been overruled twice shall thereafter be penalized under the Point Penalty System. If the Solo Chair Umpire and Roving*

***Umpires have overruled a player or doubles team twice, the Umpire shall penalize each subsequent overrule under the ITA Point Penalty System. The failure to have an appeal upheld is not treated as an overrule.***

20. ***Excessive appeals for the apparent sake of disrupting play. A Solo Chair Umpire shall caution any player making excessive appeals for the apparent sake of disrupting play. Thereafter, if the Solo Chair Umpire determines that the player is making appeals for the apparent sake of disrupting play, he may penalize the player under the ITA Point Penalty System.***
21. ***Hindrance-loud outburst following a perceived winning shot.*** A Solo Chair Umpire or Roving Umpire (only if standing at the net post) should immediately call a "hindrance – loss of point" when a player(s) makes a loud noise following a perceived winning shot that the opponent(s) has a play on.

### **C. Service and Service Returns**

1. ***No more than twenty seconds between points. Both the server and receiver must be ready to begin the next point within twenty seconds of when the ball went out of play. Each player has the right to the full twenty seconds to prepare for the next point.***
2. ***Server's appeal of a first serve that receiver calls good. The server (or server's partner in doubles) may make a first volley or half-volley of the return of an out first serve which was played before appealing to the Chair Umpire or Roving Umpire for an overrule. But if the server (and the server's partner in doubles) remains in the back court, the appeal must be made before hitting the ball.***
  - ***If the appeal is granted, the server gets a second serve.***
  - ***If the appeal is not granted, then the point goes to the receiver.******A server whose appeal is denied is not subject to penalty under the ITA Point Penalty System by virtue of the failure of his appeal.***
3. ***Feinting, changing position, and intentional distraction.*** A player may feint with the body while the ball is in play. A player may change position at any time, including while the server is tossing the ball. Any movement or sound that is made solely to distract an opponent, including, but not limited to, waving the arms or racket or stamping the feet, is not allowed. ***The receiver's partner shall not stand in the receiver's service box before or during the serve. If a player does so, he shall be warned that if he does so again he is subject to being penalized under the ITA Point Penalty System.***
4. ***Player should not return obviously out serves.*** A player should not return a serve that is obviously out even when the return is accompanied by an "out" call. This is a form of rudeness or gamesmanship. A player may return a fast serve that just misses the line inasmuch as the return is often a matter of self-protection.
5. ***Receiver who corrects his fault call to good loses the point.*** If the receiver returns a ball and simultaneously calls a fault and then changes his call to good, then the receiver loses the point because of interference of play, even if the return is good.
6. ***If receiver is ready, then his partner is deemed ready.*** If the receiver has indicated that he is ready and the server serves an ace, the receiver's



partner cannot claim a let because he was not ready. The receiver's indication of being ready is tantamount to indicating that his team is ready.

#### **D. ITA Scoring Systems**

1. *NCAA Divisions I, II and III, NAIA, and Junior and Community College Matches.* Regular scoring shall be used in all the ITA national, regional, and sanctioned matches, unless both coaches agree otherwise.

#### **E. ITA Point Penalty System, Defaults, and Unintentional Time Violations**

1. *Unsportsmanlike conduct is punished under ITA Point Penalty System.* Ethical and sportsmanlike conduct of players must be observed at all times. Inappropriate conduct includes but is not limited to:
  - Visible or audible obscenity or profanity;
  - Racquet abuse;
  - Ball abuse;
  - Verbal or physical abuse of an official or player;
  - Delay after a timeout, treatment at a changeover, being directed to resume play, or a time violation;
  - Being overruled more than two times; and
  - Unsportsmanlike conduct.

A player who engages in such conduct commits a code violation and is subject to being penalized under the ITA Point Penalty System.

2. *Referee should advise players and coaches about the ITA Point Penalty System.* The Referee should always issue a general explanation to players and coaches before the match about the ITA Point Penalty System. He should define appropriate court behavior and enumerate specific types of conduct violations and unsportsmanlike conduct in general.
3. *ITA Schedule of Penalties.* The "Warning" is not a part of the ITA Point Penalty System, which consists of the following:
  - a. Point Penalty
  - b. Game Penalty
  - c. Disqualification
4. *Referee has sole power to default players during dual meet matches and ITA sanctioned tournaments.* The Referee has sole power to default players during dual meet matches and ITA sanctioned tournaments. If there is a Chair Umpire or Roving Umpire, they are empowered to assess point and game penalties but must inform the Referee in case a default must be assessed.
5. *ITA Carry-Over Rules.* The following ITA carry over rules shall be used in national and sanctioned singles and doubles tournaments:

<b>Time Period</b>	<b>When Penalty Is Assessed</b>
During Singles Match	During Singles Match
Between Singles and Doubles Matches	If there is another singles match, assess at start of that match; otherwise assess at start of next doubles match

Time Period (Cont'd)	When Penalty Is Assessed (Cont'd)
During Doubles Match	During Doubles Match
After Doubles Match	If there is another doubles match, assess at start of next doubles match; otherwise assess at start of next singles match

- a. *Penalties assessed during a match do not carry over to next match.* The ITA Point Penalty System is cumulative throughout any individual singles or doubles match, but is also self-contained. All penalties assessed during a match will be erased at the end of the match. The next match (whether singles or doubles) will start with a “clean slate.”
- b. *Carry-over of code violations occurring after a match is over to player's next match.* If a player commits a code violation after his singles match is over, the penalty shall be assessed:
  - At the start of his next singles match (consolation or main draw); but
  - If he has been eliminated from all singles competitions, then the penalty will be assessed at the start of his next doubles match.  
If a player commits a code violation after his doubles match is over, the penalty shall be assessed:
    - At the start of his next doubles match; but
    - If the player has been eliminated from the doubles competition, the penalty will be assessed at the start of his next singles match. (Note that in this case the penalty will be assessed against only the player who committed the violation and not against both team members.)
- c. *Carry-over penalties are not part of progressive schedule of penalties for next match.* When a penalty is assessed under the carry-over provision, that penalty does not count as part of the progressive schedule of penalties for that match. For example, Player A, who has just lost, commits a code violation after his singles match. Player A is entitled to play in the singles consolation so the penalty will be assessed at the start of his consolation match. He then commits a code violation during the consolation match. He is assessed a point penalty for that violation.
- d. *Multiple Offenses.* The Point Penalty System is in effect for carry over purposes. If more than one violation occurs following a player's participation, that player may be subject to a game penalty or disqualification to be applied under the carry over provisions.
- e. *Singles player who is defaulted may normally play doubles.* A player who is defaulted in singles may play doubles, except when

the Referee (or the Referee in consultation with the Games Committee in the case of an ITA national or sanctioned event) determine that extraordinary and extreme circumstances (e.g. see **paragraph 9.** below) exist which require that he be barred from playing doubles.

- f. *Doubles player who is defaulted may normally play singles.* A member of a doubles team that is defaulted may play singles, except when the Referee (or the Referee in consultation with the Games Committee in the case of an ITA national or sanctioned event) determine that extraordinary and extreme circumstances (e.g. see **paragraph 9.** below) exist which require that he be barred from playing singles.
  - g. *In doubles, code violations are assessed against the team and not individually.* In doubles, the players are penalized as a team and not as individual players.
6. *Time violations.* Time violations occur when a player takes more than 20 seconds between points or takes more than 90 seconds on changeovers. A warning shall be given for the first violation. Subsequent delays shall be penalized by a point loss for each violation, with no accumulation. These violations are not part of the ITA Point Penalty system.
  7. *Player who has been overruled twice shall thereafter be penalized under the Point Penalty System. **If the Solo Chair Umpire and Roving Umpires have overruled a player or doubles team twice, the Umpire shall penalize each subsequent overrule under the ITA Point Penalty System. The failure to have an appeal upheld is not treated as an overrule.***
  8. *Physical contact prohibited.* All physical contact between opposing players, opposing coaches, a player and a coach, a player and an official or a coach and an official is strictly prohibited. The Referee (or the Referee in consultation with the Games Committee in the case of ITA national and sanctioned events) shall immediately default any player who initiates contact.
    - a. *Singles player is barred from playing doubles.* If the offense takes place in singles and the offending player is playing doubles, he shall be barred from doubles. Another player may be substituted for the player who has been barred only if the original team has not played a match and if the substitution would not change the seedings.
    - b. *Doubles player is barred from playing singles.* If the offense takes place in doubles, the offending player shall be barred from playing singles.
  9. *Player outbursts may be penalized.* An official following an appeal, who hears a verbal outburst or grunting that is loud enough to disrupt play on a neighboring court, shall caution the player that subsequent outbursts shall be penalized under the ITA Point Penalty System.

If a loud outburst is in a language that the official does not understand, the official shall caution the player that further foreign language outbursts that are not understood by the official will be

penalized as unsportsmanlike conduct under the ITA Point Penalty System.

10. *Referee at ITA national and sanctioned events may disqualify a player after consultation with the Games Committee.* The Referee of ITA national and sanctioned events may disqualify a player after consultation with the Games Committee. The Referee is always a member of the Games Committee at all ITA and sanctioned events.
11. *Referee shall report defaults to ITA Ethics and Infractions Committee.* In all cases involving a player default, the tournament Referee must write a report of this incident to the ITA Ethics and Infractions Committee. The Committee may contact the Director of Athletics of the player's school.
12. *Player or coach ejected from match.* A player or coach ejected from a match must leave the tennis venue for the duration of the team match and not be in an area where they are visible, in viewing distance.
13. *Spitting.* Spitting at or in the direction of an opponent or an official will be a code violation and possible default. Spitting on the Court will be cautioned. The player will be asked to spit off the Court or through the fence. If the player continues to spit on the Court, it will be a code violation.

#### **F. Restrictions on Players and Spectators**

1. *Spectators and other players barred from the court and adjacent court in men's tennis.* In men's tennis, no players or spectators shall be allowed on the playing court or an adjacent court other than the participants, except to attend to an ill or injured player.
2. *Fellow women's players may get no closer than the center service line of the adjacent court.* In women's tennis, players are permitted no closer than the center service line on the adjacent court. The Referee may move the players further away.
3. *Harassment of players prohibited.* Team members and their spectators shall not harass opposing team players. Electronic sound devices shall not be used during play.
  - a. *Harassment directed at individual match:*
    - i. A warning may be given to the offender and the coach; and
    - ii. A point penalty shall be assessed at each following occurrence.
  - b. *Harassment not match specific.* If the harassment is not match specific, the following steps shall be taken for continuing infractions:
    - i. A warning may be given to the offender and the coach.
    - ii. A point penalty shall be assessed immediately against the highest match in progress.
    - iii. A point penalty shall be assessed immediately against every match in progress.
    - iv. Repeat the third step (iii.) each time the harassment occurs.

#### **G. Warm-up**

1. *Five minute warm-up under normal conditions.* Players shall have a maximum of five minutes for warm-up before a match, unless the teams were not able to take their thirty minute warm-up before the start of the team match, in which case a ten minute warm-up is permitted. The Chair Umpire or tournament Referee shall time the warm-up. Otherwise the coaches must oversee the warm-up period.

2. *All warm-up serves must be taken before the start of play.* All warm-up serves must be taken before the start of play. If the match is umpired, the Umpire should give a warning when two minutes are left in the warm-up.
3. *Match starts with warm-up.* The official start of a match is marked when the on court warm-up begins between the two opponents (or two doubles teams). It is mandatory to warm-up with the opponent/opposing doubles team.

#### **H. Timeouts, Bathroom Breaks, and Equipment Adjustment**

1. *Medical timeout.* The USTA medical timeout regulation, **USTA Regulation III.E.** and **USTA Table 10**, applies with the following exceptions:
  - a. *In NCAA Division I, a player may have one medical timeout per match. Should the player need an additional medical timeout, the player will be retired. Exceptions are the bleeding timeout (which follows USTA Rules) and the medical timeout taken for a bathroom visit at an unauthorized time.*
  - b. *All other Divisions. NCAA Division II & III, NAIA and Junior/Community College will default to the USTA medical timeout rule.*
  - c. *In all Divisions, a player may have one medical timeout during the warm-up and another medical timeout during the match for the same condition, following USTA Rules.*
  - d. *Coach may assist. When an obvious injury occurs, a coach may assist and touch the player until a trainer arrives to diagnose and treat the injury.*
  - e. *Length of timeout. Once the trainer reaches the player, the trainer has a maximum of five minutes for diagnosis and treatment. The maximum amount of time for treatment shall be three minutes.*
  - f. *A trainer shall always be available to assist the player.*
  - g. *USTA Regulation III.F. Toilet visit does not apply.*
  - h. *Player may be treated on any changeover.*
2. *Bathroom breaks.* A player must ask an official for a designated "bathroom break." The official may refuse this request if he determines that the player is resorting to gamesmanship. Division I women are allowed reasonable time for bathroom breaks and should take them on a 90-second changeover or set break.  
 If a player is able to take a bathroom break during the 90-second changeover, this is permitted *in addition to* the bathroom breaks described above.
3. *No bathroom breaks in Men's Division I Tennis. No bathroom breaks shall be permitted in Men's Division I Tennis. Men will have 90 seconds during the changeover to use the bathroom. After 90 seconds, the player will be subject to time violation penalties (Warning, Point, Point, etc.) If no bathroom is available within a reasonable distance from the courts, it is the responsibility of the coaches and the Referee to determine prior to the start of the match, how much time may be allowed.*
4. *Emergency bathroom break. A player may take one emergency bathroom break at an unauthorized time. It is timed like a Medical*

***Time Out. Any lateness shall be penalized with Time Violations (Warning, Point, Point, etc.)***

5. ***Contact lenses.*** Loss of a contact lens is considered an equipment timeout. ***The Referee or Umpire may give the player up to fifteen minutes to take care of the problem.***
6. ***Foreign objects in the eye.*** The Referee or Umpire may give a player who gets dust, an insect, or a foreign object in his eye a three minute timeout to take care of the problem.
7. ***Refusal to give timeout when underlying purpose is gamesmanship.*** The Referee or Umpire may refuse to give a player a timeout he is otherwise entitled to receive if the Referee concludes that the player is clearly resorting to gamesmanship.
8. ***Player who defaults from singles consolations normally may not play doubles later that day.*** A player who is defaulted or retires from the consolation singles due to injury or loss of conditioning may not play in the main draw doubles later the same day. The Games committee may waive this rule if a doctor or on-site official trainer confers with the player and provides written documentation of the injury or loss of condition.

**I. Rest Periods, Continuous Play, and Time Between Matches**

1. ***No rest periods between second and third sets. There shall be no rest period between the second and third sets. Extreme Heat: If the temperature is 90° at the start of the match, the players shall be offered a 10 minute rest period between the second and third sets.*** Extreme heat situations apply to team competition (dual meets).
2. ***Ninety seconds allowed for changeovers.*** When changing ends a maximum of ninety seconds shall elapse from the moment the ball goes out of play at the end of the game to the time the ball is struck for the first point of the next game. Umpires, if any, should call "Time" after one minute in order to get the players back on the court if they haven't already left their chairs.
3. ***Rests between matches.*** After a match that lasts more than one hour, a player may receive a rest period of up to 45 minutes. After a match that lasts more than one and one half hours, a player may receive a rest period of up to one hour. The Games Committee has the discretion to modify these rules.

**J. Coaching**

1. ***When coaching is allowed.*** A coach may coach a player any time during the match so long as he does not interfere with play. Coaches shall be designated before the doubles matches and may only be redesignated before the singles matches. Use of electronic communication devices (e.g. walkie-talkies and cell phones) is prohibited.
2. ***Penalties when coach interferes with play.*** The purpose of coaching is to offer advice to the player. Coaches shall not in any way distract or annoy the opposing player. If a coach in any way interferes with play or distracts an opposing player at any time, he shall receive a direct warning from the Referee or Umpire. For any subsequent violation, his player, on the court where the violation occurred, shall be assessed a point penalty. Penalties for coaching are not treated as part of the ITA Point Penalty System, and they do not accumulate.

3. *Who may coach.* Coaching is permitted by the head coach and two designated coaches, who may be an assistant coach and/or a registered volunteer coach and/or player. All assistant coaches and volunteer coaches must be on the institutional coaching list and registered with their respective school. Two coaches may coach on the same court at one time. In the absence of a head coach, an assistant coach may be designated as the acting head coach.
4. *Where coaches may coach.* A coach may sit or stand near the net post and must stay within the area where the players' chairs or benches are located or should be provided. The coach's movement shall be limited so as to not distract the players. A coach may change courts when his movement will not interrupt play. Coaching is permitted outside the fence perimeter. On an empty adjacent court, the coach may stand at the doubles sideline on the same side as his own player. On the opponent's side, he must remain at midcourt. Coaches may coach within the lines of the court only during a changeover or set break.
5. *Conversations of coach with opposing player banned.* A coach shall not initiate a conversation with the opposing player or in any way get involved with an on-court problem, except at the request of the Solo Chair Umpire, tournament Referee, or the player or the coach of the player involved. At no time should a player initiate a conversation with an opposing coach. Violation of these provisions by the coach are subject to the Coach's Code of Conduct, Violations by the player shall result in the application of the Point Penalty System.
6. *On court problems.* If a coach needs to be consulted due to a problem on the court, it is desirable for the opposing coach to be present.
7. *No Cheerleading.* Coaches shall not cheerlead. A simple "good shot" or a polite clap is not considered cheerleading.

#### **K. Coaches' Code of Conduct**

The conduct of coaches before, during, and after any competition must be exemplary.

Any deviation from this standard shall result in the following penalties with the understanding that all coaching penalties are cumulative for the entire coaching staff ( Head Coach, Assistant Coach, Volunteer Coach or a player acting as a Coach) and apply to the whole match - singles and doubles):

- a direct warning from the Referee or Umpire;
- if the coach's behavior continues following the warning, the Referee or official shall immediately assess a point penalty against his player (on the court where the behavior occurred); if the behavior occurs off court, the point shall be assessed immediately on the highest match in progress; if the behavior occurs between the doubles and singles matches, then the penalties shall be assessed on the singles match once it begins; if the Referee assesses the penalty, there shall be no appeal; if an official assesses the penalty, the coach may appeal to the Referee, whose decision shall be final;
- if there are any further behavior problems with the coach, the Referee may bar the coach from continuing to coach for the rest of the event; and
- if the coach continues to coach, the Referee may declare a default of all the

coach's players and teams whose matches are still in progress.

If the Referee bars a coach from further coaching or if he declares a default, then he shall write a report to the coach's Athletic Director and send a copy to the ITA Ethics and Infractions Committee.

## **L. Equipment and Attire**

### **1. Tennis Balls**

- a. *Ball usage.* In NCAA Division I singles: one can of balls (3 balls) per set must be used, and in doubles: four (4) balls per doubles pro-set must be used. In all other Divisions, a minimum of three new yellow tennis balls, ITF-approved, shall be provided for each match. The balls shall be changed at the end of the second set. In doubles, four (4) balls per doubles pro-set must be used.
- b. *Wilson balls required for ITA national and regional championships and all ITA sanctioned events.* The official tennis ball of ITA is the Wilson ball. It must be used in all ITA national and regional championships, and all ITA sanctioned events.
- c. *Wilson balls recommended for dual matches.* Whenever possible ITA coaches should use the Wilson tennis ball in all dual meet matches.
- d. *Replacement of lost or damaged balls.* In a Solo Chair Umpired match, the Umpire shall decide when to replace a lost or damaged ball. The Referee shall make this decision in all other situations. If a Referee is not available, then both coaches must agree on this decision. Lost or damaged balls shall be replaced with used balls of comparable wear except when a ball is lost or damaged within two games of when new balls were introduced.

### **2. Tennis Attire**

- a. Whenever possible, all ITA competitors should wear their official team uniform during competition, warm-up, and the awards ceremonies. If official uniforms are not available, wearing apparel with school emblems or initials are recommended.
- b. College players' use of all athletic equipment and clothing from a manufacturer or commercial enterprise shall adhere strictly to NCAA rules.

## **M. Seeding—Ratio of 1 to 4**

Players may be seeded in a ratio of one seed per four players.

## **N. Penalties for Lateness at Start of Match**

1. *Default after 15 minutes.* In all ITA events (singles, doubles & team), the Referee, after consultation with the Games Committee, may default a player or team for being 15 minutes late for the starting time of the match.
2. *Game penalties for lateness.* If a court is available, the Referee may penalize the late player or team one game for each 5 minutes or fraction thereof of lateness. The late player or team also loses the toss. No more than three games shall be assessed for lateness.

## **O. Post Match Interviews**

In all ITA national championship events, the players shall make themselves available upon request for media interviews within 10 minutes of the completion of their matches. Failure to do so may result in the Men's or Women's ITA National Tournament Committee disqualifying the player from



the tournament or from the next ITA Collegiate Rankings.

## **P. Eligibility for Collegiate Competition**

Only players who are considered eligible for intercollegiate competition at the time of entry:

by their school;

their conference (if any); AND

by their National governing body (e.g. NCAA or NAIA)

shall be eligible to participate in ITA sanctioned events and regional and national collegiate championships.

Junior players not yet matriculating at a college level and professional players not in school shall not compete in these intercollegiate events.

## **II. TEAM COMPETITION—DUAL MEET MATCHES AND TEAM TOURNAMENTS**

### **A. Formats for Team Play**

1. *Six Singles followed by Three Doubles with Nine Team Points (6-3 Format).* The format is six best-of-three set singles matches followed by three eight game pro set doubles matches with a tie-break at eight games all.
2. *Three Doubles followed by Six Singles (3-6 Format).* The format is three eight game pro set doubles matches with a 12 point tie-break at eight games all followed by six best-of-three set singles matches.
3. *Team Point formats.* Team point are awarded in one of two ways.
  - a. *Seven team points.* Each singles match is worth one point. The team that wins two or more of the three doubles matches receives one point. Four or more points are required to win the team match.
  - b. *Nine team points.* Each singles and doubles match is worth one point for a total of nine points. Five or more points are required to win the team match.
4. *Matches shall be played to completion.* All matches shall be played to completion. Once the outcome of the doubles point (in a seven team point format) has been determined, the remaining match shall be played with a shortened format, with a 7-point Tiebreak if the score reaches 7-7. Once the outcome of the team match has been decided, a shortened format shall be played unless both coaches agree otherwise. Matches still in the second set shall play a Match Tiebreak for the third set. Any match already in the third set shall be played to completion. A match that has not started shall be the best of three sets with a Match Tiebreak in lieu of the third set.
5. *Men's and Women's Division I (3-6).* The Men's and Women's Division I format is 3-6 with seven team points. Players are given a 10 minute break after their doubles matches. Should there be a last minute change in the singles line-up, the opposing coach may continue to meet with his players for up to an additional 5 minutes after the line-up change is presented.
6. *Other authorized Division I formats.* In Division I, other formats may be used as long as at least six individual players per team are involved, both coaches agree on the format, and the format used is not in conflict

with conference rules. See also **ITA Regulation II.K.6. Removing players from team lineup.**

7. *Men's and Women's Division II (3-6).* The format in Division II is 3-6 with nine team points.
8. *Men's and Women's Division III (3-6).* The format for Men's and Women's Division III is 3-6 with nine team points. Players are given a 5 minute break after their doubles matches.
9. *Men's and Women's NAIA (3-6).* The recommended format in Men's and Women's NAIA is 3-6 with seven team points. Each conference determines the format to be used.
10. *Men's and Women's NAIA National Championships (3-6).* The format for the National Championships is 3-6 with nine team points.
11. *Men's and Women's Junior and Community College (6-3).* The format in Men's and Women's Junior and Community College matches is 6-3 with nine team points.

## **B. General Rules**

1. *Rules for individual competition apply unless stated otherwise.* The rules for individual competition shall apply for team competition unless specifically stated otherwise.
2. *Conference rules.* Conference rules shall supersede ITA rules when matches are played between two teams within the same conference.
3. *Matches between schools from different conferences.* If a dual meet match is played between teams from different conferences, then ITA rules shall be followed.
4. *Written contracts.* Competing schools should sign written contracts for dual matches. These contracts should be initiated by the home team and should include all specified agreements or arrangements, such as practice times and courts, starting time of match, use of indoor facilities, scoring system, match format, balls, etc. The failure of a team to honor the contract shall result in a default. In situations in which there is no contract but there is clear and incontrovertible evidence that a team match has been agreed to, and one team does not participate, said match will result in a default.
5. *Limits on number of players who may play.* There is no limit on the number of players who may play in a dual meet match, unless limitations are agreed to in the written contract signed by both schools or unless conference rules provide otherwise. There may be a limit placed on the number of players allowed to play in other sanctioned team competitions. The ITA National Team Indoor Championships limits each team to a maximum of eight players eligible to participate.
6. *Bona fide institutional representative.* Each team should be accompanied by a bona fide institutional representative at all matches.
7. *Home coach is responsible for spectator conduct.* The home coach shall make sure that the behavior of the spectators remains fair and non-abusive. Failure of the coach to insure proper behavior shall result in the application of the ITA Point Penalty System against the home team and in extreme cases, forfeiture of the match.
8. *Constitution of a team match for Small Colleges for ITA Ranking Purposes.* In small college tennis, a collegiate team match must consist

- of six singles and three doubles to be considered for ITA team ranking.
9. *Order of play on fewer than six courts.* If fewer than six courts are being used, start the singles with the number one match and continue starting matches in numerical order.
  10. *Matches are best of three sets.* All singles matches in a team meet shall be best of three sets. All doubles matches in a team meet shall be an eight game pro set.
  11. *Unfinished matches don't count.* Unfinished individual singles and doubles matches shall not count in any way toward the final team scores. Unplayed matches in ITA sanctioned tournaments do not count towards a player's win/loss record or for rankings.
  12. *Determining the winner of a team meet.* Except in men's and women's Division I tennis, the winner of a team meet is the team that wins the majority of the individual matches (e.g. 5 out of 9 matches).

In men's and women's Division I tennis, (3-6 format), the winner shall be the team that wins four points. All matches should be played to completion, unless there are extenuating circumstances. An unfinished match will be treated as a default by the withdrawing team, unless the decision not to finish is mutually made by both coaches.
  13. *Inclement weather.* Collegiate tennis is an outdoor sport. All matches shall be played outdoors unless weather or court conditions threaten the health and safety of student athletes or if contracted otherwise for winter month competition. In the event of inclement weather, if a team match has not yet begun, it must be played indoors if at least three courts are available at a varsity quality tennis facility. (See **ITA Rule II.C.1.** for a definition of varsity quality tennis facility.) If the team match is already in progress but has not yet been completed and two or fewer matches remain, these matches must be continued until the completion of the team match, even if fewer than three courts are available.
    - a. *Determining playable outdoor weather conditions*
      - i. The projected weather forecast, for a minimum of two hours out of a four-hour time period from the start of the match, according to [www.weather.com](http://www.weather.com) shall be:
        - A high of at least 50°; or
        - Sustained winds (not including wind gusts) of 20 miles per hour or less.
      - ii. If the outdoor conditions have changed by the end of the doubles play, it is understood that both coaches and the Referee may agree to move the match indoors. If play is suspended at any other point during the match due to weather, play must resume outdoors within one hour of the delay. If this is not possible, matches must be moved indoors.
      - iii. When no indoor back-up is available, the match must be played outdoors. If play is suspended due to weather conditions, it is suggested that play resume within two hours of the delay.
    - b. *Decision to move indoors shall be made up to one hour before start of match.* The decision to move indoors shall be made up to one hour before the start of the match if the weather conditions are forecasted not to improve.

- c. *Matches played indoors.* If a match is moved indoors due to inclement weather, the indoor facility or covered courts must meet the playable outdoor weather conditions stated in **ITA Regulation II.B.13.a.**
  - d. *Match moved indoors stays indoors.* If the match is moved indoors due to inclement weather or darkness, it shall stay indoors until the completion of the match.
  - e. *Games Committee may modify inclement weather rules.* In a sanctioned team tournament, the Games Committee may modify the inclement weather rule, if absolutely necessary.
  - f. *Inclement weather procedures.* The procedure to follow in the event of darkness, inclement weather, or lack of acceptable indoor facilities shall be reached between coaches before the start of a match, preferably in the original match contract. If a postponed match can be scheduled within a 48 hour period, the match shall resume at the point of interruption. Otherwise, the match is not replayed or continued at a later date unless both coaches agree to do so or unless conference rules dictate otherwise.
- 14. *Teams withdrawing.* In a dual team meet, a team shall not cancel a match due to ill or injured players. Unless the match can be postponed to a later date, it will result in a team default for ITA Rankings purposes. In the ITA National Indoor Team Championships or a sanctioned team tournament, any team withdrawing from the event once a written commitment has been made, may only do so because of injuries or academic probation affecting a minimum of three of the starting eight players. The injuries and probation must be attested to by the team doctor and Athletic Director in writing. For the ITA National Team Indoor Championship only, the team withdrawing will be penalized with three defaults for ITA Ranking purposes.
  - 15. *Failing to play after a dual team tournament has started.* Once a team tournament has started, failure to play a team match shall result in a default. This loss and any subsequent backdraw losses shall count as ranking losses.
  - 16. *Consequences of failing to follow ITA rules.* Failure to follow ITA rules in any ITA sanctioned team tournament will cause the forfeiture of the ITA sanction, and the results from such an event will not be considered for ITA ranking purposes.
  - 17. *Matches between schools from different divisions.* If a dual match is played between teams from different divisions, the format of the home team's division shall be played, unless otherwise specified by written contract.

### **C. Facilities and Equipment**

- 1. *Varsity quality tennis facility.* A varsity quality tennis facility is one used exclusively for tennis. The playing surface shall be of hard court construction, designed specifically for tennis, and coated with a recognized tennis surface. The only lines on the playing surface shall be standard tennis court lines, including USTA approved 36' and 60' (QuickStart Tennis format) lines within the same color family as the interior court. A multi-purpose recreational facility is not acceptable.

The playing surface shall extend from the backdrop to the backdrop. Each backdrop shall be located at least 18 feet behind the baseline. The backdrop curtains shall be at least 12 feet high. The walls of the facility shall be at least 16 feet high. The ceiling shall be at least 35 feet high at the net. The distance between the doubles lines of adjacent courts shall be at least 10 feet.

The lighting shall average at least 75 foot candles with individual lights placed in locations as specified by USTA guidelines for indoor courts. Lighting levels shall be uniform over the primary playing area. Visible light sources shall not be located directly over the singles courts.

Any matches played at an indoor facility not meeting these standards must be agreed to in writing by both coaches before the start of the match.

2. The host school shall provide for each match:
  - a. Center net straps;
  - b. Singles sticks and net measuring sticks (N.B. Singles sticks shall be used in all matches where singles will be played on a doubles court);
  - c. Scoreboards to display the running score for each court;
  - d. Team scoreboards;
  - e. ITF-approved championship tennis balls;
  - f. Water and first aid supplies; and
  - g. Dressing facilities, if requested by the visiting team (The visiting team is usually responsible for its own towels).
3. When possible the host school shall provide:
  - a. Scorekeepers who shall act in an impartial and non-partisan manner;
  - b. Umpires;
  - c. Trainer services; and
  - d. Conference rule book (if any).

#### **D. Practice Courts**

1. *Practice the day before or the morning before the match.* Upon request, the host school, at its expense, shall make a minimum of two practice courts available to the visiting team. The practice courts shall be the same courts that will be used in the match or if not possible due to extenuating circumstances, courts of similar quality and surface shall be secured. Practice courts must be made available:
  - for a minimum of two hours the day before the scheduled match; and
  - for a minimum of one hour on the morning of the match.The visiting coach must request these arrangements in writing at least one week before his team's arrival. The only exception is if circumstances governing varsity court usage renders compliance impossible. This must be confirmed in writing by the Director of Athletics of the host school. If the visiting school requests to practice two days prior to the match date, the expense will be the responsibility of the visiting team.
2. *Practice immediately before start of match.* In all cases, the visiting team must be permitted to hit on three of the match courts for a minimum of 30 minutes immediately before the start of the team match.

## **E. Officials**

1. *Home coach is responsible for providing officials.* The home coach shall have available qualified persons to serve as officials. USTA certified officials are considered qualified. In order for an official to be considered "qualified," the official must attend both an ITA and a USTA Sectional re-certification class, pass both the USTA Sectional and ITA tests every year, and do the required work. To avoid a possible conflict of interest, an employee of the college/university should not officiate school matches.
2. *Two officials required for Division I Matches.* In order to be considered for ITA Rankings in Division I, the host school shall provide a minimum of two USTA certified officials for each dual meet match. The only exceptions shall be when USTA officials are not available or when the coaches shall agree in writing before the start of the match that two USTA officials are not required.
3. *Neutral qualified Referee and Roving Official.* It is strongly recommended that the home team provide two neutral qualified officials for every team match. These officials *must* be certified by the USTA, where USTA certified officials are available. Whenever more than one official is present, one official shall be designated as the Referee. The other official may act as a Roving Umpire assisting the Referee.

Any Division I team wishing to be ranked by the ITA shall provide a certified official who shall act as the Referee and one other official who shall act as a Roving Official. Failure to do so should be reported immediately to the ITA National Tournament Committee.

4. *Requests for officials.* If a coach or the player requests an official, the Referee shall make the assignment. Play may be suspended for a maximum of five minutes while an official is sought.
5. *Referee may serve as Solo Chair Umpire if no one else is available.* If no one else is available the Referee may serve as Solo Chair Umpire. The Referee continues to serve as Referee to the best of his ability.
6. *When Referee has only one match under his jurisdiction.* Once the Referee has only one match under his specific jurisdiction, if requested, he must go into the chair or stand near the net post in order to have a better view of the match.

## **F. Trainer**

1. *Host school shall provide trainer.* The host school shall provide the services of a qualified trainer, preferably at the match site or at a training facility within a five-minute walk of the match site. The NAIA recommends, but does not require, a home team trainer at all home matches.
2. *Referee has discretion to determine time allowed to locate trainer.* The Referee shall use his discretion in determining the time allotted to locate a trainer if one cannot be found or is not available within five minutes of the injury.

## **G. ITA Scoring Systems in Team Matches in all Divisions**

Regular scoring shall be used in all team matches, unless both coaches agree otherwise, or conference rules dictate otherwise.

## **H. ITA Point Penalty System and Defaults**

1. *Only Referee, Solo Chair Umpire, and Roving Umpires may assess code*

*violations in team matches.* In team matches, only the Referee, Solo Chair Umpire, and Roving Umpires may implement the ITA Point Penalty System, unless both coaches agree otherwise. In a non-Division I match if there is no official, both coaches must confer and agree before assessing a code violation, unless a coach is coding his own players.

2. ***Disqualification. The designated Referee has the sole power to disqualify players during dual meet matches and ITA sanctioned tournaments. Before disqualifying a player in a dual meet, the Referee shall confer with both coaches and any other officials. Coaches shall have the opportunity to offer an explanation of the situation to the designated Referee. If two officials are supervising the match (see ITA Rule II.E.1.-2.), they both must agree to the disqualification.***

In team tournaments the coaches shall have the right to appeal the disqualification to the Tournament Committee. The player shall be disqualified if there is no appeal or if the appeal is denied.

A Chair Umpire or Roving Umpire may give point and game penalties. The Chair Umpire and Roving Umpire shall inform the Referee so that he can stand by in case a disqualification must be given.

3. ***Carry over of code violations after a match is over in team competitions.*** The following carry over rules apply in team competitions:  
***Code violation after the completion of a match.***

- a. ***Team matches where doubles is played first, followed by singles.***  
If a player commits a code violation after his/her doubles match is complete, the penalty shall be assessed to the highest remaining doubles team still in progress at the start of the next game or immediately in a tie breaker. (For 7-point team format: the penalty is assessed provided the doubles point has not yet been decided. If the doubles point has been decided, the carry over penalty shall be assessed at the start of that player's singles match). If that player is not playing in the singles, the carry over penalty shall be assessed to the no. 1 singles player from that team. If a player commits a code violation after his/her singles match is complete, the penalty shall be assessed to the highest remaining singles player still on the court at the start of the next game or immediately in a tie breaker.

There are five separate time segments when a player can commit a code violation:

- During his/her first match (doubles) of the dual meet;
  - After his/her first match (doubles) of the dual meet but before the doubles point has been decided.
  - After his/her first match (doubles) of the dual meet but after the doubles point has been decided. (Applies only to 7-point team format).
  - During his/her second match (singles) of the dual meet;
  - After his/her second match (singles) of the dual meet.
- b. ***In team matches where singles is played first, followed by doubles.*** If a player commits a code violation after his/her singles match is complete, the penalty shall be assessed to the highest

remaining singles player still on the court at the start of the next game or immediately in a tie breaker. If the singles matches have been completed, the carry over penalty shall be assessed at the start of that player's next match. If that player is not playing in doubles, the penalty shall be assessed to the no. 1 doubles team. If a player commits a code violation after his/her doubles match is complete, the penalty shall be assessed to the highest remaining doubles team still in progress at the start of the next game or immediately in a tie breaker.

There are four separate time segments when a player can commit a code violation:

- During his/her first match (singles) of the dual meet;
  - After his/her first match of the dual meet but where singles matches remain in progress;
  - During his/her second match (doubles) of the dual meet;
  - After his/her second match (doubles) of the dual meet but where doubles matches remain in progress.
- c. For the purpose of imposing Carry-over penalties after a doubles match, the two doubles players remain a team until one or both of them have left the court.
4. *Penalties for physical contact between teams.*
- a. *Singles player is barred from playing doubles.* If the offense takes place in singles and the offending player is playing doubles, he shall be barred from doubles and another player may be substituted in his place.
  - b. *Doubles player is barred from playing singles.* If doubles is played first, the offending player shall be barred from playing singles. All other players shall move up a position, and a player may be substituted at the bottom of the line-up.

## I. Starting Time

1. *Starting time.* All matches should begin promptly at the specified starting time. The Referee shall make sure that the start of the team match conforms to the time established in the contract unless a different time is agreed upon by both coaches. By mutual agreement of the coaches, individual matches may be delayed by a predetermined period of time to accommodate a player late for a legitimate reason.
2. *Team penalty for being late.* Unless both coaches have agreed to start a match at a later time, the following penalties should be applied to teams that arrive late: A team not ready to begin the match within 15 minutes of the specified starting time will lose the doubles point(s). A team is not ready to begin within 1 hour of the specified start time will forfeit the remaining singles matches. However, under any and all circumstances, if a team is not ready to begin its team match at the specified starting time, each player on the starting lineup in singles shall begin one game down and shall be deemed to have lost the toss.

## J. Warm-up

***For all Division I team matches and team tournaments, the warm-up shall not exceed three minutes. For all other team matches and team***



***tournaments, the length of the warm-up shall not exceed five minutes, except when the visiting team has not had a minimum of 30 minutes warm-up directly before the start of the team match. In this case, a ten minute warm-up will be permitted.***

#### **K. Team Line-ups**

1. *Exchange of line-ups and scorebooks.* One hour before the start of the match, the coaches shall simultaneously exchange their complete singles and doubles line-ups. The ITA Scorebooks shall show all team results throughout the year up to that day. If a coach does not have his scorebook, the Referee shall send a letter to the ITA Ethics and Infractions Committee.
2. *Only physically able players shall be listed in the line-up.* Coaches shall list players in the line-up only if they are able to play.
3. *Players must play in order of ability.* The line-up shall always be based on order of ability. "Matching up" is prohibited. In singles, players must compete in order of ability with the best player on the team playing at the No. 1 position, the second best at No. 2, and so on through all positions. In case of injury or sickness, all players must move up. This rule shall also apply to doubles play with the strongest doubles team at No. 1, etc.
  - a. A player who has established a winning record at a team position in six team matches and whose results show that he clearly is stronger than the players below him may not be moved down. The line-up must stay in order of ability.
  - b. If a top-six player (or team) clearly is stronger than the player immediately above him, then the player must be moved up a position.
  - c. Players of equal ability and equal record may alternate between two adjacent positions so long as the alternating is not done for the purpose of "matching up."
  - d. A player shall not be moved down in the line-up because of:
    - i. An injury that has lasted and forced the player out of the lineup for less than three weeks;
    - ii. Disciplinary measures; or
    - iii. Challenge matches after six team matches have been played after January 1st of a school year.
4. *Line-up changes in back-to-back dual matches.* In back-to-back dual meet matches (two consecutive dual meet matches played regardless of time between matches), the team line-up (as played) may be changed. A player may move up or down one position in this situation. The line-up must still stay in order of ability.
5. *Line-up changes once a match has begun.* In a team match, the official start of the doubles play of the match is marked when the on-court warm-up begins between any of the three doubles teams and in singles, when the warm up begins between any one of the six singles matches. In NCAA Division I and II, once the warm up begins in any match (on any number of courts), substitutions are not permitted. In all other Divisions: if playing on less than 6 courts, the players not on the court may still be substituted before the start of their warm up. (e.g. if playing on 4 courts

and the No. 5 player gets sick/injured while the No. 1- No. 4 are warming up, you may move your No. 6 up to No. 5 and slide the remaining players up.) Matches must be started in accordance to **ITA Regulation II.B.9.**

6. *Removing players from team line-up.* For dual-meet competition, the coach shall submit a list of all players available to play. For doubles, see **ITA Regulation K.6.** If a singles player is not listed, the player may *not* be inserted into the lineup at any time. The coach may remove a singles player or players from the initial list after the doubles has been completed. Each player listed below the removed player and among the top 6 shall be moved up the appropriate number of spots. If individual matches have begun, and this prevents players from moving up, then the withdrawn player forfeits that match. In the case of dual-meet competition in which singles is played first, a player removed from the singles line-up may play doubles. (See also **ITA Regulation II.A.5.** Men's and Women's Division I (3-6).)
7. *Removing players from the doubles line-up.* A coach may remove any player from the doubles line-up by making a direct line substitution. The doubles line-up must still be played in order of ability. Players removed from the doubles line-up may play in singles. Once the match has officially begun, two players may be substituted for any doubles team (only if the match begins with singles). The lineup must remain in order of ability. If a team has 6 or fewer healthy players and a player in the doubles line-up is injured/sick prior to the start of the match, they may take a player from another doubles team to join the healthy player. One of the healthy doubles teams must stay intact. The teams must still play in order of ability and will default at the No. 3 position.
8. *Team that is short on players.* A team appearing with an insufficient number of players shall default matches at the bottom of the line-up (i.e., the six-man team appearing with five players must default at the No. 6 singles position and in doubles, at the No. 3 position). If the No. 1 player is not available to compete all players must move up one position. The No. 1 singles match cannot be forfeited. The minimum number of players for a dual-meet match is four physically able players. A team that does not meet this minimum for any reason must forfeit the match.
9. *Protests concerning unfair line-ups.*
  - a. *Protests by coaches participating in match.* If a coach feels that the opponent's line-up as presented is unfair, he should notify the designated Referee and opposing coach of the protest. Any coach who wishes to submit a match protest (after the completion of the match) must first inform the opposing coach in writing (by fax or e-mail), with a copy sent at the same time to the ITA office. This is necessary even when the opposing coach and designated Referee were informed of the protest at the time of the match. This written protest must be made within 48 hours of the start of the match. If a match is between two schools from the same conference, the protest must be handled by the conference. Once a protest has been filed with the ITA Office, it will be sent to an ITA Regional Ranking Committee if it involves teams from the same region, or to the ITA

National Ranking Committee if it involves teams from different regions. A protest may also be sent separately to the ITA Ethics and Infractions Committee, if the case warrants it. An ITA Ranking and/or Operating Committee has the right to make a ruling on a protest and, if appropriate, reverse or overturn a result for ITA Ranking purposes. The ITA will then recommend that the NCAA, NAIA, or NJCAA committees consider this ruling for postseason selection/seeding. A coach has the right to appeal a protest ruling made by an ITA Ranking Committee to the National Ranking Committee (for rulings made by Regional Ranking Committees). There are no appeals permitted to rulings made by the National Ranking Committee.

- b. *Third Party Protests.* Coaches will be allowed to protest a violation of the ITA “1 position move” rule (**ITA Regulation II.K.4**), even if the coach is not directly involved with the match. This means that if a coach is not competing against a team whose line up is in violation, this coach can report this infraction to the ITA (For additional information on this procedure, see the ITA website).
10. *Moving matches to other courts.* Once the dual meet match has begun, a match shall remain on the same court until its conclusion, unless both coaches agree to move it to another court. In an ITA national or sanctioned event, the Referee or Games Committee may move matches, if necessary. The match is considered to have started when the players begin warming up together on the court.
11. *Starting time for second round of matches.*
  - a. *Men’s & Women’s Division I (3-6 format).* ***In Men’s Division I, the singles players must be ready to play within 10 minutes of completing their doubles matches. In Women’s Division I, the singles players must be ready to play within 10 minutes of completing their doubles matches.***
  - b. *All other matches.* ***Each player shall be ready to play doubles within 15 minutes after the completion of his singles match, unless both coaches agree otherwise. A coach may request from the official an additional 15 minutes (for a total of thirty minutes) if extenuating circumstances exist (i.e. length of match, trainer accessibility, etc.). Once all four players are available to begin one of the doubles matches, that match shall be played as soon as possible on whatever court is available, unless both coaches agree to wait until the end of the remaining singles matches.***
12. *Placement of injured or ill players in line-up.* If a player is injured or ill and cannot play for three weeks or longer, the coach should use his discretion in determining where to place the player in the team line-up. If the player has played the majority of his matches at one position, he must be placed within two positions up or down to be within a “range.” This rule does not apply to the NCAA Championships at the end of the year.
13. *Submission of line-ups at men’s ITA sanctioned or national team events.* In a men’s ITA sanctioned or national team event, all team

line-ups must be submitted to the Games Committee the day before the start of the tournament at a time to be decided by the Tournament Chair. Once team line-ups have been submitted and approved, no changes shall be made in the order of the singles or doubles line-up during the team event.

#### **L. Post Match Considerations**

1. *Departure from court within 5 minutes.* After a dual meet match, players have 5 minutes to gather their equipment from the court and leave the immediate playing area.
2. *Appeal of a Referee's decision.* If a coach feels that a decision by the Referee was incorrect and affected the result of the team match and involves a team match played out of conference, he should file a written protest with the ITA National Tournament Committee and send copies to the opposing coach, both Athletic Directors, the Referee and, when appropriate, to the conference commissioner and USTA office. The decision of the ITA Ranking Committee on the appeal shall be binding concerning ITA rankings. The decision shall be communicated to the athletic directors and NCAA Chairs for their consideration.
3. *Protests to ITA National Tournament Committee.* If a coach believes a match was played unfairly, or that the opposing coach did not follow ITA rules, the coach should protest in writing to the ITA National Tournament Committee. (See R.7 Appeals concerning unfair lineups.)
4. *Home coach shall report scores to local media.* The home coach shall report the scores of the team match to the local media.
5. *Grievances about officials.* Any grievance related to inappropriate conduct by an official involving out of conference schools at a dual match or ITA sanctioned tournament (excluding the NCAA, NAIA, and NJCAA Championships) should be sent directly to the ITA. Any grievance of an official in a match between two conference teams, should be sent to the conference office.

#### **Additional Excerpts from ITA**

Familiarity with the following excerpts may be helpful to the official in performing his duties.

### **III. ITA TOURNAMENTS—Rules, Regulations and Procedures**

#### **A. General**

1. **National and Regional Collegiate Championships**  
National and regional collegiate championships, are by definition, only those tournaments exclusively organized and administered by the Intercollegiate Tennis Association, the NCAA, the NCAA Conferences, the NAIA or the National Junior College Athletic Association (NJCAA).
2. **ITA Regional Championships**  
The ITA Regional Championships are administered by ITA throughout the country from September through November.
3. **ITA Sanctioned Events**
  - a. A tournament must be sanctioned by the ITA in order for its results to count for ranking purposes.

- b. A tournament may be sanctioned on any USTA-approved court surface that is used exclusively for tennis.
- c. The Tournament Director is responsible for obtaining the ITA sanction, paying sanction fees, ensuring that participants' coaches are current ITA members, and filing results with the ITA office within five days of completion of the tournament.
- d. All ITA sanctioned events must use the official ball of the ITA (Wilson).
- e. Complete typed results must be filed with the ITA office within one week of the tournament completion.
- f. The ITA office will notify all regional chairs of tournaments sanctioned in their designated regions and of any infractions which make the tournament results null and void for ITA Ranking purposes.
- g. It is the responsibility of the coach to file his team's and individual player's results with the appropriate regional and national ranking coordinator within the deadline for reporting dates in order for his team and players to be eligible for ITA Ranking consideration. Results from all ITA sanctioned tournaments should also be recorded in the ITA Scorebook.

#### **4. The Draw**

In all ITA national and sanctioned events—singles, doubles, and team—it is obligatory, unless impossible to separate players (and teams) within geographical regions in all first-round matches, and also to separate players from the same team in opposite halves, quarters, etc.

Byes, if any, are determined by lot.

#### **5. ITA Tournament Policy—"Sunday Policy"**

Participants (team, singles player, or doubles team) entered in an ITA national, regional, or sanctioned tournament, will be expected to compete on all days of the event, including Saturday and Sunday. There will be no exceptions made for this rule.

### **B. ITA Games Committee**

The Games Committee is comprised of the following individuals at any specific ITA national or sanctioned event:

1. Tournament Director
2. Tournament Chair
3. Head Referee
4. Staff on-site
5. Members of the ITA National Tournament Committee who are present at the event
6. Others designated by the Tournament Chair.

The primary function of the Games Committee is to oversee the running of the tournament event.

## **IV. ITA NATIONAL AND REGIONAL CHAMPIONSHIPS**

### **A. Entry into and Withdrawal from ITA National Championships.**

1. A player must not accept entry into the qualifying or main draw of an event if injured and not sure if he/she can compete in this event except with the written approval of the ITA office. In this case, if approval is

granted, the coach must notify the ITA office by phone, e-mail and/or fax no later than seven (7) days before the start of the event, if the player is still injured and not fully recovered at that point.

2. Once a player (or doubles team) accepts an invitation into the qualifying or main draw of an event, he/she cannot withdraw within seven (7)\* days prior to the start of the event. All withdrawals within this time frame will be subject to any applicable entry fees. In addition, a withdrawal must be deemed 'excusable' by the ITA office in order to avoid penalty. Late withdrawals will only be excused for one of the following reasons:
  - i. Extreme academic circumstances beyond the player's control and attested to by the Dean's office (in this case the ITA office shall be notified in writing by the Dean's office within 24 hours).
  - ii. Physical injury or sickness that occurs after the withdrawal deadline; any such medical withdrawal must be made with written documentation from a physician and the athletic department, which must be provided to the ITA office within 24 hours of injury.

\*For the pre-qualifying rounds of an ITA National Championship only, a player (or doubles team) cannot be withdrawn within 10 days of the start of the event.

3. All inexcusable withdrawals will result in:
  - a letter of reprimand to the head coach with a copy sent to the school's Athletic Director; and
  - a fine to the head coach in the amount of \$500.

## **B. Entry into and Withdrawal from ITA Regional Championships**

1. A player must not accept entry into the qualifying or main draw of an event if injured and not sure if he/she can compete in this event except with the written approval of the ITA Regional Committee. In this case, if approval is granted, the coach must notify the Region Chair and copy the ITA office by phone, e-mail and/or fax no later than seven (7) days before the start of the main draw event, if the player is still injured and not fully recovered at that point.
2. Once a player (or doubles team) accepts an invitation into the qualifying or main draw of an event, he/she cannot withdraw within seven (7) days prior to the start of the event. All withdrawals within this time frame will be subject to any applicable entry fees, regardless of the reason for withdrawal.
3. If a player is withdrawn for any reason once the tournament has begun, he/she will receive an unranked loss (his/her opponent will not receive a win unless the warm-up has started).

## **V. TOURNAMENT MANAGEMENT**

### **A. Meetings**

The host institution is responsible for making arrangements for and scheduling the following meetings, and for notifying the participants in an advance mailing. All meetings should include the chair of the ITA National Tournament Committee, the Tournament Director and the head Referee, whenever possible.

1. *ITA Coaches Meeting.* A mandatory ITA coaches meeting must be held on the eve of all ITA National Championship events to review the tournament format, procedures and any other pertinent information, as well as general ITA business. Attendance will be taken at this meeting

and a \$50.00 (fifty dollar) fine payable to the ITA will be assessed to those institutions with no representative (either coach, assistant coach or other bona fide institution representative) present. Failure to comply with the fine will result in disqualification from participation at future ITA Grand Slam and national events.

2. *Officials.* A meeting with the officials should be scheduled to review procedures, scoring system, handling of appeals, etc.

**B. Ball Change in ITA Division I National Tournaments**

For all ITA Division I National Tournaments, in singles, a can of balls must be used for each set (3 balls per set), and four balls (4) must be used in doubles pro-sets.

## PART 8—GLOSSARY

**7-Point Set Tiebreak.** The 7-Point Set Tiebreak is played when the players reach 6-all in a set. The first player or team to win 7 points by a margin of at least 2 wins the set 7-6.

**10-Point Match Tiebreak.** The 10-Point Match Tiebreak is played in some matches in lieu of the deciding final set. The first player or team to win 10 points by a margin of at least 2 wins the final set 1-0 and the match.

**Abandoned Match.** An Abandoned Match refers to a match that was started, but the Referee has decided not to complete the match.

**Adult Discipline.** Adult Discipline occurs when a parent, coach, or other adult responsible for a Junior disciplines the Junior by not allowing the Junior to start or finish a match. Adult Discipline is treated as a Default if the match has not started and as a Retirement if the match has started. There is no such thing as a Withdrawal or Walkover because of an Adult Discipline.

**Adult Divisions.** The Open, 25, 30, Open Mixed Doubles, and 30 Mixed Doubles comprise this group of divisions.

**Advantage (Ad) Court:** The advantage court refers to the service court that is on a player's side of the net to the left of the center service line as a player faces the net. The court is called the advantage or ad court because points in which the score is "Advantage" are always served to this court.

**Advantage Scoring.** This is the traditional scoring system in which the points are 15, 30, 40, Deuce, and Advantage.

**Advantage Set.** This is a set in which a Set Tiebreak is *not* played when the score reaches 6-6. Advantage sets are no longer played under USTA Regulations. Occasionally an advantage set ends up being played when the players forget to play a Set Tiebreak at 6-6.

**All-Factors Method of Seeding.** This seeding method is based on the players' chances of winning the tournament as determined by the Tournament Committee.

**Alley.** The alley is the area between the singles sideline and the doubles sideline.

**Alphabetical Seeding.** In some cases the Tournament Committee may group seeded players together and assign each of them the same seed (for example, in the group 5-8, each player in the group would be assigned the fifth seed).

**Alternate.** An alternate is a player who did not make it into the draw but requests that the tournament consider the player for entry into the draw if an opening in the draw develops.

**Alternate List.** The alternate list is a list of players not accepted into a tournament. After entries close, the Tournament Committee will typically publish an ordered alternate list showing the order in which the alternates will be accepted if there is a withdrawal.

**Amateur.** A player is an amateur if the player does not receive and has not received, directly or indirectly, pecuniary advantage by the playing, teaching, demonstrating, or pursuit of the game of tennis except as specifically authorized by **USTA Regulation XVIII**.

**Back Draw.** This term is commonly used to describe the consolation part of a Feed-In Championship draw.

**Baselines.** The baselines are the lines at the ends of the court. The baselines are 78 feet apart. On a doubles court the baselines are 36 feet long.



**Bleeding Timeout.** A Bleeding Timeout is a time-out of up to 15 minutes that is allowed when a player is bleeding externally. The time is used to stop the bleeding and to clean up the playing area.

**Block Seeding.** Block seeding refers to the practice of taking the seeded players and advancing them several rounds into the tournament. The unseeded players play matches to reach the round where the seeded players have already been stationed. The purpose of this practice is to allow all the unseeded players to play several matches before facing a seeded player.

**Bonus Points.** Bonus points are additional ranking points that are awarded for wins over highly ranked players.

**Bye.** When there are not enough players to put one player on each line, byes are placed on the open lines. A player who receives a bye does not have a first round match.

**Category I USTA National Championships.** These tournaments are those Adult, Senior, and Family USTA National Championships that are held on the designated surfaces (grass, clay, hard, and indoor courts).

**Category I USTA Wheelchair National Championship.** These tournaments are those Wheelchair USTA National Championships that are held on the designated surfaces (grass, clay, hard, and indoor courts).

**Category II USTA National Tournaments.** These tournaments are sectionally sanctioned tournaments that are selected by the Adult and Senior Competition Committee and the USTA Competitive Tennis Department for inclusion on the National Adult, Senior, and Family Tournament Schedule and that are designated as Category II.

**Category II USTA Wheelchair Tournaments.** These tournaments are sectionally sanctioned wheelchair tournaments that are selected by the Wheelchair Tennis Committee and the USTA Competitive Tennis Department for inclusion on the National Wheelchair Tournament Schedule that are designated as Category II.

**Center Marks.** The center marks are the 2-inch wide marks that are placed just inside each Baseline and that mark the precise middle of the Baselines. The center marks line up with the center service line.

**Center Service Line.** The center service line is the line that divides the service lines and the service courts in half. The line is 2 inches wide and 42 feet long.

**Chair Umpire.** The Chair Umpire is the official responsible for conducting one match in accordance with the ITF Rules of Tennis and the USTA Regulations.

**Changeover.** The changeover is the 90-second period after the end of every odd game (other than the first game) of every set.

**Chief Umpire.** A Chief Umpire is an official responsible for hiring the officials. In many tournaments, the Referee will delegate to the Chief Umpire the responsibility for assigning, replacing, and reassigning officials.

**Circuit.** A series of tournaments that are organized as a group and generally adopt a common set of special tournament regulations.

**Closed Tournament.** A closed tournament is a tournament that is limited to residents of a particular geographic area.

**The Code.** *The Code* is a summary of the procedures and unwritten rules that custom dictates that players follow. **USTA Regulation IV.B.** requires players to follow *The Code* in all matches without officials.

**Code Violation.** Code violations are issued to players for misconduct and delay. A list of code violations and penalties is found in **Table 14**.

**Coed Tournament.** Players may enter a coed tournament regardless of gender. Any combination of males and females is permitted in singles and doubles.

**Coman Tiebreak Procedure.** The Coman Tiebreak Procedure requires the Tiebreak to be played with the players changing ends after the first point and thereafter every fourth point.

**Combined Ranking.** A combined ranking is a ranking that is based on both singles and doubles Ranking Points.

**Comppass Draw.** This is a non-elimination format that is so named because players advance in four to eight different directions depending upon when they lose their first match and when they lose their subsequent matches.

**Computerized List Method of Seeding.** This seeding method is based on a computerized system of rankings or standings.

**Consolation Draw.** A consolation draw describes a group of different types of draws in which losers in the main draw play an additional match or matches against other players who have lost a match in the main draw.

**Court.** The term technically refers only to the area bounded by the baselines and the singles sidelines in singles and the doubles sidelines in doubles. The singles court is a rectangle 78 feet long by 27 feet wide. The doubles court is a rectangle 78 feet long by 36 feet wide.

**Current NTRP Rating (computer rating).** A rating generated by the USTA NTRP computer rating system based on three or more matches.

**Curtis Consolation.** This format is a special Feed-In Championship that is often used when there is a shortage of time or courts. Round of 16 losers and quarterfinal losers play a separate consolation or consolations instead of being fed back into the back draw. When a modified Curtis Consolation is played, some of the back draws are played through the semifinals only.

**Default.** A default occurs when a player is eliminated from an event under the Point Penalty System (which includes penalties for lateness) or refuses to play for reasons other than injury, illness, or personal circumstance. It is also considered a default when a junior does not *start* a match because of an adult deciding to discipline the junior. (Note that failure to *finish* a match because of an adult discipline is considered a retirement.)

**Deputy Referee.** A Deputy Referee is an official appointed by the Referee or Tournament Committee to assist in the performance of the Referee's duties or to assume these duties when the Referee is absent. It is not unusual for a Deputy Referee to assist in making the draw or to serve as the Referee at a secondary site.

**Deuce Court.** The deuce court refers to the service court that is on a player's side of the net to the right of the center service line as a player faces the net. The court is called the deuce court because points in which the score is "Deuce" are always served to this court.

**Direct Acceptances.** Players accepted directly into the main draw.

**Disqualification.** A disqualification occurs when a player is removed from an event because the player was ineligible for the event.

**District Associations.** The term refers not only to traditional District Associations but also to other subdivisions of Sectional Associations.

**Division.** Division refers to one or two events in a tournament in which the eligibility criteria are identical. For example, the Girls' 16 Singles and Girls' 16 Doubles are two events but only one division because their eligibility criteria are identical.

**Domicile.** Domicile is a legal concept involving residence. It is defined as that place where a person has that person's true, fixed, and permanent home or that person's home for the indefinite future. A person can only have one domicile at any one time. Eligibility for endorsement or for some closed tournaments is often based on domicile.

**Doubles Sidelines.** The doubles sidelines are the two lines that define the width of the court for doubles. The lines are 36 feet apart.

**Endorsement.** Endorsement refers to the process used by Sectional Associations to list their players in the order in which they want the players accepted into tournaments such as the USTA National Championships, Intersectionals, and Zonals.

**Entry Fee.** The entry fee is the fee paid for a player to enter a tournament. Players may also be charged a non-refundable fee that is not part of the entry fee for electronic entries.

**Event.** In the narrow sense, this term refers to one draw in a tournament. For example, the Girls' 16 Singles and Girls' 16 Doubles are two separate events within the same division—the Girls' 16 division. Sometimes this term is also used to refer to an entire tournament.

**Family Divisions.** The Father-Son, Father (60 & Over)-Son, Father (70 & Over)-Son, Father-Daughter, Father (60 & Over)-Daughter, Father (70 & Over)-Daughter, Father (80 & Over)-Daughter, Mother-Son, Mother-Daughter, Mother (60 & Over)-Daughter, Mother (70 & Over)-Daughter, Husband-Wife Mixed, Husband-Wife Mixed (Combined Ages 100 years), Husband-Wife Mixed (Combined Ages 120 years), Husband-Wife Mixed (Combined Ages 140 years), and Grandfather-Grandson divisions comprise the Family divisions.

**Feed-In Championship.** This term refers to a special form of consolation draw in which the losers from the main draw are fed back into another draw against players who lost in earlier rounds of the tournament. This format is very helpful when the Tournament Committee wants to determine an order of finish through 6th place or through 12th place.

**First Match Losers Consolation.** This is a consolation draw in which the losers in the first round and those second round losers who advanced to that round by virtue of a bye or a default are entered into the consolation draw.

**First Round Losers Consolation.** This is a consolation draw in which only the losers in the first round are entered into the consolation draw.

**Garman System.** A staggered start time scheduling method based on statistical analysis of the length of matches. The system reduces player waiting time and maximizes court usage.

**Green Ball Tennis.** Green ball tennis is played on a 78-foot court with a stage 1 (green) ball and a racket that is no longer than 29 inches.

**Group Seeding.** In some cases the Tournament Committee may group seed players together and assign each of them the same seed (for example, in the group 5-8, each player in the group would be assigned the fifth seed).

**Handshake.** By shaking hands the players have acknowledged that they agree the match was over.

**Head-to-Head Ranking System.** This is a method of ranking players or teams that is based on wins, losses, and exposure.

**Hindrance.** A hindrance refers to a situation in which a player is hindered from making a shot by anything that is not within the player's control other than a permanent fixture or a spectator's outcry.

**Inclement Weather.** Inclement weather may cause a Referee to suspend or postpone matches, lengthen rest between matches, or shorten a tournament to keep it on schedule.

**Incomplete Match.** An incomplete match refers to a match that was started but not completed. It is anticipated that the match will be completed.

**Intersectionals.** These are team tournaments held in the 16 and 18 Divisions. Teams compete against teams from the other Sectional Associations. In the 18 divisions, these competitions are now known as the USTA Boys' 18 National Team Championships and the Girls' 18 National Team Championships.

**Invitational Tournament.** A tournament in which entry is by invitation only.

**ITF Rules of Tennis.** The ITF Rules of Tennis are the rules for the sport of tennis. They are made by the International Tennis Federation (ITF). The USTA, as a member of the ITF, has agreed that the ITF Rules of Tennis apply to all USTA sanctioned tournaments.

**ITF Tournaments on the National Junior Tournament Schedule.** The ITF Tournaments on the National Junior Tournament Schedule are tournaments jointly sanctioned by the ITF and USTA that are placed on the National Junior Tournament Schedule. These tournaments are played under ITF tournament regulations.

**Junior Divisions.** This group of divisions is comprised of the Boys' and Girls' 12-and-under, 14-and-under, 16-and-under, and 18-and-under divisions.

**Late Entry.** A late entry is one that is received after the entry deadline. The Tournament Committee determines whether late entries will be accepted. In tournaments with limited draw sizes, late entries are placed on the alternate list after timely entries in the order received. Late entries received at the same time are ordered by lot.

**Layered Consolation.** This is a consolation format in which the losers in each round are placed into their own consolation draw. Sometimes losers from two rounds are placed in the same consolation.

**Line Umpire.** A Line Umpire is an official responsible for calling all shots directed to the lines assigned to the official.

**Local Tournaments.** These are Adult, Senior, and Family tournaments sanctioned by the Sectional or District Associations that are not Super Category II USTA Tournaments, Category II USTA Tournaments, or Sectional Championships and are considered for national ranking.

**Lucky Loser.** A player who has lost in the final round of the qualifying tournament but who is allowed into the main draw when a player in the main draw withdraws. If there are many withdrawals from the main draw, a lucky loser may come from an earlier qualifying round.

**Main Draw.** The main draw is that part of a draw in which the remaining players have not yet lost a match.

**Match Format.** The match format refers to the scoring format used by a tournament.

**Match Tiebreak.** In some tournaments a Match Tiebreak may be played in lieu of the deciding final set. The USTA uses only the 10-Point Match Tiebreak (first to 10 by a margin of 2).

**Medical Timeout.** A Medical Timeout consists of evaluation time as determined by the Referee plus a maximum of three minutes to treat a medical condition that is treatable. A player is not entitled to a Medical Timeout if the condition is not treatable.

**Mixed Doubles.** Mixed doubles is a doubles match in which each team comprises a female player and a male player.

**National Adult, Senior, and Family Tournament Schedule.** This schedule is published yearly by the Adult and Senior Competition Committee and the Competitive Tennis Department and lists all National Ranking Tournaments other than Sectional Championships and Local Tournaments.

**National Championship Selection List.** This is a list of the standings of the top players that is used to determine which junior players are automatically selected for the USTA National Championships.

**National Junior Tournament Schedule.** This schedule is published yearly by the Junior Competition and Sportsmanship Committee and lists all National Rankings Tournaments other than the Sectional Ranking Tournaments.

**National Ranking Matches.** These are wheelchair matches in ITF tournaments not listed on the National Wheelchair Tournament Schedule that count for national ranking.

**National Ranking Tournaments.** These are the tournaments that are used for national rankings. A list of Junior tournaments is found in **USTA Regulation IX.C.3.** and the National Junior Tournament Schedule. A list of Adult, Senior, and Family tournaments is found in **USTA Regulation X.E.2.** and the National Adult, Senior, and Family Tournament Schedule. A list of Wheelchair tournaments is found in **USTA Regulation XIII.B.4.a.** and the National Wheelchair Tournament Schedule.

**National Standings List (Juniors).** This is a monthly standings list of junior players in each age division used for selection of:

- Some players into the USTA National Championships;
- All players into the USTA National Opens; and
- Some players into USTA Regional Tournaments.

It is also used as a resource in determining seeding.

**National Standings List (Wheelchair).** This is a monthly standings list of wheelchair players in each division used as a resource in determining seeding.

**National Wheelchair Tournament Schedule.** This schedule is published yearly by the Wheelchair Tennis Committee and the Competitive Tennis Department and lists all National Ranking Tournaments other than Sectional/Local Ranking Tournaments.

**Net Posts.** Two net posts are used to suspend the net that divides the court. The net posts for doubles courts are 3 feet 6 inches high with their centers located 3 feet outside the outer edge of the doubles sidelines.

**Net Strap.** The net strap is a strap that is placed over the net at the center of the net to make sure that the height of the net at its center is 3 feet.

**No-Ad Scoring.** This is a scoring system that is the same as the traditional system except that when the score reaches 40-40, only one additional point is played to determine the winner of that game. In Mixed Doubles, a male server serves this point to the male receiver, and the female player serves this point to the female receiver. In all other events, the receiver chooses the side from which the server must serve.

**No Let Rule.** The no let rule requires that a match be played without the service let in **Rule 22a** of the **ITF Rules of Tennis**.

**Non-Elimination Tournaments.** This term describes a group of different tournament formats in which players are never eliminated after they lose. The best known example is the compass draw.

**NTRP.** NTRP stands for National Tennis Rating Program. The system rates players by ability levels ranging from 1.5 to 7.0.

**NTRP Divisions.** These divisions are comprised of all the divisions in which eligibility is based on NTRP rating. Note that NTRP divisions have age and NTRP criteria.

**Numeric Scoring.** Numeric scoring consists of substituting "zero," "one," "two," and "three" for "Love," "15," "30," and "40." The principle of winning four points by a margin of two is preserved. Deuce may be announced by "Deuce" or by "3-all," "4-all," etc. Advantage may be announced by "Advantage" or "4-3," "5-4," etc. When language is a barrier, hand signals are often used with a clenched fist indicating "Deuce."

**On-site Alternate.** An on-site alternate is a player not accepted in the draw who has checked in at the tournament and has asked to be placed in the draw if a spot becomes available.

**Orange Ball Tennis.** Orange ball tennis is played on a 60-foot court with a stage 2 (orange) ball and a racket that is not longer than 25 inches.

**Ordered List of Alternates.** The ordered list of alternates shows the order in which the alternates are accepted into a tournament when there is a withdrawal. Timely entrants are ordered by the Tournament Committee based on the players' records. Timely entrants with no record are ordered by lot. Late entries are placed on the alternate list after timely entries in the order received. Late entries received at the same time are ordered by lot.

**Permanent Fixtures.** Permanent fixtures include any scoring device that is attached to a net post, the areas of the net outside the singles sticks in a singles match, the doubles posts in a singles match that is using singles sticks, fences, backstops, curtains, seating areas, officials' chairs, the ceiling, and lights for the court.

**Personal Circumstance.** A bona fide reason other than illness or injury for not playing is a personal circumstance. Personal circumstance generally describes a situation in which a player has a serious or genuine reason for not playing. A Referee should give a player the benefit of the doubt when deciding whether the reason is bona fide. The inability of a junior to play because an adult who is not the junior's parent or coach decides to go home is a personal circumstance. On the other hand, wanting to get home to play in another sporting event or for the convenience of the junior or an adult who is the player's parent or coach is not a personal circumstance.

**Place Playoff.** A place playoff is a match that is played to determine a player's final place in the tournament such as a third place playoff or a seventh place playoff in a Feed-In Championships.

**Playing Area.** The playing area is the area inside the fences or backstops. Any designated seating or standing area is not part of the playing area.

**Point Penalty System.** This is a system of penalties designed to deter unsportsmanlike behavior during matches. The first penalty results in loss of a point; the second penalty results in loss of the game in progress, and the third penalty results in a default.

**Points Per Round.** Points Per Round are the ranking points that are awarded in a Points Per Round Ranking System.

**Points Per Round Ranking System.** This is a method of ranking players or teams that is based on earning ranking points for the round reached, and in some cases, for wins over highly ranked players or teams.

**Professional.** All players who are not amateurs as defined by **USTA Regulation XVIII**, are considered professionals.

**Pro Set.** A pro set is a scoring system consisting of one set only. The most common pro set is one in which the first player to eight games wins the match. If the score reaches 8-8, a 7-Point or 10-Point Tiebreak is played.

**Qualified Medical Person or Trainer.** A qualified medical person or trainer is a person who the Referee authorizes to evaluate whether a medical condition is treatable and to treat players for treatable conditions during a Bleeding Timeout or a Medical Timeout. Typically this person will be a physician, certified sports medicine trainer, or a person otherwise qualified by academic accomplishment, experience in sports medicine, or sports training.

**Qualifiers.** Players included in the main draw as a result of their success in the qualifying tournament.

**Qualifying Tournament or Qualifying Draw.** A qualifying tournament or qualifying draw is a tournament or draw in which those players who advance far enough earn places in the main draw.

**Question of Fact.** “Questions of Fact” involve whether a specific event happened. Examples include whether a ball is in; whether a ball touched a player; whether a ball bounced twice; and whether a server’s foot touched the baseline before the serve was struck.

**Question of Law.** “Questions of Law” involve the application of the rules or regulations to facts that have already been determined. Examples include whether an act was a hindrance, whether a player should have been assessed a code violation for misconduct, and the procedures for correcting errors in serving order, serving and receiving position, and ends.

**Quota.** Each Sectional Association is guaranteed a minimum number of junior players who will be accepted into the USTA National Championships. This number is the Sectional Association’s quota. It is based on the number of junior members residing in the Sectional Association.

**Ranking.** Ranking is a static concept. It is a player’s “final” position at the end of a ranking period. It does not change at the end of the specified period.

**Reasonable Time.** Reasonable time is a concept that applies to:

- Toilet/change of attire breaks;
- Time needed to repair or replace clothing or equipment other than a racket;
- Time needed to replace a racket with another racket on court;
- Time needed to replace a contact lens with a spare that is on court; and
- Time needed to retrieve balls between points in matches without ball persons.

The Referee generally establishes a reasonable time for toilet/change of attire breaks based on the proximity of the toilets to the court. The time needed to repair or replace clothing or equipment other than a racket generally may not exceed 15 minutes.

**Recovery Rule.** The Recovery Rule requires the Referee to offer a player two hours of rest after a singles matches that uses a format consisting of the best of three tiebreak sets or more if the player's next match is singles. The regulation does not apply to short set matches, matches that play a tiebreak in lieu of a final third set, nor to any indoor match that lasts less than two hours.

**Red, Orange, and Green Ball Tennis.** Red, Orange, and Green Ball Tennis helps players of all ages learn tennis by utilizing specialized equipment, a smaller court, and modified scoring.

**Red Ball Tennis.** Red ball tennis is played is on a 36-foot court with a stage 3 (red) ball and a racket that is no longer than 23 inches. Red ball tennis is mandatory for tournaments in the 8 Divisions and recommended for other competitions in this Division.

**Referee.** The Referee is generally responsible for supervising all aspects of play. The Referee is responsible for assuring that the competition is fair and played under the ITF Rules of Tennis and the USTA Regulations.

**Rest Between Matches.** USTA Regulations require a Referee to offer a player a rest. The minimum amount of rest that is required to be offered is specified in **USTA Regulation III.C.** and **Table 11.**

**Rest Period.** Rest period refers to the time players may rest or are required to rest in best of three tiebreak set matches after players have split sets. The USTA Regulations require a rest period of 10 minutes after the second set in a best of three tiebreak set match in all divisions except the Adult divisions. In Junior divisions, the USTA Regulations also require a rest period of 3 minutes after the second set when a Match Tiebreak is played in lieu of the third set. The rest period requirements can be found in **USTA Regulation III.C.** and **Table 12.**

**Retirement.** A retirement occurs when a player is unable to continue playing a match or resume playing a suspended match because of injury, illness, or personal circumstance. It is also treated as a retirement when a junior player does not *finish* a match because of adult discipline. A person who retires from a match remains eligible for other matches including consolations, place play-offs, and doubles.

**Round Robin.** A round robin is a format in which a player plays every other player in the draw.

**Roving Umpire.** A Roving Umpire is an official other than the Referee or a Deputy Referee who exercises jurisdiction over one or more court.

**Scheduling Guidelines.** These are USTA Regulations that assist the Referee in determining how frequently matches should be scheduled and how many matches should be scheduled per day. The USTA requires Referees to follow these guidelines in USTA National Championships, USTA Junior National Championships, USTA Regional Tournaments, Super Category II USTA National Wheelchair Tournaments, and Category II USTA National Wheelchair Tournaments, *except* these tournaments in Adult, Senior, Family and Wheelchair divisions that use ITF tournament regulations.



**Sectional Endorser.** Each Sectional Association lists its junior players in the order in which the Sectional Association has decided that the players should receive entry into the USTA National Championships and USTA Team Championships. The person who prepares this list for the Sectional Association is the Sectional Endorser.

**Sectional/Local Ranking Tournaments.** These are Wheelchair tournaments sanctioned by the Sectional Associations or District Associations that are not Super Category II USTA Wheelchair Tournaments or Category II USTA Wheelchair Tournaments and that count for national wheelchair ranking.

**Sectional Ranking Tournaments.** These are sectional tournaments that count for junior national ranking. Each Sectional Association may designate 12 junior tournaments within its section that will count toward national ranking.

**Seed.** This term refers to a player who is given a preferential position in the draw by virtue of the player's record. The player is positioned in the draw so that the player usually will not play another seed until at least the third round. This player is more likely to receive a bye than players who are not seeded.

**Seeding Groups.** Seeding groups refer to 1,2, 3-4, 5-8, 9-16, and 17-32 seeds.

**Self-rating.** A self-rating is a rating by a player who is new to the NTRP system. The player uses the NTRP guidelines to select the level of play that the player believes best describes the player's ability.

**Senior Divisions.** The Men's and Women's 35 and older Divisions and the 40 Mixed Doubles, 50 Mixed Doubles, and 60 Mixed Doubles Divisions comprise the Senior divisions.

**Service Courts.** The service courts consist of the area between the net and the service lines. The service courts are bisected by the center service line thereby forming two service courts on each side of the net.

**Service Lines.** The service lines are the two lines that are 27 feet long and 21 feet from the net (and 18 feet from the baselines) that run from one singles sideline to the other singles sideline.

**Set Break.** The set break is the 2-minute break after the end of each set. If this is not possible, then the break should be taken at an odd game changeover. Breaks taken at other times should be limited to true emergencies.

**Set Tiebreak.** The Set Tiebreak is the 7-Point Tiebreak Game that is played to decide a set when the set score reaches 6-all.

**Short Sets.** This is a scoring system in which the first player to win four games wins the set. If the score reaches 4-4, a 7-Point Set Tiebreak is played.

**Single Elimination Format.** In this tournament format, once you lose you are out and do not get to play any additional matches.

**Singles Sidelines.** The singles sidelines are the two lines that define the width of the court for singles. The lines are 27 feet apart.

**Singles Sticks.** Singles sticks are sticks that are 3 feet six inches high that substitute for singles posts in those courts that are equipped with doubles net posts. The centers of the sticks are located 3 feet outside the outer edge of the singles sidelines.

**Solo Chair Umpire.** A Solo Chair Umpire is a Chair Umpire who handles a match without the assistance of line umpires.

**Standard Game.** The standard game refers to games in which the traditional scoring of "Love," "15," "30," "40," "Deuce," "Advantage," and "Game" is used. The standard game stands in contrast to the scoring for a tiebreak game.

**Standing.** Standing is a dynamic concept. It is a player's position on a list generated by the USTA ranking program at a certain point in time. It may change from time to time.

**Super Category II USTA National Tournaments.** These tournaments are sectionally sanctioned tournaments that are selected by the Adult and Senior Competition Committee for inclusion on the National Adult, Senior, and Family Tournament Schedule and that are designated as Super Category II.

**Super Category II USTA Wheelchair National Tournaments.** These tournaments are sectionally sanctioned tournaments that are selected by the Wheelchair Tennis Committee for inclusion on the National Wheelchair Tournament Schedule and that are designated as Super Category II.

**Suspension Point System.** This is a system under which junior players are assessed points for unsportsmanlike behavior in tournaments on the National Junior Tournament Schedule. A player who accumulates too many points is suspended.

**Tiebreak Game.** The tiebreak game is the term used by the ITF for the 7-Point Set Tiebreak that is played to decide a set when the game score reaches 6-all. In Red ball tennis, it also refers to one of the scoring formats that may be used.

**Tiebreak Set.** This is the normal type of set that is played under USTA Regulations. A 7-Point Set Tiebreak is played when the score reaches 6-6.

**Timed Match.** A timed match is a scoring format that may be played in Red, Orange or Green Ball Tennis where by players continue playing until the end of a specified period of time has ended.

**Toilet/Change of Attire Break.** A player is allowed to take a Toilet/Change of Attire Break when an official determines that there is a genuine need. The player is allowed a reasonable period of time. When possible the break is taken during a set break. If this is not possible, the break is taken at a changeover. Breaks taken at other times are limited to true emergencies.

**Tournament.** Tournament refers to most forms of competition, including but not limited to, tournaments, championships, team championships, matches, exhibitions, events, intersectionals, international competitions, and zonals. It generally does not include USTA League Tennis Programs and USTA Jr. Team Tennis (Youth). Most tournaments offer more than one event. Each event has its own eligibility criteria.

**Tournament Appeals Committee.** This committee is responsible for deciding appeals of the Referee's Disqualification or unilateral default of a player.

**Tournament Area.** The tournament area refers to the entire tennis facility or center where tennis is played. It includes the area outside the fences surrounding the courts and any clubhouse or similar building.

**Tournament Chairperson.** The Tournament Chairperson is the chairperson of the Tournament Committee and the official representative of the Tournament Committee to the USTA, Sectional Associations, and District Associations.

**Tournament Committee.** The Tournament Committee is the committee that is responsible for putting on the tournament on behalf of the organization that holds the tournament sanction.

**Tournament Director.** The Tournament Director is the chief executive officer for the Tournament Committee and is directly responsible for all administrative details. Often the Tournament Director and the Tournament Chairperson are the same person.

**Unplayed Match.** An unplayed match refers to a match that the Referee decides will not be played for any reason other than a withdrawal, walkover, default, or retirement.

**USTA International Tournaments.** USTA International Tournaments are USTA sanctioned tournaments played under ITF tournament regulations, but not sanctioned by the ITF.

**USTA Junior National Championships.** This term is used to collectively describe the USTA National Championships, USTA National Masters, USTA National Doubles Championships, USTA National Opens, and USTA Team Championships.

**USTA Jr. Team Tennis.** This is a team competition for youth who are 18 years of age and under. USTA membership is required. Players advance from local to district, sectional, and national competition.

**USTA League.** This is a program for men and women in which teams comprised of players with the same NTRP rating are established. USTA membership is required. Players must reach 18 years of age during the calendar year of the competition. Teams advance from local to district, sectional, and national competition.

**USTA National Championships.** These tournaments constitute the highest level of USTA Junior National Championships held.

**USTA National Opens.** These tournaments constitute the third highest level of USTA Junior National Championships held.

**USTA Regional Tournaments.** The Junior Competition and Sportsmanship Committee sanctions a number of national level tournaments in addition to the USTA Junior National Championships and USTA International Tournaments. These additional tournaments are called USTA Regional Tournaments.

**USTA Team Championships.** The USTA Team Championships are comprised of the following tournaments:

- USTA Boys' 18 National Team Championships;
- USTA Girls' 18 National Team Championships;
- USTA Boys' and Girls' 16 Intersectional Team Championships; and
- USTA Boys' and Girls' 16, 14, and 12 Zone Team Championships.

**Walkover.** A walkover occurs when there has been an administrative error or when a player decides not to play a match in an event because of injury, illness, or personal circumstance.

**Wheelchair Divisions.** This group of divisions is comprised of those divisions limited to wheelchair tennis players.

**Wild Card.** A wild card entry into a USTA National Championship may be granted to a junior player when extenuating circumstances prevent the player from entering through the normal qualifying or endorsement procedure. Wild cards also may be granted based on the recommendation of the national coaching staff to a player who has demonstrated significant potential at the national or international level.

**Withdrawal.** A withdrawal occurs when a player decides not to play all matches in the event because of injury, illness, or personal circumstance. A withdrawal also may occur because of tournament administrative error.

**Zonals.** These are team competitions held in the 12, 14, and 16 Divisions that are held in different regions or zones. Teams represent their Sectional Associations.

# PART 9—INDEX

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## **The Official's Code of Conduct**

A USTA official is expected to maintain high professional standards. Violations of this code may result in decertification or suspension of USTA-directed assignments. An official shall:

1. Wear the official USTA uniform at USTA sanctioned events, or as otherwise designated by the USTA.
2. Be prompt for all assignments.
3. Not socialize with or become intimate with the players. (An official is not prohibited from staying in the tournament hotel or from attending social functions where players may be present.)
4. Not accept assignments for any match that may cast doubt upon the official's impartiality. Not only is a bona fide conflict of interest prohibited, but the appearance of a conflict makes the assignment unacceptable.
5. Not solicit specific assignments in tournaments.
6. Not accept an assignment and then withdraw from that assignment in favor of another unless released.
7. Not be interviewed by the media without permission of the Referee or the Referee's designee.
8. Not publicly criticize other officials.
9. Not participate in, including aiding and abetting whether directly or indirectly, any form of gambling or betting on tennis.
10. Not converse with spectators while on the court.
11. Not request favors or special considerations from a tournament sponsor.
12. Not use title or position to abuse the rules or influence others to do so.
13. Not consume alcoholic beverages or take drugs while in uniform.
14. Not consume alcoholic beverages, drugs, or medications that may inhibit performance during an assignment.
15. Cooperate with the efforts of officials' committees appointed by the National Chairperson.
16. Not take photographs of players while in uniform nor at any time request player autographs.
17. Be professional and ethical.



# 2013 FRIEND AT COURT

THE USTA HANDBOOK *for* TENNIS OFFICIALS,  
TOURNAMENT ADMINISTRATORS, *and* PLAYERS

Addressing just about every possible situation that can arise on a tennis court, FRIEND *at* COURT includes:

- THE OFFICIAL 2013 ITF RULES *of* TENNIS
- *THE CODE*: THE PLAYERS' GUIDE TO FAIR PLAY *and* THE UNWRITTEN RULES OF TENNIS
- USTA TOURNAMENT REGULATIONS
- USTA OFFICIATING REGULATIONS
- THE INTERCOLLEGIATE TENNIS ASSOCIATION RULES, REGULATIONS, *and* TOURNAMENT PROCEDURES



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